



2020 Election Packet

City Offices Up for Election: Mayor, City Auditor, City Councilor
(Districts 1, 2, 3, 4, 5, 6, 7, 8 and 9)

www.cityoftulsa.org



This Page Intentionally Left Blank

Section 1: Election Filing Procedures

- A. Election Filing Procedures
- B. Tulsa County Election Board Election Dates and Deadlines, 2020
- C. Oklahoma Ethics Commission-2020 Election Report-Submission Dates

Section 2: Election and Campaign Disclosure Forms

A. Municipal Declaration of Candidacy

- 1. Declaration of Candidacy Form
- 2. Petition Supporting Candidate for Office of City Auditor
- 3. Petition Supporting Candidate for Office of City Councilor

B. Municipal Candidate Committee Forms

- 1. Municipal Campaign Committee Statement of Organization
- 2. Municipal Candidate Committee Contributions and Expenditures Report
 - Committee Schedule A – Monetary Contributions
 - Committee Schedule B – All Other Funds
 - Committee Schedule C – Loans
 - Committee Schedule D – In Kind Contributions
 - Committee Schedule E – General Expenditures
 - Committee Schedule F – Office Holder Expenses
 - Committee Schedule H – Surplus Funds
 - Committee Schedule J – Resolution of Debt
- 3. Municipal Candidate Committee Continuing Report of Contributions
- 4. Municipal Financial Disclosure Statement

C. Municipal Political Committee Forms

- 1. Municipal Political Committee Statement of Organization
 - 2. Municipal Political Committee Contributions and Expenditures Report
 - Committee Schedule A – Monetary Contributions
 - Committee Schedule B – All Other Funds
 - Committee Schedule C – Loans
 - Committee Schedule D – In Kind Contributions
 - Committee Schedule E – General Expenditures
 - Committee Schedule G – Electioneering Communications or Independent Expenditures
 - Committee Schedule H – Surplus Funds
 - Committee Schedule I – Costs Paid By Affiliated Entity
 - Committee Schedule K - Independent Expenditures And Electioneering Communications Contributors
 - 3. Contributions and Expenditures Report for Independent Expenditures and Electioneering Communications
-

City of Tulsa Election Packet

2020

Section 3: City of Tulsa Regulations

- A. City of Tulsa Election Resolution No. 20041 (published)
- B. City of Tulsa Selected Ordinances for Political Campaigns

Section 4: State of Oklahoma Regulations

- A. Guide for Municipal Candidates
 - B. Municipal Campaign Finance and Financial Disclosure Act
 - C. Municipal Candidate Committee Checklist
-

Section 1

Election Filing Procedures

This Page Intentionally Left Blank

Election Filing Procedures

1. Filing as a candidate for Mayor, City Auditor and City Councilor:

(All Council Districts - 1, 2, 3, 4, 5, 6, 7, 8 and 9)

All candidates need to go to the Tulsa County Election Board located at 555 N. Denver Ave. to obtain the necessary forms. The completed forms for filing declaration of candidacy must be filed with the Election Board on June 8, 9 and 10, 2020 between the hours of 8:00 a.m. and 5:00 p.m. For further information, call the Election Board at (918) 596-5780.

2. Campaign Disclosure Forms (for Expenditures Over \$500) and Deadlines:

Campaign Disclosure Forms can be found online at the Ethics Commission website (www.ethics.ok.gov) along with a guide for municipal candidates. These forms are to be filed with the Municipal Clerk.

3. Filing for Office – Petition/Filing Fee

In accordance with the City of Tulsa 1989 Amended Charter and Amendments, as required by Charter Article VI, Section 3.1.C, candidates for a city office shall file with the Election Board of Tulsa County a filing fee in the form of a cashier's check or certified check made payable to the City of Tulsa in the amount of Fifty Dollars (\$50.00); or in lieu of the filing fee, a petition supporting a candidate's filing.

4. If You Have Questions About the Campaign Disclosure Forms:

- A. Refer to the Oklahoma Ethics Commission Website (www.ethics.ok.gov), or
- B. Contact the Commission
The Commission offices are located in Room G-27 of the State Capitol. The Commission's hours are Monday through Friday from 8:30 a.m. to 5:00 p.m.
- C. The Ethics Commission contact information is as follows:
Telephone number: (405) 521-3451
Fax number: (405) 521-4905
E-mail: ethics@ethics.ok.gov
Address: 2300 N. Lincoln Blvd., Rm. B-5, Oklahoma City, OK 73105

5. Election Dates:

- General Election (if necessary) Tuesday, August 25, 2020
- Runoff Election (if necessary) Tuesday, November 3, 2020

This Page Intentionally Left Blank

2020 ELECTION SCHEDULE							
RD	ABREVIATION FOR REGISTRATION DEADLINE				FILING DATES		
	NO PARTY CHANGES DURING CLOSED PERIOD				STATE COUNTY AND CITY OF TULSA VOTER REGISTRATION DEADLINES		
	ELECTION DATES				MILITARY AND OVERSEAS VOTERS BEGINNING ABSENTEE BALLOT MAILING DATE		
	POSSIBLE ELECTION DAY				COUNTY HOLIDAYS		
	SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
JANUARY				1 JANUARY NEW YEAR'S DAY	2	3	4
	5	6	7	8	9	10	11
	12	13	14 POSSIBLE PROPOSITION ONLY ELECTIONS RES DUE 11/14/2019	15	16	17 REGISTRATION DEADLINE 2/11	18
	19	20 M. L. KING, JR. DAY	21	22	23	24	25
	26	27	28	29	30	31	1 FEBRUARY
FEBRUARY	2	3 BIXBY FILING 4/7 MUNICIPAL GEN	4 BIXBY FILING FOR 4/7 MUNICIPAL GENERAL	5 BIXBY FILING 4/7 MUNICIPAL GENERAL	6 RESOLUTION DEADLINE FOR 4/7 SPECIAL ELECTIONS	7 REGISTRATION DEADLINE 3/3	8
	9	10	11 BOARD OF EDUCATION PRIMARY MUNI PRIMARY RES DUE 11/15/2019 POSSIBLE SPECIAL CAND/PROP	12	13	14	15
	16	17 PRESIDENTS' DAY	18	19	20	21	22
	23	24	25	26	27	28	29
MARCH	1 MARCH	2	3 PRESIDENTIAL PRIMARY POSSIBLE SPECIAL CAND/PROP ELECTIONS RES DUE 12/18/2019	4	5	6	7
	8	9	10	11	12	13 REGISTRATION DEADLINE 4/7	14
	15	16	17	18	19	20	21
	22	23	24	25	26	27	28
	29	30	31	1 APRIL	2	3	4
APRIL	5	6	7 BOARD OF EDU & MUNI GEN POSSIBLE SPECIAL CAND/PROP ELECTIONS	8 STATE & COUNTY FILING	9 STATE & COUNTY FILING	10 STATE & COUNTY FILING GOOD FRIDAY	11
	12	13	14	15 RESOLUTION DEADLINE FOR 6/30 SPECIAL ELEC	16	17	18
	19	20	21	22	23	24	25
	26	27	28	29	30	1 MAY	2
MAY	3	4	NO ELECTIONS ALLOWED FOR THE MONTH OF MAY				
	10	11	12	13	14	15	16
	17	18	19	20	21	22 RESOLUTION DEADLINE FOR CITY OF TULSA 8/25 GEN	23
	24	25 MEMORIAL DAY	26	27	28	29	30
	31	1 JUNE	2	3	4	5 REGISTRATION DEADLINE 6/30 PRIMARY	6
JUNE	7	8 CITY OF TULSA FILING	9 CITY OF TULSA FILING	10 CITY OF TULSA FILING RESOLUTION DEADLINE FOR 8/25 SPECIAL ELEC	11	12	13
	14	15	16	17	18	19	20
	21	22	23	24	25	26	27
	28	29	30 STATE AND COUNTY PRIMARY POSSIBLE SPEC CAND/PROP	1 JULY	2	3 INDEPENDENCE HOLIDAY OBSERVED	4 INDEPENDENCE DAY
JULY	5	6 FILING FIRE DISTRICTS	7 FILING FIRE PROTECTION DISTRICTS	8 FILING FIRE DISTRICTS	9	10	11
	12	13	14	15	16	17	18
	19	20	21	22	23	24	25
	26	27	28	29	30	31 REGISTRATION DEADLINE 8/25 RUNOFF	1 AUGUST
AUGUST	2	3	4	5	6	7	8
	9	10	11	12	13	14	15
	16	17	18	19 RESOLUTION DEADLINE FOR 11 / 3 SPECIAL ELEC	20	21	22
	23	24	25 STATE/COUNTY RUNOFF PRIM CITY OF TULSA GENERAL POSSIBLE SPEC CAND/PROP	26	27	28	29
	30	31	1 SEPTEMBER	2	3	4	5
SEPTEMBER	6	7 LABOR DAY	NO ELECTIONS ALLOWED FOR THE MONTH OF SEPTEMBER				
	13	14	15	16	17	18	19
	20	21	22	23	24	25	26
	27	28	29	30	1 OCTOBER	2	3
OCTOBER	4	5	6	7	8	9 REGISTRATION DEADLINE 11/3 GENERAL	10
	11	12	13	14	15	16	17
	18	19	20	21	22	23	24
	25	26	27	28	29	30	

This Page Intentionally Left Blank



Municipal Reporting Schedule

Candidate Committee Reporting Dates:

REPORTING DATES: File reports quarterly, unless an election is held before the next quarterly report is due. If an election is held use the Pre and Post-Election Reporting information below.

QUARTERLY REPORTING

Filing Dates	Period Covered	Type of Report Due
April 1 - April 30	January 1 - March 31	1st Quarter Contributions and Expenditures Report
July 1 - July 31	April 1 - June 30	2nd Quarter Contributions and Expenditures Report
October 1 - October 31	July - September 30	3rd Quarter Contributions and Expenditures Report
January 1 - January 31	October 1 - December 31	4th Quarter Contributions and Expenditures Report

PRE-ELECTION REPORTING: Begin with the date following the last date covered by a Contributions and Expenditures Report and end 15 days before the election.

Filing Dates	Period Covered	Type of Report Due
8-14 days before the primary election	Begin with the day following the last date covered by a Contributions and Expenditures Report and end 15 days before the election	Contributions and Expenditures Report
Final two weeks prior to an election, reports are required within 24 hours for a contribution or contributions over \$1,000 from a single source.		Continuing Report of Contributions

SAMPLE PRE-ELECTION REPORTING CALENDAR: Election held Tuesday, June 28, 2016

Filing Dates	Period Covered	Type of Report Due
Monday, June 20 – Tuesday, June 14	April 1 – June 13, 2016	Contributions and Expenditures Report
Within 24 hours of accepting in excess of \$1000 in the aggregate from a contributor	June 14 – June 26, 2016	Continuing Report of Contributions

POST-ELECTION REPORTING: Begin with the date following the last date covered by a Contributions and Expenditures Report and end on the last date of the period covered by the next quarterly report.

Political Committees Reporting Dates:

Quarterly filing according to the Candidates non-election year schedule.

Two weeks prior to elections. Independent Expenditure or Electioneering Reports may be due during the two weeks prior to an election if the committee makes an independent expenditure or electioneering communication in excess of \$5,000.00 prior to an election.

- For IE/EC expenditures made more than 15 days prior to an election, a report is due **no earlier than fourteen (14) days** prior to the election and **no later than eight (8) days** before an election
- For IE/EC expenditures made within the last 14 days of an election, a report is due **no later than the business day following** the day the expenditure is made.

https://www.ok.gov/ethics/County_Municipal_School_Reporting/Municipal_Reporting/index.html

This Page Intentionally Left Blank

Section 2

Election and Campaign Disclosure Forms

This Page Intentionally Left Blank

Section 2A

Municipal Declaration of Candidacy Forms

The following forms should be filed with the Tulsa County Election Board

555 N. Denver Ave.

Tulsa, OK 74103

electionboard@tulsacounty.org

(918) 596-5780

This Page Intentionally Left Blank



MUNICIPAL OFFICER DECLARATION OF CANDIDACY

Candidate Information and Oath

NOTICE: All information provided on this form will be made publicly available.

PLEASE TYPE OR PRINT

CANDIDATE INFORMATION

Candidate's name as it will appear on ballot:

Candidate's full legal name:

Date of birth:

(Month, Date, Year):

Title of Office Sought:

(include district office or ward number if applicable)

Name of Municipality:

Candidate's Residence Address:

(Street, City, State, Zip)

Candidate's Mailing Address:

(Street, City, State, Zip)

I am a registered voter in precinct # _____ in the County of _____

Optional Information:

Phone number:

Email Address:

Website:

CRIMINAL HISTORY DISCLOSURE AND OATH

CRIMINAL DISCLOSURE:

Have you been convicted, pled guilty or nolo contendere, or otherwise been determined by a court of proper authority in Oklahoma or in another state to be guilty of a misdemeanor involving embezzlement or of a felony under the laws of this state or of the United States? **YES** **NO**

Have you been named in an outstanding warrant for arrest for a misdemeanor involving embezzlement or a felony in this or any other state or of the United States? **YES** **NO**

If you answer **YES** to either of these questions, you are required to fill out and sign the Criminal History Disclosure form and include it in your Declaration of Candidacy.

I, the undersigned, swear or affirm that the information provided in this Declaration of Candidacy is true and correct, that I have read and signed the Candidate Qualifications for the office that I seek, that I am fully qualified to become a candidate for said office, and that I will be fully qualified to hold said office, if elected.

Signature of Candidate _____

NOTARY

State of _____ County of _____

Subscribed and sworn before me _____, 20____

My Commission expires _____ Commission Number _____

SEAL

Signature of Notary Public or Officer Authorized to Administer Oath

Title of Officer (Notary Public or Other Officer)

Oklahoma Declaration of Candidacy

Criminal History Disclosure

Candidate's Name: _____ Title of office sought: _____

If you have been convicted, pled guilty or nolo contendere, or otherwise been determined by a court of proper authority in Oklahoma or in another state to be guilty of a misdemeanor involving embezzlement or of a felony under the laws of this state or of the United States, or if at this time you are named in an outstanding warrant for arrest for a misdemeanor involving embezzlement or for a felony in the State of Oklahoma or in another state, you are required to complete this Criminal History Disclosure form.

Name of offense

Date of conviction, plea of guilty or nolo contendere, or deferral of sentence or date of issuance of outstanding warrant

Time prescribed in original judgement and sentence or deferral of sentence

County and State of conviction, plea, or deferral or issuance of outstanding warrant

Name of offense

Date of conviction, plea of guilty or nolo contendere, or deferral of sentence or date of issuance of outstanding warrant

Time prescribed in original judgement and sentence or deferral of sentence

County and State of conviction, plea, or deferral or issuance of outstanding warrant

Name of offense

Date of conviction, plea of guilty or nolo contendere, or deferral of sentence or date of issuance of outstanding warrant

Time prescribed in original judgement and sentence or deferral of sentence

County and State of conviction, plea, or deferral or issuance of outstanding warrant

X

Date: _____

Candidate's Signature

Use additional copies of this form if more space is required. Page ____ of ____

Candidates for Elective Municipal Office Qualifications and Requirements

The following requirements and qualifications are set forth in the *Oklahoma Statutes* and apply to all candidates for elective municipal office in all Towns, Aldermanic Cities, Strong Mayor-Council Cities, and Council-Manager Cities. Charter Cities may include additional qualifications or requirements in their Charters.

Title 11 O.S., Section 8-101 – Qualifications for Elected Office

A municipal elected official shall be a resident and a registered voter of the municipality in which he serves, and all councilmembers or trustees from wards shall be actual residents of their respective wards. If an elected official ceases to be a resident of the municipality, he shall thereupon cease to be an elected official of that municipality.

Title 11 O.S., Section 8-102 – Term of Office

Unless otherwise provided for by law, the term of office of an elected municipal official shall be four (4) years. The term of office of an elected official shall begin at 12:00 noon on the second Monday following the general municipal election, and such official shall serve until his successor is elected and qualified. If a newly elected official does not qualify within thirty (30) days after his term of office begins, the office shall become vacant and shall be filled in the manner provided by law. In order to complete the unexpired term, the office of an official who is holding over shall be filled at the next general election in compliance with the provisions of Sections 16-101 through 16-213 of this Title.

Title 11 O.S., Section 8-103 – Oath of Office

Any officer, elected or appointed, before entering upon the duties of his office, shall take and subscribe to the oath or affirmation of office prescribed by the *Oklahoma Constitution*. The oath or affirmation shall be filed in the office of the municipal clerk.

Title 11 O.S., Section 8-105 – Certain Officers to Give Bond

The municipal governing body shall require the municipal treasurer, any officer or employee designated by ordinance to sign municipal warrants or municipal checks, and any other officers and employees as the governing body may designate by ordinance, to give bond for the faithful performance of his duties within ten (10) days after his election or appointment, in such amount and form as the governing body shall prescribe. The municipality shall pay the premiums on such bonds.

Title 11 O.S., Section 8-106 – Nepotism

No elected or appointed official or other authority of the municipal government shall appoint or elect any person related by affinity or consanguinity within the third degree to any governing body member or to himself or, in the case of a plural authority, to any one of its members to any office or position of profit in the municipal government. The provisions of this section shall not prohibit an officer or employee already in the service of the municipality from continuing in such service or from promotion therein. A person may hold more than one office or position in a municipal government as the governing body may ordain. A member of the governing body shall not receive compensation for service in any municipal office or position other than his elected office.

Title 11 O.S., Section 16-106 – Unopposed Candidates in General Election

Any candidate who is unopposed for an office in a general municipal election shall be deemed elected and certified; and his name shall not appear on the general election ballot. If there is only one candidate for each of the offices which are to be filled at the election, and no questions are to be voted upon at the election, the general municipal election shall not be held.

Title 11 O.S., Section 16-110 – Filing as a Candidate in a Nonpartisan Municipal Election

A candidate may have his or her name printed upon the nonpartisan general municipal election ballot as candidate for any office to be filled at the election. To become a candidate, a declaration of candidacy must be filed with the county election board no earlier than 8:00 a.m. on the first Monday in February and no later than 5:00 p.m. on the next succeeding Wednesday. A candidate must also be a registered voter at an address within the municipality, or of the ward where the office is from a ward for at least six (6) months prior to filing a declaration of candidacy. Filing as a candidate in a nonpartisan municipal election or voting for such candidate shall not affect one's party affiliation or regularity.

Title 26 O.S., Section 4-110.1(D) – Submitting Voter Registration Applications

D. Registration for candidate filing or party affiliation purposes occurs at the earliest time the completed voter registration application is received at the State Election Board, any county election board, any agency designated to accept voter registration applications or any Motor License Agent as part of a driver license or identification card application provided that the application subsequently is approved by the secretary of the county election board for the county of the applicant's residence; or, in the case of mail applications, registration for candidate filing or party affiliation purposes shall occur at the time when the completed voter registration application is postmarked provided that the application subsequently is approved by the secretary of the county election board for the county of the applicant's residence; or, in the case of a mail application received without a postmark, registration for candidate filing or party affiliation purposes shall occur at the earliest time when the completed application is received by the State Election Board or any county election board provided that the application is subsequently approved by the secretary of the county election board for the county of the applicant's residence.

Towns: The following qualifications apply to candidates for Town Board of Trustees

Title 11 O.S., Section 12-103 - Qualifications of Trustees

The trustees who are nominated from wards shall be actual residents of their respective wards. Removal of a trustee from the ward for which he was elected shall not cause a vacancy in the office of that trustee.

Title 11 O.S., Section 12-103.1 - Nomination and Election of at Large Trustees - Ordinance - Petition

A. The board of trustees may, by ordinance, provide for the nomination and election at large of the trustees of a statutory town board of trustees form of government; provided, however, that such ordinance shall not become effective until sixty (60) days following the date of its publication. After the ordinance becomes effective, the requirement that trustees of a town be residents of and nominated from wards shall not apply.

Statutory Cities: The following qualifications, as indicated below, apply to Councilmember candidates in Aldermanic, Strong-Mayor-Council, and Council-Manager cities in Oklahoma.

Title 11 O.S., Section 9-103 (Aldermanic City) – Qualifications of Governing Body Members

The governing body members shall be residents and registered voters of the city, and the councilmembers from wards shall be actual residents of their respective wards. Removal of a councilmember from the ward for which he was elected shall cause a vacancy in the office of that councilmember.

Title 11 O.S., Section 10-103 (Council-Manager City) – Qualifications of Councilmembers

The councilmembers shall be residents and registered voters of the city. The councilmembers from wards shall be actual residents of their respective wards at the time of their candidacy and election; but removal of a councilmember from one ward to another within the city after his election, or a change in ward boundaries, shall not disqualify him from completing the term for which he was elected.

Title 11 O.S., Section 11-103 (Strong Mayor-Council City) – Qualifications of Governing Body Members

The governing body members shall be residents and registered voters of the city. The councilmembers from wards shall be actual residents of their respective wards at the time of their candidacy and election; but removal of a councilmember from one ward to another within the city after his election, or a change in ward boundaries, shall not disqualify him from completing the term for which he was elected.

Charter Cities: Cities governed by charter may have established additional and/or unique qualifications and requirements for candidates for municipal offices. Any such additional candidate qualifications and requirements for an elected municipal office in a charter city are described in the election resolution. If the charter is silent in regard to candidate qualifications and requirements, then the provisions of state law apply to such candidates.

By my signature below, I indicate that I have read these qualifications and requirements, that I am eligible to be a candidate for the office I seek, and, if elected, I am eligible to serve in such office.

Name of Candidate (print or type)

Signature of Candidate

Date

10/2019

CITY OF TULSA
PETITION SUPPORTING CANDIDATE FOR OFFICE OF CITY AUDITOR

(Name of Candidate)

I, the undersigned, hereby petition the County Election Board to file the attached Declaration of Candidacy of the above-named person as a candidate for the office of City Auditor and hereby certify that I am a registered voter in the State of Oklahoma, in the City of Tulsa, and that I am eligible to vote for the above-named person as a candidate at an election to be held on the 25th day of August, 2020, wherein said candidate's name could appear on the ballot. That my name, address, and date of signing this petition are as follows:

VOTER'S SIGNATURE	VOTER'S NAME (PRINT)	VOTER'S ADDRESS (PRINT)	Date Signed		
			MO.	DAY	YR.
1.		Street Address			
		Zip Code			
2.		Street Address			
		Zip Code			
3.		Street Address			
		Zip Code			
4.		Street Address			
		Zip Code			
5.		Street Address			
		Zip Code			
6.		Street Address			
		Zip Code			
7.		Street Address			
		Zip Code			
8.		Street Address			
		Zip Code			
9.		Street Address			
		Zip Code			
10.		Street Address			
		Zip Code			
11.		Street Address			
		Zip Code			
12.		Street Address			
		Zip Code			
13.		Street Address			
		Zip Code			
14.		Street Address			
		Zip Code			
15.		Street Address			
		Zip Code			

Witnessed By:

 (Printed name of circulator)

 (Signature of circulator)

Page ____ of ____

This Page Intentionally Left Blank

CITY OF TULSA
PETITION SUPPORTING CANDIDATE FOR OFFICE OF CITY COUNCILOR
For Council District _____

(Name of Candidate)

I, the undersigned, hereby petition the County Election Board to file the attached Declaration of Candidacy of the above-named person as a candidate for the office of City Councilor and hereby certify that I am a registered voter in the State of Oklahoma, in the City of Tulsa, and that I am eligible to vote for the above-named person as a candidate at an election to be held on the 25th day of August, 2018, wherein said candidate's name could appear on the ballot. That my name, address, and date of signing this petition are as follows:

			Date Signed		
VOTER'S SIGNATURE	VOTER'S NAME (PRINT)	VOTER'S ADDRESS (PRINT)	MO.	DAY	YR.
1.		Street Address			
		Zip Code			
2.		Street Address			
		Zip Code			
3.		Street Address			
		Zip Code			
4.		Street Address			
		Zip Code			
5.		Street Address			
		Zip Code			
6.		Street Address			
		Zip Code			
7.		Street Address			
		Zip Code			
8.		Street Address			
		Zip Code			
9.		Street Address			
		Zip Code			
10.		Street Address			
		Zip Code			
11.		Street Address			
		Zip Code			
12.		Street Address			
		Zip Code			
13.		Street Address			
		Zip Code			
14.		Street Address			
		Zip Code			
15.		Street Address			
		Zip Code			

Witnessed By:

 (Printed name of circulator)

 (Signature of circulator)

Page ____ of ____

This Page Intentionally Left Blank

Section 2B

Municipal Candidate Committee Forms

The following forms should be filed with the City of Tulsa, City Clerk's Office:

175 E. 2nd Street, Suite 260

Tulsa, OK 74103

cityclerk@cityoftulsa.org

(918) 596-7513

This Page Intentionally Left Blank

STATE OF OKLAHOMA
MUNICIPALITY OF _____
(Name of Municipality)

CAMPAIGN COMMITTEE STATEMENT OF ORGANIZATION

1. CANDIDATE INFORMATION

AMENDED:

Name as it will appear on the ballot (Last, First, Middle)		Party Affiliation
Complete name of Office Sought		Special or General Election Date
Candidate Residence Street Address 1	Candidate Mailing Address 1	
Candidate Residence Street Address 2	Candidate Mailing Address 2	
Candidate Residence City, State, Zip Code	Candidate Mailing City, State, Zip Code	
Phone Number 1 (xxx) xxx-xxxx ext. xxxxx	Phone Number 2 (xxx) xxx-xxxx ext. xxxxx	Candidate Email Address

2. COMMITTEE INFORMATION

Candidate Committee Name:		
Committee Physical Street Address 1	Committee Mailing Address 1	
Committee Physical Street Address 2	Committee Mailing Address 2	
Committee City, State, Zip Code	Committee Mailing Address City, State, Zip Code	
Phone Number 1 (xxx) xxx-xxxx ext. xxxxx	Phone Number 2 (xxx) xxx-xxxx ext. xxxxx	Committee Email Address
Committee Website Address	Social Media Account Address	Social Media Account Address
Social Media Account Address	Social Media Account address	Social Media Account Address

3. COMMITTEE OFFICERS INFORMATION

Chair's Name (First, Middle, Last)	Treasurer's Name (First, Middle, Last)	Deputy Treasurer's Name (First, Middle, Last)
Street Address 1	Street Address 1	Street Address 1
Street Address 2	Street Address 2	Street Address 2
City, State, Zip Code	City, State, Zip Code	City, State, Zip Code
Phone Number (xxx) xxx-xxxx ext. xxxxx	Phone Number (xxx) xxx-xxxx ext. xxxxx	Phone Number (xxx) xxx-xxxx ext. xxxxx
Email Address	Email Address	Email Address

4. DEPOSITORY INFORMATION

Account 1	Account 2	Account 3	Account 4
Street Address 1	Street Address 1	Street Address 1	Street Address 1
Street Address 2	Street Address 2	Street Address 2	Street Address 2
City, State, Zip Code	City, State, Zip Code	City, State, Zip Code	City, State, Zip Code

I, the candidate identified on this form, acknowledge that the information submitted is complete, true and accurate as of the date submitted. I understand the failure to provide such information is a violation of the laws of Oklahoma. I understand that I can update the information above at any time by filing an amended statement of organization.

Signature

Date

For Municipal use only.

Number assigned: _____

This Page Intentionally Left Blank

STATE OF OKLAHOMA MUNICIPALITY OF _____
(Name of Municipality)

**MUNICIPAL CANDIDATE COMMITTEE
CONTRIBUTIONS AND EXPENDITURES REPORT**

Full Legal Name of Candidate		AMENDED: <input type="checkbox"/>
Full Name of Committee		
Complete Name of Office Sought		Special or General Election Date
Type of Report	Reporting Period:	

☐ **NO ACTIVITY.** This Committee did not receive any funds or contributions, incur any loans, or expend any funds during this reporting period.

☐ **FINAL REPORT.** This Committee dissolved in accordance with the Ethics Rules and has no funds remaining.

☐ **DEBT.** This Committee dissolved with outstanding debt which was resolved as indicated on Schedule J.

SCHEDULE SUMMARY

**REPORTING
PERIOD
TOTAL**

**AGGREGATE
TOTAL**

1.	REPORTING PERIOD BEGINNING BALANCE:		
2.	Surplus Funds Transferred from Prior Committee [Schedule B]		
3.	Monetary Contributions from Individuals [Schedule A]		
4.	Monetary Contributions from PACs [Schedule A]		
5.	Monetary Contributions from a Political Party [Schedule A]		
6.	All Other Funds [Schedule B]		
7a.	Loans [Schedule C]		
7b.	Loan Forgiveness [Schedule C]		
8.	TOTAL FUNDS RECEIVED:		
9.	In Kind Contributions [Schedule D]		
10.	TOTAL FUNDS AND IN KIND CONTRIBUTIONS RECEIVED:		
11.	Campaign Expenditures Made [Schedule E]		
12.	Contributions to Candidate Committees [Schedule E]		
13.	Officeholder Expenses [Schedule F]		
14.	Surplus Funds [Schedule H or Schedule B for transfers to new committee]		
15.	TOTAL EXPENDITURES MADE:		
16.	In-Kind Expenditures [Schedule E or H for In-Kind Surplus Funds]		
17.	Refunds issued [Calculated in Schedules A and B]		
18.	Debt from Prior Committee		
19.	REPORTING PERIOD ENDING BALANCE: [Line 1 + Line 8 - Line 15]		

By signing, electronic or otherwise, my name below, I, acknowledge that the information submitted is complete, true and accurate as of the date submitted. I understand the failure to provide such information is a violation of the laws of Oklahoma. I understand that I can update the information above at any time by filing an amended Contributions and Expenditures Report.

Date submitted

Officer's signature

This Page Intentionally Left Blank

Amended: ☐

Contributions of \$50 or less	Number	Reporting Period Total	Aggregate

[illegible]

Committee Schedule A—Monetary Contributions Continued

Amended: ☐

Full Legal Name of Candidate (if applicable)		Full Name of Committee	
Type of Report	Reporting Period:		Number (if assigned)

Date	Contributor Information [Name/Address/Occupation/Employer] [Name of Committee, Committee #]	Type of Contributor	Amount	Reporting Period Total	Aggregate Total

Amended: ☐

Full Legal Name of Candidate (if applicable)	Full Name of Committee	
Type of Report	Reporting Period:	Number (if assigned)

[illegible]

Committee Schedule B—All Other Funds Continued

Amended: ☐

Full Legal Name of Candidate (if applicable)	Full Name of Committee	
Type of Report	Reporting Period:	Number (if assigned)

[illegible]

COMMITTEE SCHEDULE C--LOANS

Amended:

Full Legal Name of Candidate (if applicable)	Full Name of Committee	
Type of Report	Reporting Period	Ethics Number

BEGINNING OF REPORTING PERIOD TOTAL LOAN BALANCE	REPORTING PERIOD TOTAL OF PAYMENTS MADE ON LOANS	END OF REPORTING PERIOD TOTAL LOAN BALANCE

<input type="checkbox"/> SELF (Candidate) <input type="checkbox"/> COMMERCIAL FINANCIAL INSTITUTION <input type="checkbox"/> OTHER 3RD PARTY			
NAME	ADDRESS	OTHER 3 RD PARTY ONLY (Occupation and Employer)	
Date of Loan	Interest Rate (0% by law if lender is self)	Amount of Loan	
Loan Terms:			
REPAYMENT INFORMATION		Reporting Period or Loan Beginning Balance	Reporting Period Ending Balance
Payment Dates	Description	Amount	Loan Balance

<input type="checkbox"/> SELF (Candidate) <input type="checkbox"/> COMMERCIAL FINANCIAL INSTITUTION <input type="checkbox"/> OTHER 3RD PARTY			
NAME	ADDRESS	OTHER 3 RD PARTY ONLY (Occupation and Employer)	
Date of Loan	Interest Rate (0% by law if lender is self)	Amount of Loan	
Loan Terms:			
REPAYMENT INFORMATION		Reporting Period or Loan Beginning Balance	Reporting Period Ending Balance
Payment Dates	Description	Amount	Loan Balance

Committee Schedule C—Loans Continued

Amended:

Full Legal Name of Candidate (if applicable)	Full Name of Committee	
Type of Report:	Reporting Period	Ethics Number

<input type="checkbox"/> SELF (Candidate) <input type="checkbox"/> COMMERCIAL FINANCIAL INSTITUTION <input type="checkbox"/> OTHER 3RD PARTY			
NAME	ADDRESS	OTHER 3 RD PARTY ONLY (Occupation and Employer)	
Date of Loan	Interest Rate (0% by law if lender is self)	Amount of Loan	
Loan Terms:			
REPAYMENT INFORMATION		Reporting Period or Loan Beginning Balance	Reporting Period Ending Balance
Payment Dates	Description	Amount	Loan Balance

<input type="checkbox"/> SELF (Candidate) <input type="checkbox"/> COMMERCIAL FINANCIAL INSTITUTION <input type="checkbox"/> OTHER 3RD PARTY			
NAME	ADDRESS	OTHER 3 RD PARTY ONLY (Occupation and Employer)	
Date of Loan	Interest Rate (0% by law if lender is self)	Amount of Loan	
Loan Terms:			
REPAYMENT INFORMATION		Reporting Period or Loan Beginning Balance	Reporting Period Ending Balance
Payment Dates	Description	Amount	Loan Balance

Amended: ☐

Full Legal Name of Candidate (if applicable)		Full Name of Committee	
Type of Report	Reporting Period:		Number (if assigned)

In Kind Contributions of \$50 or less	Number	Reporting Period Total	Aggregate Total

IN KIND CONTRIBUTIONS EXCEEDING \$50

[illegible]

Committee Schedule D—In Kind Contributions Continued

Amended: ☐

Full Legal Name of Candidate (if applicable)		Full Name of Committee	
Type of Report	Reporting Period:		Number (if assigned)

Date	Contributor Information [Name/Address/Occupation/Employer] [Name of Committee, Committee #]	Type of Contributor	Description of Goods or Services	Amount	Reporting Period Total	Aggregate Total

COMMITTEE SCHEDULE E—GENERAL EXPENDITURES

Amended: ☐

Full Legal Name of Candidate (if applicable)	Full Name of Committee
Type of Report:	Reporting Period

Expenditures of \$200 or less	Number	Reporting Period Total	Aggregate Total

GENERAL EXPENDITURES EXCEEDING \$200

Date	Entity Receiving Expenditure [Name, Address] or [Committee Name, Committee #]	Category of Expenditure	Description of the Goods or Services Purchased	Amount	Reporting Period Total	Aggregate Total

Amended: ☐

Full Legal Name of Candidate (if applicable)	Full Name of Committee
Type of Report	Reporting Period

[illegible]

COMMITTEE SCHEDULE F—OFFICEHOLDER EXPENSES

Amended: ☐

Full Legal Name of Candidate (if applicable)		Full Name of Committee	
Type of Report	Reporting Period:		Number (if assigned)

Officeholder Expenses of \$200 or less	Number	Reporting Period Total	Aggregate Total

OFFICEHOLDER EXPENSES EXCEEDING \$200

Date	Name and Address of Entity to Whom Expenditure was Made	Description of the Goods or Services Purchased	Amount	Reporting Period Total	Aggregate Total

Committee Schedule F—Officeholder Expenditures Continued

Amended: ☐

Full Legal Name of Candidate (if applicable)	Full Name of Committee		
Type of Report	Reporting Period:		Number (if assigned)

[illegible]

COMMITTEE SCHEDULE H —SURPLUS FUNDS

Amended: ☐

Full Legal Name of Candidate (if applicable)	Full Name of Committee
Type of Report:	Reporting Period

[illegible]

Committee Schedule H —Surplus Funds Continued

Amended: ☐

Full Legal Name of Candidate (if applicable)	Full Name of Committee
Type of Report	Reporting Period

Date	Entity Receiving Funds	Category of Expense	Amount	Reporting Period Total	Aggregate Total

COMMITTEE SCHEDULE J—RESOLUTION OF DEBT

Amended: ☐

Full Legal Name of Candidate (if applicable)	Full Name of Committee
Type of Report	Reporting Period:

Date	Name and Address of Entity Holding Debt	Description of How Debt is Resolved [including name of entity resolving debt]	Amount	Total

Committee Schedule J—Resolution of Debt Continued

Amended: ☐

Full Legal Name of Candidate (if applicable)	Full Name of Committee
Type of Report	Reporting Period:

[illegible]

STATE OF OKLAHOMA MUNICIPALITY OF _____ (Name of Municipality)

**CANDIDATE COMMITTEE
CONTINUING REPORT OF CONTRIBUTIONS**

AMENDED: ☐

Full Legal Name of Candidate		Number (if assigned)
Full Name of Committee		
Complete Name of Office Sought		Special or General Election Date
Type of Report 24 Hour Report	Reporting Period:	

CONTINUING CONTRIBUTORS SUMMARY

**REPORTING PERIOD
TOTAL**

1.	Monetary Contributions from Individuals	
2.	Monetary Contributions from PACs	
3.	Monetary Contributions from a Political Party	
4.	Loans	
5.	TOTAL FUNDS RECEIVED:	

By signing, electronic or otherwise, my name below, I, acknowledge that the information submitted is complete, true and accurate as of the date submitted. I understand the failure to provide such information is a violation of the Ethics Rules of Oklahoma. I understand that I can update the information above at any time by filing an amended Continuing Report of Contributions.

Date submitted

Officer's signature

Date	Contributor Information [Name/Address/Occupation/Employer] [Name of Committee, Committee #]	Type of Contributor	Amount

Amended: ☐

Full Legal Name of Candidate (if applicable)	Full Name of Committee	
Type of Report 24 Hour Report	Reporting Period:	Number (if assigned)

[illegible]

STATE OF OKLAHOMA
MUNICIPALITY OF _____
(Name of Municipality)

**FINANCIAL DISCLOSURE STATEMENT
FOR ELECTED OFFICERS**

Amended: ☐

Full Name of Elected Officer	Filing Year	Name of Elective Office	Term of Office
Electronic Mail Address	Work Phone Number	Mailing Address, City, State, Zip Code	

“Elected officer” shall mean a municipal officer who is subject to election or retention.

- ☐ I understand that as an elected officer, as defined above, I am required to comply with the Rules of the Oklahoma Ethics Commission applicable to non-state officers concerning filing and contents of Financial Disclosure Statements, and that, according to the Oklahoma Supreme Court, those Rules have the “weight of statutes.”
- ☐ I understand the purpose of Financial Disclosure is to assist elected officers in identifying and disclosing potential conflicts of interest between their public duties and private economic interests.
- ☐ I understand the Ethics Commission rules are available in the Oklahoma Statutes at Title 74, Chapter 62, Appendix I, and on the Ethics Commission website at www.ethics.ok.gov.
- ☐ I understand the Ethics Commission provides the forms that are required to be filed on the Ethics Commission website at www.ethics.ok.gov and is available to answer questions concerning financial disclosure.
- ☐ I understand an initial Financial Disclosure Statement is required to be filed with the Municipal Clerk within thirty (30) days of assuming office for a full or partial term covering the *prior* calendar year if a Financial Disclosure Statement covering the prior year has not already been filed.
- ☐ I understand that an annual Financial Disclosure Statement is required to be filed with the Municipal Clerk annually between January 1 and May 15 covering the *prior* calendar year if a Financial Disclosure Statement covering the prior year has not already been filed.
- ☐ I understand that, pursuant to Title 19 O.S. § 215.5, I may seek advice from the district attorney or his or her assistants concerning my duties as an elected officer.

Material Financial Interest.

I understand I must disclose, on this form, any material financial interest as defined below, that I, my spouse or my dependent(s) had in the preceding calendar year covering January 1, 20xx through December 31, 20xx.

A material financial interest shall mean one or more of the interests identified below:

- an ownership interest in a private business, including but not limited to, a closely held corporation, limited liability company, Subchapter S corporation or partnership for which I, my spouse or my dependent(s) is a director, officer, owner, manager, employee, or agent or any private business, closely held corporation or limited liability company in which I, my spouse or my dependent(s) owns or has

owned stock, another form of equity interest, stock options, debt instruments, or has received dividends or income worth \$20,000.00 or more;

- an ownership interest of 5% or more in a publicly traded corporation or other business entity;
- an ownership interest in a publicly traded corporation or other business entity from which dividends or income, not to include salary, of \$50,000.00 or more were derived during the preceding calendar year;
- an interest that arises as a result of service as a director or officer of a publicly traded corporation or other business entity;
- income derived from employment, other than compensation pertaining to the office subject to election or retention, in the amount of \$20,000.00 or more.

Disclose in the table below the name and address of all entities in which you, your spouse or your dependents had a material financial interest in the preceding calendar year, and who has the interest.

Name and Address of Entity	Description (optional)	Filer / Spouse / Dependent
Name and Address of Entity	Description (optional)	Filer / Spouse / Dependent
Name and Address of Entity	Description (optional)	Filer / Spouse / Dependent
Name and Address of Entity	Description (optional)	Filer / Spouse / Dependent
Name and Address of Entity	Description (optional)	Filer / Spouse / Dependent

☐ **Amended Financial Disclosure Statement Certification.** I certify this amendment is not made for the purpose of reporting information that was intentionally omitted or misstated on the original or previously filed Financial Disclosure Statement.

Acknowledgement: By signing, electronic or otherwise, my name below, I, acknowledge that the information submitted is complete, true and accurate as of the date submitted. I understand the failure to provide such information is a violation of the laws of Oklahoma. I understand that I can update the information above at any time by filing an amended Financial Disclosure Statement.

Date

Signature

Section 2C

Municipal Political Committee Forms

The following forms should be filed with the City of Tulsa, City Clerk's Office:

175 E. 2nd Street, Suite 260

Tulsa, OK 74103

cityclerk@cityoftulsa.org

(918) 596-7513

This Page Intentionally Left Blank

STATE OF OKLAHOMA
MUNICIPALITY OF _____
(Name of Municipality)

POLITICAL COMMITTEE STATEMENT OF ORGANIZATION

1. COMMITTEE INFORMATION

AMENDED:

Full Name of Committee (No Acronyms)		Acronym	Registration Year
Type of Political Action Committee and Purpose of Committee			
Physical Street Address 1		Mailing Address 1	
Physical Street Address 2		Mailing Address 2	
Physical Street Address City, State, Zip Code		Mailing City, State, Zip Code	
Phone Number 1 (xxx) xxx-xxxx ext. xxxxx	Phone Number 2 (xxx) xxx-xxxx ext. xxxxx	Email address	
Website Address	Social Media Account	Social Media Account	

2. AFFILIATED CORPORATION OR LABOR UNION INFORMATION

Affiliated Corporation or Labor Union Name:		
Physical Street Address 1		Mailing Address 1
Physical Street Address 2		Mailing Address 2
City, State, Zip Code		Mailing Address City, State, Zip Code
Main Phone Number (xxx) xxx-xxxx ext. xxxxx	Internet website	Email address

3. COMMITTEE OFFICERS INFORMATION

Chair Name (First, Middle, Last)	Treasurer Name (First, Middle, Last)	Deputy Treasurer Name (First, Middle, Last)
Street Address 1	Street Address 1	Street Address 1
Street Address 2	Street Address 2	Street Address 2
City, State, Zip Code	City, State, Zip Code	City, State, Zip Code
Phone Number (xxx) xxx-xxxx ext. xxxxx	Phone Number (xxx) xxx-xxxx ext. xxxxx	Phone Number (xxx) xxx-xxxx ext. xxxxx
Email Address	Email Address	Email Address

4. DEPOSITORY INFORMATION

Account 1	Account 2	Account 3	Account 4
Street Address 1	Street Address 1	Street Address 1	Street Address 1
Street Address 2	Street Address 2	Street Address 2	Street Address 2
City, State, Zip Code	City, State, Zip Code	City, State, Zip Code	City, State, Zip Code

I acknowledge that the information submitted is complete, true and accurate as of the date submitted. I understand the failure to provide such information is a violation of laws of Oklahoma. I understand that I can update the information above at any time by filing an amended statement of organization.

For Municipal Clerk office use only.

Number assigned: _____

Signature

Date

This Page Intentionally Left Blank

STATE OF OKLAHOMA MUNICIPALITY OF _____
(Name of Municipality)
POLITICAL COMMITTEE
CONTRIBUTIONS AND EXPENDITURES REPORT **AMENDED:**

Full Name of Committee	Acronym	Number (if assigned)
Type of Political Action Committee and Purpose of Committee		
Affiliated Corporation or Labor Union	Reporting Period:	

- ☐ **NO ACTIVITY.** This Committee did not receive any funds or contributions, take out any loans, or expend any funds during this reporting period.
- ☐ **FINAL REPORT.** This Committee dissolved in accordance with the Ethics Rules and has no funds remaining.
- ☐ **Debt.** This Committee dissolved with outstanding debt which was resolved as indicated on Schedule J.

SCHEDULE SUMMARY		REPORTING PERIOD TOTAL	AGGREGATE TOTAL
1.	REPORTING PERIOD BEGINNING BALANCE:		
2.	Monetary Contributions from Individuals [Schedule A]		
3.	Monetary Contributions from PACs [Schedule A]		
4.	Monetary Contributions from Other Entities [Schedule A][Unlimited Committees Only]		
5.	All Other Funds [Schedule B]		
6.	Transfers from Associated PAC's [Schedule B]		
7.	Loans [Schedule C]		
8.	TOTAL FUNDS RECEIVED:		
9.	In Kind Contributions [Schedule D]		
10.	TOTAL FUNDS AND IN KIND CONTRIBUTIONS RECEIVED:		
11.	General Expenditures Made [Schedule E]		
12.	Contributions to Other PACs [Schedule E]		
13.	Contributions to Political Party Committees [Schedule E]		
14.	Contributions to Candidate Committees [Schedule E]		
15.	Transfers to Associated PACs [Schedule B]		
16.	Electioneering Communications [Schedule G]		
17.	Independent Expenditures [Schedule G]		
18.	Surplus Funds [Schedule H]		
19.	TOTAL FUNDS EXPENDED:		
20.	Refunds issued [Calculated in Schedules A and B]		
21.	Costs Paid by Affiliated Entities [Schedule I]		
22.	REPORTING PERIOD ENDING BALANCE: [Line 1 + Line 8 - Line 19]		

By signing, electronic or otherwise, my name below, I, acknowledge that the information submitted is complete, true and accurate as of the date submitted. I understand the failure to provide such information is a violation of the Laws of Oklahoma. I understand that I can update the information above at any time by filing an amended Contributions and Expenditures Report.

Date submitted

Officer or Agent signature

This Page Intentionally Left Blank

Amended: ☐

Full Legal Name of Candidate (if applicable)		Full Name of Committee	
Type of Report	Reporting Period:		Number (if assigned)

Contributions of \$50 or less	Number	Reporting Period Total	Aggregate

[illegible]

Committee Schedule A—Monetary Contributions Continued

Amended: ☐

Full Legal Name of Candidate (if applicable)		Full Name of Committee	
Type of Report	Reporting Period:		Number (if assigned)

Date	Contributor Information [Name/Address/Occupation/Employer] [Name of Committee, Committee #]	Type of Contributor	Amount	Reporting Period Total	Aggregate Total

Amended: ☐

Full Legal Name of Candidate (if applicable)	Full Name of Committee	
Type of Report	Reporting Period:	Number (if assigned)

[illegible]

Committee Schedule B—All Other Funds Continued

Amended: ☐

Full Legal Name of Candidate (if applicable)	Full Name of Committee	
Type of Report	Reporting Period:	Number (if assigned)

[illegible]

COMMITTEE SCHEDULE C--LOANS

Amended:

Full Legal Name of Candidate (if applicable)	Full Name of Committee	
Type of Report	Reporting Period	Ethics Number

BEGINNING OF REPORTING PERIOD TOTAL LOAN BALANCE	REPORTING PERIOD TOTAL OF PAYMENTS MADE ON LOANS	END OF REPORTING PERIOD TOTAL LOAN BALANCE

<input type="checkbox"/> SELF (Candidate) <input type="checkbox"/> COMMERCIAL FINANCIAL INSTITUTION <input type="checkbox"/> OTHER 3RD PARTY				
NAME		ADDRESS		OTHER 3 RD PARTY ONLY (Occupation and Employer)
Date of Loan		Interest Rate (0% by law if lender is self)		Amount of Loan
Loan Terms:				
REPAYMENT INFORMATION		Reporting Period or Loan Beginning Balance		Reporting Period Ending Balance
Payment Dates	Description	Amount	Loan Balance	

<input type="checkbox"/> SELF (Candidate) <input type="checkbox"/> COMMERCIAL FINANCIAL INSTITUTION <input type="checkbox"/> OTHER 3RD PARTY				
NAME		ADDRESS		OTHER 3 RD PARTY ONLY (Occupation and Employer)
Date of Loan		Interest Rate (0% by law if lender is self)		Amount of Loan
Loan Terms:				
REPAYMENT INFORMATION		Reporting Period or Loan Beginning Balance		Reporting Period Ending Balance
Payment Dates	Description	Amount	Loan Balance	

Committee Schedule C—Loans Continued

Amended:

Full Legal Name of Candidate (if applicable)	Full Name of Committee	
Type of Report:	Reporting Period	Ethics Number

<input type="checkbox"/> SELF (Candidate) <input type="checkbox"/> COMMERCIAL FINANCIAL INSTITUTION <input type="checkbox"/> OTHER 3RD PARTY				
NAME		ADDRESS		OTHER 3 RD PARTY ONLY (Occupation and Employer)
Date of Loan		Interest Rate (0% by law if lender is self)		Amount of Loan
Loan Terms:				
REPAYMENT INFORMATION		Reporting Period or Loan Beginning Balance		Reporting Period Ending Balance
Payment Dates	Description	Amount	Loan Balance	

<input type="checkbox"/> SELF (Candidate) <input type="checkbox"/> COMMERCIAL FINANCIAL INSTITUTION <input type="checkbox"/> OTHER 3RD PARTY				
NAME		ADDRESS		OTHER 3 RD PARTY ONLY (Occupation and Employer)
Date of Loan		Interest Rate (0% by law if lender is self)		Amount of Loan
Loan Terms:				
REPAYMENT INFORMATION		Reporting Period or Loan Beginning Balance		Reporting Period Ending Balance
Payment Dates	Description	Amount	Loan Balance	

Amended: ☐

Full Legal Name of Candidate (if applicable)		Full Name of Committee	
Type of Report	Reporting Period:		Number (if assigned)

In Kind Contributions of \$50 or less	Number	Reporting Period Total	Aggregate Total

IN KIND CONTRIBUTIONS EXCEEDING \$50

[illegible]

Committee Schedule D—In Kind Contributions Continued

Amended: ☐

Full Legal Name of Candidate (if applicable)		Full Name of Committee	
Type of Report	Reporting Period:		Number (if assigned)

Date	Contributor Information [Name/Address/Occupation/Employer] [Name of Committee, Committee #]	Type of Contributor	Description of Goods or Services	Amount	Reporting Period Total	Aggregate Total

COMMITTEE SCHEDULE E—GENERAL EXPENDITURES

Amended: ☐

Full Legal Name of Candidate (if applicable)	Full Name of Committee
Type of Report:	Reporting Period

Expenditures of \$200 or less	Number	Reporting Period Total	Aggregate Total

GENERAL EXPENDITURES EXCEEDING \$200

Date	Entity Receiving Expenditure [Name, Address] or [Committee Name, Committee #]	Category of Expenditure	Description of the Goods or Services Purchased	Amount	Reporting Period Total	Aggregate Total

Amended: ☐

Full Legal Name of Candidate (if applicable)	Full Name of Committee
Type of Report	Reporting Period

[illegible]

COMMITTEE SCHEDULE G
ELECTIONEERING COMMUNICATIONS OR INDEPENDENT EXPENDITURES

Amended: ☐

Full Name of Committee		Acronym	
Type of Report	Reporting Period:		Ethics Number

Date	Entity Receiving Expenditure [Name and Address]	Type of Expense [IE or EC]	Description	Name and Office of Candidate(s) IE/EC Stance [Support/Oppose]	Amount

Committee Schedule G—IE'S OR EC'S Continued

Amended: ☐

Full Name of Committee		Acronym	
Type of Report	Reporting Period		Ethics Number

[illegible]

COMMITTEE SCHEDULE H —SURPLUS FUNDS

Amended: ☐

Full Legal Name of Candidate (if applicable)	Full Name of Committee
Type of Report:	Reporting Period

[illegible]

Committee Schedule H —Surplus Funds Continued

Amended: ☐

Full Legal Name of Candidate (if applicable)	Full Name of Committee
Type of Report	Reporting Period

Date	Entity Receiving Funds	Category of Expense	Amount	Reporting Period Total	Aggregate Total

COMMITTEE SCHEDULE I—COSTS PAID BY AFFILIATED ENTITY

Amended: ☐

Full Name of Committee	Reporting Period
Name of Affiliated Entity	

Date	Entity Receiving Expenditure [Name, Address]	Cost Type	Amount	Reporting Period Total	Aggregate Total

Committee Schedule I—Costs Paid by Affiliated Entity Continued

Amended: ☐

Full Name of Committee	Reporting Period
Name of Affiliated Entity	

Date	Entity Receiving Expenditure [Name, Address]	Cost Type	Amount	Reporting Period Total	Aggregate Total

SCHEDULE K

INDEPENDENT EXPENDITURES AND ELECTIONEERING COMMUNICATIONS CONTRIBUTORS

Amended: ☐

Full Name of Committee or Person Making Expenditure		Acronym
Type of Report	Reporting Period	

Date	Contributor Information [Name/Address/Principal Business Activity] [Name of Committee, Committee #]	Type of Contributor	Amount	Reporting Period Total	Aggregate Total

Committee Schedule K—IE/EC Contributors Continued

Amended: ☐

Full Name of Committee or Person Making Expenditure		Acronym
Type of Report	Reporting Period	

Date	Contributor Information [Name/Address/Principal Business Activity] [Name of Committee, Committee #]	Type of Contributor	Amount	Reporting Period Total	Aggregate Total

STATE OF OKLAHOMA MUNICIPALITY OF _____ (Name of Municipality)

**CONTRIBUTIONS AND EXPENDITURES REPORT
FOR INDEPENDENT EXPENDITURES AND ELECTIONEERING COMMUNICATIONS**

AMENDED:

Full Name of Committee or Person Making Expenditure		Acronym
Address of Person Making Expenditure		
Full Name of Person Filing Report	Office or Title of Person Filing Report	Phone Number:
Address of Person Filing Report		
Type of Report	Reporting Period:	Ethics Number:

Contributors to a committee filing this report will be disclosed on Schedule A.

- ☐ **Non-committee filers only. Funds were received from others for the purpose of making independent expenditures or electioneering communications and those contributors are disclosed on Schedule K.**

TOTAL EXPENDITURES:	
----------------------------	--

Date	Amount	Type of Expense [IE or EC]	Description	Entity Receiving Expenditure [Name and Address]	Name and Office of Candidate

This Page Intentionally Left Blank

Section 3

City of Tulsa Regulations

This Page Intentionally Left Blank

(Published in the *Tulsa World*

April 12, 2020.)

RESOLUTION NUMBER 20041

A RESOLUTION CALLING FOR, AND REQUESTING THE TULSA COUNTY ELECTION BOARD TO CONDUCT, NON-PARTISAN GENERAL ELECTIONS ON AUGUST 25, 2020, AS NECESSARY TO ELECT THE MAYOR OF THE CITY OF TULSA, THE CITY AUDITOR FOR THE CITY OF TULSA, AND CITY COUNCILORS FOR ALL NINE (9) CITY OF TULSA COUNCIL ELECTION DISTRICTS, AS PROVIDED BY THE CITY OF TULSA'S AMENDED CITY CHARTER, ARTICLE VI; CALLING FOR NON-PARTISAN RUN-OFF ELECTIONS, IF NECESSARY, ON NOVEMBER 3, 2020 FOR SAID OFFICES OF THE MAYOR, THE CITY AUDITOR AND CITY COUNCILORS; PROVIDING THAT THE MAYOR AND CITY AUDITOR BE ELECTED FROM THE CITY AT LARGE, AND THAT EACH SAID CITY COUNCILOR BE ELECTED FROM HER OR HIS RESPECTIVE COUNCIL DISTRICT; PROVIDING THAT THE MAYOR, THE CITY AUDITOR, AND THE CITY COUNCILORS SO ELECTED SHALL TAKE OFFICE ON THE FIRST MONDAY IN DECEMBER, 2020, THAT IS: DECEMBER 7, 2020, AND THE MAYOR SHALL SERVE A TERM OF FOUR (4) YEARS, UNTIL THE FIRST MONDAY IN DECEMBER, 2024, THAT IS: DECEMBER 2, 2024, AND THE CITY AUDITOR AND CITY COUNCILORS SHALL SERVE TERMS OF TWO (2) YEARS, UNTIL THE FIRST MONDAY IN DECEMBER, 2022, THAT IS: DECEMBER 5, 2022; SETTING FORTH THE QUALIFICATIONS FOR CANDIDATES FOR SAID OFFICES; SETTING FORTH THE PROCEDURE FOR FILING ONE'S CANDIDACY; ESTABLISHING THE DATES ON WHICH TO FILE ONE'S CANDIDACY; REQUIRING A FILING FEE AND PROVIDING PROCEDURES THEREFOR; ASSURING THAT THE SAID ELECTIONS SHALL BE NON-PARTISAN ELECTIONS; ALLOWING FOR ABSENTEE BALLOTING AS REQUIRED BY LAW; SETTING OUT THE PROCEDURES TO BE FOLLOWED IN

CONDUCTING THE SAID ELECTIONS; ALLOWING CITY QUESTION(S) TO BE PRESENTED IF NECESSARY AT THE GENERAL ELECTION ON AUGUST 25, 2020 OR AT THE RUN-OFF ELECTION ON NOVEMBER 3, 2020; PROVIDING THAT THE ELECTION(S) BE CONDUCTED ACCORDING TO STATE LAW; REQUESTING THE TULSA COUNTY ELECTION BOARD TO COORDINATE WITH THE ELECTION BOARDS OF OTHER COUNTIES IN WHICH THE CITY OF TULSA IS LOCATED; REQUESTING THAT THOSE VOTING PRECINCTS PARTIALLY INSIDE AND PARTIALLY OUTSIDE THE CITY LIMITS OF THE CITY OF TULSA REMAIN OPEN FOR ALL ELECTIONS; REQUESTING THE MAYOR TO ISSUE A PROCLAMATION CALLING THE SAID ELECTIONS AS REQUIRED BY LAW; PROVIDING FOR THE PROPER PUBLICATION OF THIS RESOLUTION; AND SETTING AN EFFECTIVE DATE.

WHEREAS, Title 26 Oklahoma Statutes, "Elections", Section 13-101 and the City of Tulsa's Amended City Charter, Article VI, Section 5 provide that all municipal elections shall be conducted by the county election board; and

WHEREAS, on November 8, 2011 the voters of the City of Tulsa voted to amend the City of Tulsa's Amended City Charter, Article VI, Section 1.2, entitled "Terms", including sub-section A., "Mayor", sub-section B., "City Auditor" and sub-section C., "Councilors"; and

WHEREAS, on November 8, 2011 the voters of the City of Tulsa voted to amend the City of Tulsa's Amended City Charter, Article VI, by the enactment of a new Section 2.1, entitled "Elections to be Non-Partisan"; and

WHEREAS, on November 14, 2017 the voters of the City of Tulsa voted to amend the City of Tulsa's Amended City Charter, Article VI, by the enactment of a new Section 1.3, entitled "General Elections", setting the City of Tulsa's general election of officers to occur in August of each even-numbered year; and

WHEREAS, on November 14, 2017 the voters of the City of Tulsa voted to amend the City of Tulsa's Amended City Charter, Article VI, by the enactment of a new Section 3.4, entitled "Time of Filing", requiring candidates for City offices to file their Declaration of Candidacy with the appropriate Election Board on the second Monday in June and the following Tuesday and Wednesday of the election year; and

WHEREAS, on November 14, 2017 the voters of the City of Tulsa voted to amend the City of Tulsa's Amended City Charter, Article VI, by the enactment of a new Section 2.2, entitled "Election Procedure" which provides that:

1. If a candidate is unopposed for the election, or becomes unopposed by death, disqualification or withdrawal, no election shall be held, and such candidate shall be deemed elected;
2. If a candidate receives more than fifty percent (50%) of all votes cast for that office at the general election in August, such candidate shall be deemed elected;
3. If more than two (2) candidates file for the office, and no one (1) candidate receives more than fifty percent (50%) of all votes cast at the general election, the several candidates receiving the greatest number of votes, which total at least fifty percent (50%) of all the votes cast for that office, shall participate in a run-off election in November, and the candidate receiving the greatest number of votes cast at said run-off election shall be deemed elected;
4. A tied vote shall be decided by lot; and

WHEREAS, Title 26 Oklahoma Statutes, Section 13-102 requires that the governing body of a municipality deliver to the County Election Board a resolution calling for an election and containing certain information regarding the election; said Section requires that the resolution be delivered no fewer than fifteen (15) days before the candidate filing period; additionally, Title 26 Oklahoma Statutes, Section 3-101.E. requires that this resolution be delivered no later than seventy-five (75) days prior to the actual election;

BE IT RESOLVED BY THE CITY OF TULSA, THAT:

Section 1. The City of Tulsa hereby calls for, and requests the Tulsa County Election Board to conduct, non-partisan general elections to be held in the City of Tulsa, Oklahoma on Tuesday, August 25, 2020, as necessary, as required by City of Tulsa's Amended City Charter, Article VI, Section 1.1, "Mayor and Councilors—Election", Section 1.2, "Terms", Section 1.3, "General Elections" and Section 2.2, "Election Procedure", for the election of the Mayor of the City of

Tulsa, the election of the City Auditor, and the elections of City Councilors for all nine (9) City Council election districts of the City of Tulsa.

Section 2. The City of Tulsa hereby calls for, and requests the Tulsa County Election Board to conduct, non-partisan run-off elections to be held on Tuesday, November 3, 2020, as may be necessary, as required by said Section 2.2.

Section 3. The Mayor and the City Auditor shall be elected from the City at large, and each one of the nine (9) said City Councilors shall be elected from her or his respective City Council election district.

Section 4. The Mayor so elected shall serve a term of four (4) years, said term commencing on the first Monday in December, 2020, namely, December 7, 2020 and expiring on the first Monday of December, 2024, namely, December 2, 2024. The City Councilors so elected and the City Auditor so elected shall serve for a term of two (2) years, said term commencing on the first Monday in December, 2020, namely, December 7, 2020, and expiring on the first Monday in December, 2022, namely, December 5, 2022. See City of Tulsa's Amended City Charter, Article VI, Section 1.2, "Terms".

Section 5. As provided in the City of Tulsa's Amended City Charter, Article VI, Section 7, "Qualification of Officers", no person shall be eligible to hold the office of Mayor unless such person shall be a qualified elector and resident of the City at the time of filing for said office. No person shall be eligible to hold the office of City Auditor unless such person shall be a qualified elector and resident of the City of Tulsa at the time of filing for said office. Additionally, no person shall be eligible to hold the office of City Auditor unless such person is a Certified Public Accountant or Certified Internal Auditor and maintains such certification during her or his term of office. No person shall be eligible to hold the office of City Councilor for an election district unless such person shall have been a qualified elector and resident of that election district for more than ninety (90) days at the time of filing his or her candidacy for the office of City Councilor for that election district. See also Title 26 Oklahoma Statutes, Section 5-105a. For definition of "Qualified Elector", see Amended City Charter Article XII, Section 1; see also Title 26 Oklahoma Statutes, Section 4-101.

Section 6. As provided in the City of Tulsa's Amended City Charter, Article VI, Section 3.1, "Filing for Office", any person who desires to be a candidate for a city office shall file with the Tulsa County Election Board a Declaration of Candidacy which shall contain the name and residence address of such person

as it appears on the voter registration records, her or his notarized signature, and the name of the office sought. See also Title 26 Oklahoma Statutes, Sections 5-111 and 5-111.1.

Section 7. As provided in the City of Tulsa's Amended City Charter, Article VI, Section 3.4, "Time of Filing", Declarations of Candidacy shall be filed with the Secretary of the Tulsa County Election Board no earlier than 8:00 o'clock a.m. on the second Monday in June, namely, June 8, 2020 and no later than 5:00 o'clock p.m. on the next succeeding Wednesday, namely June 10, 2020.

Section 8. As stated in City of Tulsa's Amended City Charter, Article VI, Section 3.1, "Filing for Office", a candidate shall also tender a filing fee in the form of a cashier's check or certified check made payable to the City of Tulsa in the amount of Fifty Dollars and No Cents (\$50.00) or, in lieu of the filing fee, a petition supporting the candidate's filing, signed by at least three hundred (300) qualified electors from that election district if for a City Councilor, or from the city at large if for Mayor or City Auditor. As provided in Section 3.3 of said Article VI, the filing fee shall be refunded to the candidate if said candidate is unopposed for the election or receives more than fifteen percent (15%) of the votes cast for that office at the first election wherein said candidate's name appears on the ballot.

Section 9. In accord with City of Tulsa's Amended City Charter, Article VI, Section 2.1 and Title 26 Oklahoma Statutes, Section 13-102.A.5, the elections for said Mayor, City Auditor and City Councilors shall be non-partisan elections.

Section 10. Absentee balloting shall be provided and managed by the Election Board in accordance with State and Federal law. See City of Tulsa's Amended City Charter, Article VI, Section 5; Title 10 Tulsa Revised Ordinances, Section 100; Title 26 Oklahoma Statutes, Section 14-101; Sections 14-136 through 14-155, Oklahoma's "Uniform Military and Overseas Voters Act"; Title 52 United States Code Annotated, Section 20302 et seq., formerly 42 USCA Section 1973ff-1 et seq., the Federal "Military and Overseas Voter Empowerment (MOVE) Act".

Section 11. If a candidate is unopposed for the election, or becomes unopposed by death, disqualification or withdrawal, no election shall be held, and such candidate shall be deemed elected. Amended City Charter, Article VI, Section 2.2.

Section 12. If a candidate receives more than fifty percent (50%) of all votes cast for that office at the general election on August 25, 2020 such candidate shall be deemed elected. Ibid.

Section 13. If more than two (2) candidates file for the office, and no one (1) candidate receives more than fifty percent (50%) of all votes cast at the general election, the several candidates receiving the greatest number of votes which total at least fifty percent (50%) of all the votes cast for that office, shall participate in a run-off election on November 3, 2020, and the candidate receiving the greatest number of votes cast at said run-off election shall be deemed elected. Ibid.

Section 14. A tied vote shall be decided by lot. Ibid.

Section 15. City reserves the right to place election questions on the ballot, if time and deadlines permit, at the August 25, 2020 general election or at the November 3, 2020 run-off election.

Section 16. As required by Title 26 Oklahoma Statutes, Section 13-101, the City of Tulsa's Amended City Charter, Article VI, Section 5, and Title 10 Tulsa Revised Ordinances, Section 206, paragraph 6, these elections shall be conducted by the Tulsa County Election Board in accordance with applicable State law, except as otherwise provided.

Section 17. Pursuant to Title 26 Oklahoma Statutes, Section 13-110, the City of Tulsa hereby requests the Tulsa County Election Board to coordinate with the election boards of the other counties in which portions of the City of Tulsa are located, in the conduct of these elections.

Section 18. Pursuant to Title 26 Oklahoma Statutes, Sections 13-102.A.7. and 13-103.C., the City of Tulsa hereby requests that those election precincts partially contained within the city limits be open for polling on the above election days.

Section 19. In accordance with the City of Tulsa's Amended City Charter, Article VI, Section 4, "Calling Elections", the Mayor is requested to issue a proclamation and notice calling the above elections, with a list of polling places as may be required, and to publish said proclamation, notice and list in a newspaper of general circulation in the City of Tulsa at least ten (10) days prior to the date of each election.

Section 20. In accordance with the Oklahoma Municipal Code, Title 11 Oklahoma Statutes, Section 16-101, "Notice of Municipal Elections" and the Election Code, Title 26 Oklahoma Statutes, Section 13-102, this Resolution shall be published in a newspaper of general circulation in the municipality at least ten (10) days before June 8, 2020, which is the beginning of the candidate filing period for the general election. See City of Tulsa's Amended City Charter, Article VI, Section 3.4, "Time of Filing".

Section 21. This Resolution shall take effect on May 22, 2020, and the official date of this Resolution shall be May 22, 2020, to comply with Title 26 Oklahoma Statutes, Section 13-102.B and City of Tulsa's Amended City Charter Article II Section 10, "Effective Date of Ordinances and Resolutions", which date shall be at least thirty (30) days after its publication.

ADOPTED by the Council this 1st day of April, 2020.



Chair of the Council

OFFICE OF THE CLERK

Received by the City Clerk: _____ at _____.
Date Time

OFFICE OF THE MAYOR

Received by the Mayor: _____ at _____.
Date Time

G. T. Bynum., Mayor

By: _____
Secretary

APPROVED by the Mayor of the City of Tulsa, Oklahoma: APR 08 2020,

Date

at _____.

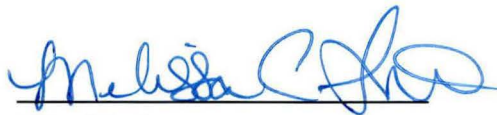
Time




G. T. Bynum, Mayor

ATTEST:

APPROVED:



City Clerk



City Attorney

MDS



CITY OF TULSA, OKLAHOMA
SELECTED ORDINANCES FOR POLITICAL CAMPAIGNS
(updated March 12, 2020)

Title 24 Tulsa Revised Ordinances (The Nuisance Code)

Section 103. Nuisances affecting peace and safety.

The following are hereby declared to be public nuisances affecting public peace and safety:

...

O. Any privately-owned sign (including a poster, banner, flyer or other device) placed in or on an existing public street or sidewalk; or in or on a right-of-way or within twelve (12) feet of a curb or road edge, whichever is less; or affixed to any tree, utility pole or other structure located in or on a public street or sidewalk; or in or on a right-of-way or within twelve (12) feet of a curb or road edge, whichever is less, without permission from the City of Tulsa, as provided in Title 42 TRO Section 60.020-E and -H. Any such sign so placed or affixed is hereby considered litter, and considered abandoned, and may be summarily removed.

Title 27 Tulsa Revised Ordinances (The Penal Code)

Section 1302. Prohibited postings.

It shall be unlawful and an offense for any person to post, stick, stamp, paint or otherwise affix, or cause the same to be done by any person, any notice, placard, bill, card, poster, advertisement or other paper or device calculated to attract the attention of the public, to, upon, over or across any sidewalk, crosswalk, curb or curbstone, flagstone or any other portion or part of any public way or public place; or upon any lamp post, electric light, telegraph or telephone pole, or railway structure, hydrant, shade tree or tree-box; or upon the piers, columns, trusses, girders, railings, gates or other parts of any public bridge or viaduct, or other public structure or building; or upon any pole, box or fixture of any fire alarm, except as such may be authorized or required by the laws of the United States, the state of Oklahoma or ordinances of the City.

Section 1303. Throwing material in public places.

It shall be unlawful and an offense for any person to deposit, place, throw, scatter or cast any commercial or noncommercial handbill in or upon any public place within the City. Provided, that it shall not be unlawful or an offense for any person to hand out or distribute without charge to the receiver any commercial or noncommercial handbill in any public place to any person willing to accept such handbill.

Section 1304. Placing material in or upon vehicles.

It shall be unlawful and an offense for any person to distribute, deposit, place, throw, scatter or cast any commercial or noncommercial handbill in or upon any vehicle within a parking area which is posted in a conspicuous position near each entrance with a sign bearing the words: "No Trespassing," "No Peddlers or Agents," "No Solicitors," "No Advertisements," "No Handbills" or any similar notice, indicating in any manner that the owner, lessor, renter or other responsible occupant of the premises does not desire to

have vehicles present molested or disturbed, or to have any such commercial or noncommercial handbills left upon such premises. The provisions of this section shall not be deemed to prohibit the handing, transmitting or distributing of any commercial or noncommercial handbill to the owner, operator or other occupant of any vehicle who is willing to accept the same.

Section 1305. Distribution of material on uninhabited or vacant premises.

It shall be unlawful and an offense for any person to distribute, deposit, place, throw, scatter or cast any commercial or noncommercial handbill in or upon any private premises which are uninhabited or vacant.

Section 1306. Distribution of material where prohibition is posted.

It shall be unlawful and an offense for any person to distribute, deposit, place, throw, scatter or cast any commercial or noncommercial handbill upon any premises if requested by any owner, lessor, renter or other responsible occupant not to do so, or if there is placed on the premises in a conspicuous position near the entrance a sign bearing the words: "No Trespassing," "No Peddlers or Agents," "No Solicitors," "No Advertisements," "No Handbills" or any similar notice indicating in any manner that the occupants of the premises do not desire to be molested or to have their right of privacy disturbed or to have any such commercial or noncommercial handbills left upon such premises.

Section 1307. Distributing material at private premises--exceptions

A. It shall be unlawful and an offense for any person to throw, deposit or distribute any commercial or noncommercial handbill in or upon private premises, except by handing or transmitting any such handbill directly to the owner, occupant or other person present. Provided that, except where prohibited by Sections 1304, 1305 and 1306 of this chapter, a person may place or deposit a commercial or noncommercial handbill in or upon such private premises if it is contained in a plastic bag ventilated with airholes throughout the surface of the bag, or in an unventilated plastic bag no greater than six (6) inches in width, or if such handbill is so placed or deposited as to secure or prevent such handbill from being blown or drifted about such premises or sidewalks, streets or other public places.

B. The provisions of this section shall not apply to the distribution of mail by the United States or to newspapers; provided that newspapers shall be placed on private property in such a manner as to prevent their being carried, scattered or deposited by the elements upon any street, sidewalk or other public place or upon private property.

Section 2106. Trespassing.

Trespassing is hereby declared to be an offense and shall be defined as follows:

A. Each and every actual entry upon the premises of another person's real property, public or private, without the owner's or occupant's consent thereof, whether expressed or implied;

B. Each and every entry upon any public or private premises which is posted or where warning has been published to stay out of and off the premises;

...

E. Remaining upon the premises of another, whether public or private, and refusing to leave the premises forthwith after demand by the owner or occupant;

Title 37 Tulsa Revised Ordinances (The Traffic Code)

Section 1105. Pedestrians soliciting rides, employment, business or donations.

No person shall step or stand in a roadway or median used to channel or control traffic, or place any body part in or over a roadway, or extend into or over the roadway any device, container or sign for the purpose of soliciting a ride, donation, employment or business from the occupant of any vehicle.

Title 42 Tulsa Revised Ordinances (The Zoning Code)

Chapter 60. Signs.

Section 60.030 Sign Exceptions. The following signs are not counted as signs for purposes of determining the number of signs or amount of signage on a lot.

...

60.030-E. Temporary Signs.

5. Campaign Signs. Campaign signs must be removed no later than 7 days after the voting event. Campaign signs are prohibited on public property and are allowed on private property only with the consent of the owner of the subject property. In R and O zoning districts campaign signs may not exceed 16 square feet in area.

Chapter 95. Definitions.

Section 95.220. Terms Beginning with “S”.

...

Sign, Campaign. A temporary sign displayed on a lot during an active local, state or federal campaign for public office or ballot issue or referenda, generally intended to promote the ultimate exercise of voting by the general public.

Amended Charter of the City of Tulsa, Article VI (Election and Qualification of Officers)

Section 3.2. Campaigning for office.

Although all City elections shall be non-partisan, candidates shall not be prohibited from advising voters of their political affiliation and may include their political party affiliation in campaign materials, including without limitation signs, mailers, fliers and brochures.

Amended Charter of the City of Tulsa, Article X (Civil Service Commission and Merit System)

Section 10.1. - Political activities permitted.

Municipal employees in the classified service may attend and express their views at city council meetings, or any other public meetings of municipal entities.

Any municipal employee in the classified service may actively participate in partisan and nonpartisan political activities. Provided, the political activity in which the employee participates shall be exercised only during off-duty hours and while not in uniform. Any federal statutes restricting the political activities of certain municipal employees shall supersede the provisions of this section as to such employees.

Section 10.2. Solicitations prohibited.

No contributions for a candidate for a city office shall be solicited by or from persons in the classified service.

Section 10.3. Voting threats prohibited.

A Mayor, Councilor, City Auditor, officer, or employee of the city who threatens, intimidates, or coerces, or attempts to threaten, intimidate, or coerce, an employee in the classified service in the exercise of a vote shall be guilty of willful maladministration and shall be subject to removal from office or position as provided in this Article or by the laws of Oklahoma.

Amended Charter of the City of Tulsa, Article XII (Miscellaneous Provisions)

Section 1. - Definition of officer and qualified elector.

The term "officer" as used in this amended Charter shall apply only to those officers who are elected, appointed by the Mayor and confirmed by the Council, or who hold an office created by this amended Charter. The term "qualified elector" as used in this amended Charter shall mean a registered voter of the City of Tulsa, registered to vote as provided by the laws of Oklahoma.

Section 10. - Oaths of office.

Every person elected or appointed to an office in the city shall take the oath prescribed by the Constitution of Oklahoma.

Section 4

State of Oklahoma Regulations

This Page Intentionally Left Blank

2018 - 2019 Guide for Candidates (Municipal Office)



Oklahoma Ethics Commission
FAIR RULES. FIRM ENFORCEMENT.



"This publication is issued by the Oklahoma Ethics Commission as authorized by Executive Director, Ashley Kemp, pursuant to Ethics [Rule 1.8](#), and is located at the following website: <http://www.ethics.ok.gov>. This publication has been submitted in compliance with [Section 3-114 of Title 65 of the Oklahoma Statutes](#)." Guides are updated annually if needed. This Guide was updated **July 1, 2018**.

TABLE OF CONTENTS

I. Is This Guide Right for You?	1
The Commissioners.....	2
II. Introduction.....	2
What Is the Oklahoma Ethics Commission?	2
The Ethics Rules Application to Municipal Candidates.....	2
How to Use This Guide	2
Limited Scope	2
This Guide Is Limited to Oklahoma Campaigns for Municipal Office.....	3
Advisory Opinions.....	3
Commission Website	3
Contacting the Commission.....	3
III. Ethics Commission Resources.....	3
Ethics Rules and Guides	3
Municipal Candidate Checklists	4
Printable Ethics Forms	4
Ethics Commission Staff.....	4
IV. The First Step After Deciding to Run for Office: Open a Campaign Bank Account	4
Campaign Depository	4
a. Campaign Depository Requirements.....	4
b. Best Practice: Indicate Campaign Committee Name on Checks.....	5
c. Campaign Depository for Committees Transitioning from One Campaign Committee to Another Campaign Committee for a Future Election	5
d. The Depository Can Earn Interest	5
All Records Must be Maintained for 4 Years	5
Section Summary.....	6
V. Understanding “Candidates” and Campaign Committees	6
Individuals Who Are Considered Candidates Under the Ethics Rules	6
Campaign Committee Formation	6
Campaign Committee Structure	6
a. Transitioning From a Previous Campaign to a New Campaign.....	7
Section Summary:.....	7
VI. Committee Officers and Other Committee Personnel	8
Required Officers: Treasurer and Deputy Treasurer	8
a. Optional Officer: Deputy Treasurer	8
b. Other Officers	8

Duties of the Officers.....	8
a. Vacancies in Campaign Committee Offices.....	8
Candidate May Not Serve as an Officer of Another Committee	9
Section Summary.....	9
VII. Campaign Committee Registration: Filing the Statement of Organization.....	9
When to Register with the Ethics Commission by Filing a Statement of Organization	9
Where a Statement of Organization Must Be Filed.....	10
b. Public Records.....	10
Contents of the Statement of Organization	10
Officer Information Must be Specific to Each Officer.....	10
Amending the Statement of Organization.....	11
a. Vacancies in Campaign Committee Offices.....	11
How Long Records Should Be Kept.....	11
Section Summary.....	11
VIII. Understanding Contributions	12
What a Contribution Is.....	12
a. All Contributions Are Aggregated	12
b. Contributions Must Be Voluntary	12
c. Contributions Cannot Be Reported in the Name of Another.....	12
d. Earmarked Contributions Are Contributions to Candidates	12
e. When an Expenditure Is a Contribution	13
f. Volunteer Services Are Not Contributions	13
g. Discounts Provided Only to the Campaign Committee or Candidate for Goods or Services Are Considered In-kind Contributions	13
Accepting and Depositing Contributions	13
Section Summary.....	14
IX. Permissible Contributions & Contribution Limits.....	14
Who May Make Contributions to a Campaign Committee?.....	14
a. Candidates Prohibited from Receiving Excessive Contributions	14
Contributions from an Individual—Limited to \$2,700 per Election That the Candidate’s Name Appears on the Ballot.....	14
a. Maximum Individual Contribution Limits Indexed to Inflation.....	15
b. Contribution Limits for Candidates in Multiple Elections Reset for Each Election: the Primary, Runoff Primary and/or General Election.....	15
a. Individual Contributions from Couples or a Joint Checking Account—Limited to \$2,700 per Election that the Candidate’s Name Appears on the Ballot	16

b.	Limited Liability Company (LLC) or Partnership—Each Member or Partner is Limited to \$2,700 Per Election That the Candidate’s Name Appears on the Ballot	17
c.	Indian Tribes—Each Non-Incorporated Indian Tribe is Limited to \$2,700 per Election That the Candidate’s Name Appears on the Ballot.....	17
	A Candidate to His or Her Own Campaign Committee—Unlimited Contributions	18
	Special Limit on Nontaxable Gifts	18
	Cash Contributions—Limited to \$50 in the Aggregate per Contributor	18
	Anonymous Contributions—Limited to \$50 in the Aggregate per Contributor	19
	Contributions from Another Municipal Campaign Committee—Limited to \$2,700 Total per Campaign	19
	Contributions from Limited Committees or Municipal Political Committees to Candidates—Limited to \$5,000 per Election That the Candidate’s Name Appears on the Ballot	19
b.	Contributions from Out-of-State Political Action Committees	23
c.	Federal Political Action Committee.....	23
	Contributions from Political Parties to Candidates—Limited to \$10,000.....	23
X.	Prohibited Contributions & Contributors.....	24
	Corporate and Labor Union Contributions Prohibited	24
a.	Communications by a Corporation or Labor Union Not Considered Contributions	24
	Contributions from Foreign Nationals Are Prohibited.....	24
	Unlimited Committees Prohibited from Contributing to Candidates.....	24
	Federal Campaign Committee Contributions Prohibited	24
	Section Summary	25
XI.	Special Fund-Raising Events.....	25
	Joint Candidate Fund-Raising Events	25
	Residence Used for Fund-Raiser	25
	Non-Residential Buildings Used for Fund-Raiser	26
	Golf Fund-Raising Events	27
	Fund-Raising Auctions & Sales.....	27
XII.	Loans.....	28
	Permissible Loans	28
a.	Loans by Commercial Financial Institutions	28
b.	Loans by Non-Commercial Financial Institutions Are Considered Contributions.....	28
c.	Candidates May Make Unlimited Loans to Their Own Campaign Committees	28
	Prohibited Loans	29
	Section Summary	30
XIII.	Understanding Expenditures	30
	What an Expenditure Is	30
	Personal Use of Campaign Funds Is Prohibited	30

General Rules for Making Expenditures	31
a. Ordinary and Necessary Campaign Expenses (Expenditures Due to the Campaign).....	31
b. Expenditures Made to Family Members as Staff Members of Campaign	31
c. Contributions to Another Municipal Campaign Committee	31
d. Modest Items Related to the Campaign May Be Given in Recognition of Contributions and Volunteers.	32
e. Contributions May Be Used to Pay Certain Officeholder Expenses (Expenditures Once Elected)	32
Section Summary	33
XIV. Disclosure Requirements for Campaign Advertising	33
Electronic Communications Disclosure Requirements.....	33
Printed Communications Disclosure Requirements	33
Disclosure Not Required for Certain Items	33
XV. Reimbursements.....	34
Reimbursements to Individuals Other than the Candidate and Consultant on Behalf of the Committee	34
Reimbursements to the Candidate Other Than Through Loans.....	34
a. Candidate Reimbursements Must Be Made Within 90 Days	34
b. Travel Reimbursement.....	35
Reporting Reimbursements	35
Calculation of Travel Expense	35
a. Calculating Expenses for Motor Vehicle Travel	35
b. Calculating Expenses for Air Travel	36
Section Summary	37
XVI. Reports of Contributions and Expenditures.....	37
Obligation to File Reports of Contributions & Expenditures	37
a. Special Requirements for Judicial Candidates.....	37
Contents of Reports of Contributions and Expenditures.....	37
Maintain Records for Four Years	38
Where to File Reports: Municipal Clerk's Office.....	38
When Reports Are Due	38
a. Filing Dates Available on the Ethics Website.....	39
b. Periods Covered and Filing Periods.....	39
c. Filing the First Report	39
Regularly Scheduled Elections—Quarterly Reports Required in Non-Election Years (Does Not Include Special Elections)	39
a. Judicial Candidates	40
Regularly Scheduled Elections—Quarterly and Pre-Election Reports Required in Election Years	40
Reports Due During the Two Weeks Before an Election: Continuing Report of Contributions.....	41

Special Election Reporting Dates	41
Public Records	42
XVII. Enforcement, Late Reports and Compliance Orders	42
XVIII. Personal Financial Disclosure Statement (PFD).....	42
Public Records	42
XIX. Understanding Independent Expenditures, Electioneering Communications, and the Risks of Coordination	43
What Constitutes Coordination, Cooperation, Consultation or “Acting In Concert”	43
a. Consequences of Coordination	43
XX. Dissolving the Campaign Committee	43
Campaign Committee May Dissolve at Any Time Prior to the Mandatory Dissolution Dates.....	43
Mandatory Dissolution of Campaign Committee	43
a. Mandatory Dissolution Dates for Candidates Elected to Office	44
b. Mandatory Dissolution Dates for Candidates Who Are Not Elected to Office	44
Maintain Records for a Period of Four Years	44
How to Dissolve a Committee	44
a. Disposition of Assets	44
b. Resolution of Debt.....	45
c. Surplus Funds.....	45
d. Final Report of Contributions and Expenditures.....	46
Section Summary.....	46
APPENDIX I: CONTRIBUTION LIMIT CHART	47
APPENDIX II: PUBLIC FUNDS, PROPERTY OR TIME.....	48
General Prohibitions of Public Funds, Property and Time.....	48

I. Is This Guide Right for You?



Before reading this guide, answer the following questions to determine whether this guide applies to you.

Question 1: Did your municipality have a population of more than 10,000 according to the most recent Federal Decennial Census?



If the answer is “**no**,” stop here. This Guide does not apply to you. The Ethics Rules only apply to those municipalities with a population of more than 10,000 in the most recent Federal Decennial Census.



If the answer is “**yes**,” continue on to question number 2.

Question 2: Does your municipality have an expenditure budget in excess of \$10,000,000.00 in the fiscal year in which the municipal elections are held?



If the answer is “**no**,” stop here. This guide does not apply to you. The Ethics Rules only apply to those municipalities that have an expenditure budget in excess of \$10,000,000.00 in the fiscal year in which the municipal elections are held.



If the answer is “**yes**,” continue on with this Guide for information on Ethics Rules that affect municipal candidates.

The Municipal Campaign Finance and Financial Disclosure Act applies only to municipalities with a population of more than ten thousand according to the most recent Federal Decennial Census and a general revenue fund expenditure budget in excess of Ten Million Dollars. Consequently, this guide only applies to municipalities that answer “yes” to both questions listed above. [11 O.S. § 56-103](#).

The Commissioners

- **Karen Long** – re-appointed by Speaker of the House Jeff Hickman, term expires July 2019
- **John Hawkins** – appointed by Senate President Pro Tempore Brian Bingman, term expires July 2019
- **Holly Johnson** – appointed by Chief Justice John Reif of the Oklahoma Supreme Court, term expires July 2021
- **Charles Laster** – appointed by Governor Mary Fallin, term expires July 2022
- **Cathy Stocker** – re-appointed by Attorney General Mike Hunter, term expires July 2022

II. Introduction

What Is the Oklahoma Ethics Commission?

The Oklahoma Ethics Commission (“Commission”) is a state agency created by a vote of the people of Oklahoma in 1990 by adding Article 29 to the Oklahoma Constitution. The Constitution requires the Commission to promulgate Rules of ethical conduct for campaigns for state office, campaigns for initiative and referenda, and state officers and employees. The Commission has limited statutory jurisdiction for campaigns at the local levels, such as counties, independent school and technology center districts, and certain municipalities with both a population over 10,000 in the most recent census and a general revenue fund expenditure budget in excess of ten million dollars. [11 O.S. § 56-103](#).

The Ethics Rules Application to Municipal Candidates

The Ethics Rules apply to municipal candidates and municipal political committees via the [Municipal Campaign Finance and Financial Disclosure Act](#). Municipal candidates who are required to file will fill out forms created by the Ethics Commission and turn such forms in to the **municipal clerk’s office**. These forms are found on the Ethics Commission website at www.ethics.ok.gov under the “County, Municipal & School Reporting” tab. The Ethics Commission enforces the Ethics Rules, not the municipal clerk’s office. The municipal clerk’s office is only responsible for accepting Ethics reports, maintaining Ethics reports for a period of four years after the date filed, and providing filed Ethics reports to the public upon request. [74 O.S. §§ 4258.1-4262](#) and [11 O.S. §§ 56-101 – 56-110](#).

How to Use This Guide

This guide summarizes the Rules of the Oklahoma Ethics Commission, as applied to candidates for municipal office, effective July 1, 2018. It is written by the Commission staff to assist in compliance with the Ethics Rules, as authorized by [Rule 1.8](#). This guide is not a substitute for the law. If there is a conflict between this guide and the Constitution, statutes or Rules, then the Constitution, statutes or Rules prevail.

Limited Scope

This guide is not intended to provide comprehensive information about all Ethics Rules and other relevant law concerning campaigns for municipal office. Individuals who consider becoming candidates for municipal office should become familiar with the relevant Constitutional and statutory provisions, as well as with the Ethics Rules.

This Guide Is Limited to Oklahoma Campaigns for Municipal Office

This guide only addresses Oklahoma law—specifically the Rules of the Oklahoma Ethics Commission—and is limited to campaigns for municipal office. It does not address campaigns for federal, state, county or school district office.

Guides and other information for state candidates are available on the Ethics Commission website at www.ethics.ok.gov under the “Ethics Laws, Guides & Forms” tab. The guides and other information for county or school district candidates are available on the Ethics website under the “County, Municipal & School Reporting” tab.

Advisory Opinions

[Rule 1.7](#) authorizes the Commission to issue official advisory opinions interpreting its Rules. Such advisory opinions are binding on the Commission. The Commission will consider an advisory opinion only as it applies to the person requesting the opinion, rather than third parties. Requests for advisory opinions may be sent to the Oklahoma Ethics Commission. See the “Contacting the Commission” section.

Commission Website

Additional information on compliance with the Rules may be found on the Ethics Commission website at www.ethics.ok.gov. Municipal candidates should refer to the “County, Municipal and School Reporting” tab on the Ethics Commission website. The municipal reporting page contains candidate forms and other information relevant to municipal candidate reporting. [74 O.S. § 4261](#).

Contacting the Commission

The Ethics Commission office is located on the ground floor of the State Capitol in room G-27. The Commission’s office hours are **Monday through Friday from 8:30 a.m. to 5:00 p.m.**

- Address: State Capitol, 2300 N. Lincoln Blvd., Rm. G-27, Oklahoma City, OK 73105
- Telephone number: (405) 521-3451
- Fax number: (405) 521-4905
- E-mail: ethics@ethics.ok.gov.

Ethics Commission meetings are typically held on the second Friday of every month. Information regarding the Commissioners, the Ethics Commission staff, and the Commission meeting agendas and minutes are located on the Ethics Commission website at www.ethics.ok.gov under the “Agency Information and Continuing Education” tab.

III. Ethics Commission Resources

Ethics Rules and Guides

The Ethics Commission provides the text of its Rules, the Municipal Campaign Finance and Financial Disclosure Act, and the Candidate Guide (Municipal Office) on its website at www.ethics.ok.gov under the “County, Municipal & School Reporting” tab. The guide provides an overview of the Rules and examples to assist with compliance.

Municipal Candidate Checklists

The “County, Municipal & School Reporting” tab on the Ethics Commission website includes relevant checklists for municipal candidates. These checklists summarize the steps required in certain situations, such as registration requirements and transferring committee funds and assets from one committee to another for a new campaign.

Printable Ethics Forms

All of the Ethics forms that campaign committees file with the municipal clerk’s office are located on the Ethics Commission website at www.ethics.ok.gov under the “County, Municipal & School Reporting” tab. [74 O.S. § 4261](#).

Ethics Commission Staff

The Oklahoma Ethics Commission staff is available to answer questions regarding the Ethics Rules during its regular office hours. The Ethics Commission enforces and assists with compliance of the Ethics Rules. If you have questions about compliance with or enforcement of the Ethics Rules, contact the Ethics Commission staff at (405) 521-3451 or ethics@ethics.ok.gov.

IV. The First Step After Deciding to Run for Office: Open a Campaign Bank Account

Campaign Depository

Every campaign committee must establish at least one campaign depository (e.g., a bank checking account) in a financial institution (e.g., bank) that ordinarily conducts business in the State of Oklahoma. The campaign depository is where all the campaign committee funds are transferred into and out of during the life of the committee. [Rules 2.94](#) (see [section XII](#)).

The campaign depository must be independent from any other accounts—including but not limited to personal accounts—to ensure campaign funds are not commingled with funds of any other entity or person. [Rules 2.95](#).

a. Campaign Depository Requirements

- The campaign depositor(y/ies) must be established in a financial institution that ordinarily conducts business in the State of Oklahoma
- The campaign depository must be maintained in the name of the campaign committee. In other words, the account needs to include, but is not limited to, the following: (1) some form of the candidate’s name (full name, first name, last name, etc.) and (2) the year for which the individual is seeking election (i.e., the election year).
 - **Example:** Smith for City Council 2018, John Doe for Mayor 2018 or Jane for Mayor 2018 would all be acceptable names for a campaign committee. Similar committee names would be acceptable for the campaign depository.
- **The campaign depository must be independent of all other accounts.** Funds should not be commingled with funds of any other entities or persons, including an individual’s personal bank account.
- **ALL monetary contributions, including monetary contributions from the candidate, must be deposited in the campaign depository.** In-kind contributions will not be deposited in the campaign depository. See [section VIII](#) for more information on what contributions are and the difference between monetary and in-kind contributions.

- All expenditures must come out of the campaign depository and must be made by check or debit card, signed by the Chair, Treasurer, or Deputy Treasurer. The candidate can designate another individual to sign campaign committee checks or debit cards; however, the candidate, Treasurer, and Deputy Treasurer remain responsible for committee expenditures. [Rules 2.95.](#)

b. Best Practice: Indicate Campaign Committee Name on Checks

One way in which candidates can make expenditures is via check. It is best practice for the campaign checks to include the campaign committee's name.

Including this information provides notice to other entities that the funds are coming from a campaign committee, as opposed to another source. It also assists those who are required to file reports with the Ethics Commission to attain the information needed to properly report contributions received from a campaign committee.

c. Campaign Depository for Committees Transitioning from One Campaign Committee to Another Campaign Committee for a Future Election

A campaign committee that is transitioning to a new campaign committee for a future campaign should shut down the current bank account and open a new bank account with the name of the new campaign committee.

Providing independent bank accounts for each campaign allows for better bookkeeping, and it enables the transitioning campaign committee to identify and address discrepancies early to ensure the committee has dissolved in accordance with the Ethics Rules.

However, a campaign committee may use the same bank account from the prior campaign for the new campaign, provided the following conditions are met: (1) the funds being transferred are appropriately indicated as being transferred from one committee to the other in the Reports of Contributions and Expenditures; (2) the campaign bank account's name is updated to indicate the new campaign committee's name; and (3) the campaign depository balance is equal to the balance transferred on the Report of Contributions and Expenditures. See the Transfer Checklist on the Ethics website at www.ethics.ok.gov under the "County, Municipal & School Reporting" tab for more information on how to transition from one campaign committee to another. [Rule 2.95.](#)

d. The Depository Can Earn Interest

The campaign depository may earn interest paid by the financial institution in which the account is maintained, but the funds cannot be otherwise invested. [Rule 2.95.](#)

All Records Must be Maintained for 4 Years

All records and documents must be maintained for at least a four-year period. This includes, but is not limited to, bank statements, receipts, canceled checks, and any other financial documents. These records must be made available to the Commission upon request. The records must contain sufficient information required for proper filing of Reports of Contributions and Expenditures. [Rule 2.73.](#)

Section Summary

- The first step when campaigning for office is to open a campaign depository.
- The campaign depository must ordinarily conduct business in Oklahoma.
- The campaign depository name must include at least (1) the candidate's name (full name, first name, last name, etc.) and (2) the year of the election.
- The campaign depository is only for funds received and spent for the campaign, and it must be independent of any other entity's funds or personal funds.
- ALL monetary contributions must be deposited in the campaign depository, including any contributions from the candidate.
- All expenditures must come out of the campaign depository by check or debit card.
- The campaign committee name should be on all checks used by the committee.
- For those transitioning from a previous campaign to a new campaign, it is best practice to close out the bank account for the prior campaign and open a new bank account for the new campaign.
- All campaign committee records, financial and otherwise, must be kept for a period of four years and must be made available upon request by the Ethics Commission.

V. Understanding “Candidates” and Campaign Committees

Individuals Who Are Considered Candidates Under the Ethics Rules

An individual who has filed a Declaration of Candidacy to have his or her name placed on the ballot may or may not be considered a “candidate” under the Ethics Rules, depending upon other factors discussed hereafter.

Under the Ethics Rules, a candidate is an individual who has filed or should have filed a Statement of Organization for a campaign committee with the **municipal clerk's office**. A Statement of Organization can be filed at any time, but it is required to be filed within 10 calendar days after the candidate has accepted or spent in excess of \$1,000 for his or her campaign. This includes personal funds spent by the candidate. See the [section VII](#) for more information on registration requirements. [Rules 2.2\(2\)](#) and [2.70](#); [11 § 56-104](#).

Campaign Committee Formation

Every candidate is required to have a campaign committee. A campaign committee is the only committee authorized by a candidate to accept contributions or make expenditures on behalf of the candidate's campaign. [Rules 2.2\(3\)](#) and [2.68](#).

Generally, a candidate may only have one campaign committee for any municipal office at any time, unless the candidate is transitioning from one campaign committee to another campaign committee. See the Transfer Checklist under the “County, Municipal & School Reporting” tab at www.ethics.ok.gov. [Rule 2.69](#); [11 O.S. § 56-102](#).

Campaign Committee Structure

Every campaign committee is required to have a campaign depository (e.g., bank account), a Chair, and a Treasurer. For information on the campaign depository, see [section IV](#). Information on campaign committee officers and their duties is provided in [section VI](#). [Rules 2.69](#), [2.71](#), and [2.94](#).

a. Transitioning From a Previous Campaign to a New Campaign

Once a candidate with an active campaign committee files a Statement of Organization with the municipal clerk's office for another committee for a new campaign, the candidate may maintain both the first committee (previous campaign) and the second committee (new campaign) for municipal office for 60 days. The first committee must be closed within 60 days of the date that the Statement of Organization is filed for the second committee.

Funds or debt, or both, may be transferred from the committee for the previous campaign to the new committee for a future campaign. However, a candidate may not continue to raise funds for the committee for the previous campaign once the new committee for a future campaign is opened. Furthermore, transfers may only be made from municipal committee to municipal committee. Transfers may not be made from a municipal committee to a state, county or school district candidate/campaign committee.

Again, once the new committee is registered, the committee for the previous campaign must be dissolved within 60 days. For more information on how to transfer from one committee to another, see the Transfer Checklist, which is available on the Ethics Commission website under the "County, Municipal & School Reporting" tab. [Rule 2.69.](#)

Section Summary:

- A "candidate" under the Ethics Rules is someone who has or should have filed a Statement of Organization with the Municipal Clerk's office.
- Candidates are required to file the Statement of Organization with the Municipal Clerk's office within 10 calendar days of spending or receiving in excess of \$1,000 for their campaign (including any funds the candidate gives or spends toward his/her campaign).
- All candidates are required to have one campaign committee.
- Candidates can only have one campaign committee open at any time, unless he/she falls within the exception that occurs during the transfer from one committee to another. When one campaign committee is transitioning to another campaign committee, the two committees may be open for no longer than 60 days.
- All campaign committees are required to have a campaign depository (i.e., bank account), Chair, and Treasurer.
- The campaign depository is required to include the name of the candidate and the year of the election.

VI. Committee Officers and Other Committee Personnel

Required Officers: Treasurer and Deputy Treasurer

A campaign committee must have both a Chair and Treasurer. The same person may serve as both the Chair and Treasurer. The candidate may serve as either the Chair or Treasurer, or both. All officers serve at the pleasure of the candidate, who may replace an officer at any time. [Rule 2.71.](#)

a. Optional Officer: Deputy Treasurer

A Deputy Treasurer is not a required officer but is recommended. This officer is the person designated to fulfill the responsibilities of the Treasurer in the event the Treasurer is unavailable. The Deputy Treasurer performs similar duties to the Treasurer and can sign committee checks or debit cards upon making expenditures. [Rule 2.71.](#)

b. Other Officers

In addition to the Chair, Treasurer and Deputy Treasurer, a campaign committee may have other officers to assist the committee with its activities. However, the additional officers do not relieve the candidate, Chair, Treasurer, or Deputy Treasurer of their legal responsibilities under these Rules.

Duties of the Officers

All officers must adhere to the Ethics Commission Rules.

The Treasurer is legally responsible for keeping the committee's financial records and accounts, including all contributions accepted, all deposit slips or other evidence of acceptance of contributions, all expenditures made, all receipts, canceled checks or other evidence of payment of expenditures and all other documents necessary to file Reports of Contributions and Expenditures.

When acting in place of the Treasurer, the Deputy Treasurer can perform similar duties. The duties of any other officers may be determined by the candidate. However, these determinations cannot relieve the Treasurer or Deputy Treasurer of their legal obligations and responsibilities. Nor do the duties of the Treasurer or Deputy Treasurer relieve the candidate of his or her obligation to ensure compliance with the Ethics Rules.

All records and documents should be maintained for a period of four years. These records must be made available to the Commission upon request. The Treasurer is also responsible for the timely and accurate filing of all reports with the Commission. [Rules 2.71, 2.73 and 2.104.](#)

a. Vacancies in Campaign Committee Offices

It is important to fill an officer vacancy as soon as possible because a campaign committee may not accept or expend funds when both the Treasurer and Deputy Treasurer offices are vacant.

However, any vacancy in the office of Chair, Treasurer or Deputy Treasurer must be filled within 30 days. A campaign committee that has filed a Statement of Organization with the Municipal Clerk's office must file an amended Statement of Organization within 5 days after the vacancy is filled. For more information on the Statement of Organization and registration requirements, see [section VII. Rules 2.72 and 2.74.](#)

Candidate May Not Serve as an Officer of Another Committee

A candidate may not serve as the officer of any campaign committee, other than his or her own, or as an officer of any political action committee or municipal political committee. [Rule 2.71](#).

Section Summary

- Every campaign committee is required to have a Chair and a Treasurer. It is also recommended that the campaign committee have a Deputy Treasurer who can perform the duties of the Treasurer in the Treasurer's absence.
- A candidate may assign other officers and officer duties; however, all officers must follow the Ethics Rules. Further, the additional officers and officer duties do not relieve the candidate, Treasurer, Deputy Treasurer or the Chair of his or her legal responsibilities and obligations with the Ethics Commission.
- All officers serve at the pleasure of the candidate.
- The Treasurer is legally responsible for keeping all of the committee's financial records and accounts, as well as filing the Reports of Contributions and Expenditures. All records must be maintained for four years and made available upon request by the Commission.
- A campaign committee cannot receive or spend funds when both the Treasurer and Deputy Treasurer offices are vacant. Vacancies of committee officers must be filled within 30 days. Committees that have filed a Statement of Organization ("SO") with the Municipal Clerk must file an Amended SO within 5 days of the vacancy after the vacancy is filled.
- Candidates may not serve as an officer of another campaign committee, political action committee or county political committee.

VII. Campaign Committee Registration: Filing the Statement of Organization

When to Register with the Ethics Commission by Filing a Statement of Organization

A Statement of Organization for a municipal campaign committee may be filed with the **municipal clerk's office** at any time if the committee has not exceeded \$1,000 in contributions or expenditures. However, a Statement of Organization must be filed with the **municipal clerk's office** within 10 calendar days after a candidate has accepted or spent more than \$1,000 for his or her campaign. This \$1,000 threshold includes any personal funds that the candidate provides to his or her campaign. If an individual who is seeking municipal office never accepts or spends more than \$1,000.00, then there is no requirement to file a Statement of Organization with the municipal clerk's office. [Rule 2.70](#); [11 O.S. 56-104](#).

Example 1: In April, Joanna filed a Declaration of Candidacy with the municipal clerk's office to run for a municipal seat. She opened a bank account for her committee and received \$700 in contributions. Joanna spent \$100 on signs for her campaign. Joanna is not yet required to register with the municipal clerk's office because she has not exceeded the \$1,000 threshold; however, Joanna could voluntarily register with the municipal clerk's office.

Example 2: Same facts as above, except, on June 5th, Joanna received another \$800 in contributions for her campaign. She is now required to file a Statement of Organization with the municipal clerk's office within 10 days because she exceeded the \$1,000 threshold.

Where a Statement of Organization Must Be Filed

All Statements of Organization must be with the **municipal clerk's office**. The Statement of Organization form is found on the Ethics Commission website at www.ethics.ok.gov under the "County, Municipal & School Reporting" tab. The Statement of Organization is due on the date specified, or by the next business day if the due date falls on a weekend and holiday, by the close of business at the Municipal Clerk's office. [11 O.S. 56-104](#); [Rule 1.4](#).

b. Public Records

A Statement of Organization that is filed with the Municipal Clerk's office is a public record. The public may request these records, and the Municipal Clerk must provide these records upon request. The Municipal Clerk's office must maintain Statements of Organization for four years after the date the Statement of Organization is filed or prepared, if not posted on the municipal's website.

The Municipal Clerk's office may post submitted Statements of Organizations on its website, if it has that capability. [11 O.S. § 56-107](#).

Contents of the Statement of Organization

The Statement of Organization for a campaign committee includes the following information:

1. the name of the candidate as it will appear on the ballot;
2. the name of the Chair and Treasurer of the committee and, if applicable, the name of the Deputy Treasurer;
3. the name of the committee, which must at least (1) include the candidate's name (i.e., the full name, first name, middle name or last name of the candidate) and (2) the year of the general election or special election at which the office will be filled (e.g., Jane Doe 2018, Doe for City Council 2018 or Jane for Mayor 2018);
4. the official and complete name of the municipal elective office for which the candidate seeks election;
5. the candidate's party affiliation, if any;
6. the mailing address and, if applicable, the residence address, electronic mailing address (email), telephone numbers and Internet website, if applicable, of the campaign committee, the candidate, the Chair, Treasurer, and, if applicable, the Deputy Treasurer; and
7. the full name and address of each depository in which the committee will maintain an account (e.g., First Bank, 555 Oklahoma City, OKC, 73105). [Rule 2.75](#).

Officer Information Must be Specific to Each Officer

If there are multiple individuals serving as officers in a campaign committee, the Statement of Organization needs to include each officer's individual contact information. [Rule 2.75](#).

Amending the Statement of Organization

The candidate is responsible for notifying the municipal clerk's office of any change in information provided in the Statement of Organization by filing an Amended Statement of Organization with the municipal clerk's office within 10 calendar days of any change. [Rule 2.75.](#) However, an Amended Statement of Organization is required within 5 calendar days after a committee officer vacancy is filled. [Rule 2.74.](#)

a. Vacancies in Campaign Committee Offices

Any vacancy in the office of Chair, Treasurer, or Deputy Treasurer must be filled within 30 calendar days. Committees that have already filed a Statement of Organization ("SO") with the municipal clerk's office are required to file an amended SO within 5 calendar days after the vacancy is filled. [Rule 2.74.](#)

A campaign committee may not accept or expend funds when there is a vacancy in the offices of both the Treasurer and Deputy Treasurer. [Rule 2.72.](#)

How Long Records Should Be Kept

All records and documents required to be kept by the Treasurer must be maintained for at least four years. These records must be made available to the Commission upon request. [Rule 2.73.](#)

Section Summary

- An individual is required to register with the **Municipal Clerk's office** once they spend or receive in excess of \$1,000 for their campaign, which includes any personal funds used by the candidate for his or her campaign.
- Register with the **Municipal Clerk's office** by filing a Statement of Organization. Find the Statement of Organization on the Ethics Commission website (www.ethics.ok.gov) under the "County, Municipal & School Reporting" tab.
- The contents of the Statement of Organization include the campaign committee information and officer contact information. Each officer must include his or her own individual contact information.
- The candidate is responsible for notifying the Municipal Clerk's office when there is a change of information in the Statement of Organization by filing an amended Statement of Organization within 10 calendar days of any change. Officer changes require an amended Statement of Organization to be filed within 5 calendar days from which the vacancy is filled.
- All campaign committee documents and records must be kept for a period of 4 years.

VIII. Understanding Contributions

What a Contribution Is

Generally, a contribution is anything of value given to a campaign committee. Contributions may be either monetary or “in-kind.” Monetary contributions are made in cash (up to \$50 per contributor) or through “written instruments,” such as a personal check or a credit card authorization. “In-kind” contributions are goods or services provided to the campaign committee. [Rule 2.2\(6\)](#).

a. All Contributions Are Aggregated

All contributions—monetary and in-kind—that each individual or entity provides to a campaign committee are aggregated to ensure that the individual or entity does not exceed the contribution limits. See [section IX](#) for more information on contribution limits, and see [Appendix I](#) for the contribution limits chart.

Example: Jana, a candidate for municipal office, received a check for \$200 and a desk for her campaign office (valued at \$300) from Paul. Paul has given \$500 worth of contributions to Jana’s campaign (\$200 in monetary contributions + \$300 in in-kind contributions = \$500 worth of contributions in the aggregate).

b. Contributions Must Be Voluntary

Contributions must be voluntarily given to the candidate or campaign committee by a contributor. Contributions cannot be coerced or exchanged for any advantage. [Rule 2.91](#).

c. Contributions Cannot Be Reported in the Name of Another

A contribution must be reported in the name of the person or entity that actually made the contribution. An individual or entity may not, directly or indirectly, provide another individual or entity with funds for the purpose of making a campaign contribution so the contribution is reported by the recipient as received from the second individual or entity. To report a contribution in the name of someone other than the person who made the contribution is called “money laundering” and is prohibited. [Rule 2.18](#).

Example: Jane is running for municipal office. Tom wants to give her a contribution but does not want anyone to know his identity. Tom speaks with Jane about his concern. He gives Jane \$200 to her campaign but asks Jane to report the contribution as coming from someone else. Jane may not accept this contribution and report the \$200 as coming from anyone other than Tom. If Jane accepted such a contribution, she would be in violation of the Ethics Rules and may also be subject to criminal violations for intentionally reporting false information.

d. Earmarked Contributions Are Contributions to Candidates

A contribution to a political party committee or a limited political action committee that is designated, either directly or indirectly, for the benefit of a particular candidate or candidates is considered a contribution by the contributor to the candidate or candidates. [Rules 2.31](#) and [2.33\(A\)](#). This Rule is intended to prevent the laundering of funds through political parties and PACs to candidates in violation of Ethics Rules, including the Rule that requires contributions to be reported in the name of the actual contributor. Again, all contributions must be reported in the name of the person who made the contribution; contributions cannot be reported in the name of another. [Rule 2.18](#).

Example: Jane is running for municipal office. Tom wants to give her a contribution but does not want anyone to know that he gave a contribution to Jane's campaign. Tom gives Political Action Committee X \$200 and specifies that the \$200 is for Jane's campaign. This is a contribution from Tom to Jane. Tom should have given the contribution directly to Jane for her campaign. Individuals cannot launder funds through PACs (or other committees) to candidates.

e. When an Expenditure Is a Contribution

Contributions include expenditures made on behalf of a campaign committee. For example, if a political action committee (PAC) purchased a newspaper advertisement urging the election of a particular candidate and did so with the candidate's knowledge, the expenditure would be considered an in-kind contribution by the PAC to the candidate. [Rule 2.2\(6\)](#).

f. Volunteer Services Are Not Contributions

The value of volunteer services is not considered a contribution by an individual who volunteers his or her services to a campaign. However, no individual or entity may "volunteer" the services of another individual. An employer, for example, cannot "volunteer" the services of an employee. [Rule 2.2\(6\)](#).

When an individual volunteers the use of a personal telephone, electronic mail, social media, or similar electronic devices or services for campaign communications, such use is not considered a contribution but is considered a volunteer service. [Rule 2.111](#). However, when a commercial entity uses telephone, electronic mail, social media, or similar devices or services for campaign communications, it is considered an in-kind contribution to the campaign committee, unless the campaign committee pays for the use. [Rule 2.112](#).

Example: John Smith owns Smith's Website Design, Inc. John volunteers to create a website for Jane Doe for Municipal Commissioner 2018. If John uses a computer and software program owned by Smith's Website Design, Inc. to create the website for the candidate without compensation, the service provided is a prohibited corporate contribution.

g. Discounts Provided Only to the Campaign Committee or Candidate for Goods or Services Are Considered In-kind Contributions

Discounts that are provided only to the campaign committee or candidate, as opposed to the general public, are considered in-kind contributions to the campaign committee. Such discounts provided by a corporation or labor union are prohibited. [Rule 2.2\(6\)](#)

Accepting and Depositing Contributions

A contribution is deemed to have been accepted by a campaign committee if it is not returned within 10 business days after it has been received. [Rule 2.21](#). A "business day" generally excludes Saturdays, Sundays and official state holidays. A contribution must be deposited during that same period—10 business days—unless it is returned. A contribution that is not deposited within 10 business days after it has been received by the campaign committee must be returned to the contributor and reported in the appropriate Report of Contributions and Expenditures as having been accepted and refunded. [Rule 2.22](#) and [2.95](#).

Section Summary

- Contributions are either monetary or in-kind (i.e., goods or services) given to a campaign committee. Monetary and in-kind contributions are aggregated.
- Contributions must be given voluntarily.
- Contributions shall be reported in the name of the actual contributor.
- Services provided free of charge from an individual are volunteer services, not contributions.
- Goods or services provided free of charge from an organization are in-kind contributions.
- Contributions not deposited within 10 business days are deemed accepted, must be returned to the contributor, and must be reported as received and refunded.

IX. Permissible Contributions & Contribution Limits**Who May Make Contributions to a Campaign Committee?**

The following persons and entities may contribute to a municipal candidate, subject to the applicable contribution limits:

Individual	Another Campaign Committee for Municipal Elective Office	Limited Political Action Committees (PACs)	Political Party Committees
-LLCs -Partnerships -Indian Tribes -Candidate to His/Her Own Campaign		-Oklahoma PACs -Municipal Political Committees -*Out-of-State PACs -*Federal PACs *Provided the PAC does not receive corporate contributions	

a. Candidates Prohibited from Receiving Excessive Contributions

All of the contributions received from a contributor are aggregated (monetary and in-kind contributions). All contributors are limited in the amount of contributions in the aggregate s/he/it can provide to a campaign committee, and all campaign committees are limited in the amount of contributions they can accept from each contributor. In other words, it is a violation of the Rules by both the contributor and the recipient if the maximum contribution limits are exceeded. [Rules 2.34, 2.37](#) and [2.38](#).

Contributions from an Individual—Limited to \$2,700 per Election That the Candidate's Name Appears on the Ballot

Generally, any individual or other person—except corporations and labor unions—may make contributions to a campaign committee, subject to the contribution limits. Other “person” could be a partnership or a limited liability company, for example. A child under the age of 18 may make a contribution only if the contribution is attributed to his or her parent (or equally between two parents) or guardian. The name, address, occupation and employer are required for all contributors, unless otherwise provided in these Rules. [Rule 2.17](#).

a. Maximum Individual Contribution Limits Indexed to Inflation

Before July 1 of every odd-numbered year, the Ethics Commission adjusts the maximum individual contribution limit upward, rounded to the nearest \$100 increment, based on the increase in the Consumer Price Index during the preceding calendar year. The next adjustment will be considered before July 1, 2019. [Rule 2.37\(H\).](#)

b. Contribution Limits for Candidates in Multiple Elections Reset for Each Election: the Primary, Runoff Primary and/or General Election

If a candidate participates in more than one election, the contribution limits will reset for each election. The contribution limit is tied to the election, and contribution limits not met in prior elections cannot be made up for in subsequent elections. [Rule 2.37.](#)

i. Contributions to Unopposed Candidates

When a candidate is unopposed, an individual may make one or more contributions, which together do not exceed the maximum contribution limit of \$2,700 to the candidate's campaign. [Rule 2.37.](#)

ii. Contributions to Candidates in the Primary Election

Individuals may make one or more contributions to a campaign committee, which together, do not exceed \$2,700 prior to the primary election. [Rule 2.37\(A\).](#)

iii. Contributions to Candidates in the Runoff-Primary Election

Regardless of whether an individual has made a prior contribution, an individual may contribute up to \$2,700 to a campaign committee after the primary election but before the runoff primary election, but only if the candidate's name appears on the ballot at the runoff primary election. [Rule 2.37\(B\).](#)

iv. Contributions to Candidates in the General Election

An individual may contribute up to \$2,700 to a campaign committee prior to the general election if the candidate receives the party nomination in a contested primary or runoff primary election, regardless of whether the individual has made a prior contribution, but only if the candidate's name appears on the ballot at the general election. [Rule 2.37\(C\).](#)

v. Contributions After the General Election

After the general election, an individual who has not provided previous contributions or has contributed less than \$2,700 in the aggregate may make an additional contribution to a campaign committee that does not exceed \$2,700 when aggregated with prior contributions. [Rule 2.37\(D\).](#)

vi. *Examples of Maximum Contribution Limits from an Individual Contributor Based on The Number of Elections for Which the Candidate's Name Appears on the Ballot*

The following indicates the maximum amount of contributions from an individual to a campaign committee depending upon the number of elections that a candidate's name appears on the ballot:

- **Candidates in one election:**
 - Up to \$2,700 total if the candidate is unopposed
 - Up to \$2,700 total if the candidate's name only appears on the primary election ballot
 - Up to \$2,700 total if the candidate's name only appears on the general election ballot
- **Candidates in two elections:**
 - Up to \$5,400 total if the candidate's name appears on both the primary election and runoff primary election ballots (i.e., up to \$2,700 prior to each election)
 - Up to \$5,400 total if the candidate's name appears on both the primary election and general election ballots (i.e., up to \$2,700 prior to each election)
- **Candidates in three elections:**
 - Up to \$8,100 total if the candidate's name appears on the primary election, runoff primary election and general election ballots (i.e., up to \$2,700 prior to each election)
- **After the General Election**
 - After the general election, an individual contributor that has not previously contributed or that has contributed less than \$2,700 in the aggregate may make one or more additional contributions to a campaign committee that does not exceed \$2,700 when aggregated with prior contributions.

The maximum contribution limits are specific to each election. Contribution limits not met in one election cannot be made up in a subsequent election. Alternatively, contributions for future elections may not be pre-paid. [Rule 2.37](#).

Example 1: Susan, an individual contributor, gave a \$1,000 contribution prior to the primary election to Pam, a candidate for municipal office. Pam's name appears on the ballot at the primary election. After the primary election, Pam's name was placed on the ballot for the runoff primary election. Susan, the contributor, can make an additional contribution of up to \$2,700 to Pam prior to the runoff primary election. Susan cannot make up for the \$1,700 she did not give in the primary election in the runoff primary election because each contribution limit is specific to each election.

Example 2: John, an individual contributor, gave a contribution of \$100 prior to the primary election to Jane, a candidate for municipal office. Jane's name appeared on the ballot for the primary and general elections. After the general election, John can give up to \$2,600 to Jane's campaign committee.

a. Individual Contributions from Couples or a Joint Checking Account—Limited to \$2,700 per Election that the Candidate's Name Appears on the Ballot

Contributions from individuals are reported on an individual basis even when received from a joint checking account. Spouses each have a \$2,700 individual contribution limit for a campaign committee. In other words, a married couple could contribute up to \$5,400 at each permitted interval in the election process.

Committees that receive contributions via check drawn on a joint checking account must determine from the contributor(s) how much of the contribution to allocate to each account holder. The contribution should not be reported as a single contribution from the joint account holders. If a contribution is to be split equally, the contribution should be reported by evenly dividing the total amount on the check by the individuals on the check and reporting them as separate contributions from each individual. If the contributor specifies the contribution is only from one of the individuals listed on the check, then the report should attribute the entire amount to the designated contributor. [Rule 2.37.](#)

Example: Susan, a candidate for municipal office, received a \$1,000 check from the joint bank account of John and Jane Smith. Susan needs to find out from the Smiths how much of the contribution to allocate to each individual. Susan calls the Smiths and learns that John would like to provide the entire \$1,000 to Susan's committee. Susan must report the \$1,000 contribution as coming from John Smith, not as a \$1,000 contribution from both John and Jane Smith.

b. Limited Liability Company (LLC) or Partnership—Each Member or Partner is Limited to \$2,700 Per Election That the Candidate's Name Appears on the Ballot

Individuals who are members of a limited liability company ("LLC") or partners of a partnership may make contributions to a campaign committee using LLC or partnership funds, provided (1) the contribution(s) is split amongst all members or partners according to each member's or partner's ownership interest and (2) none of the members or partners are incorporated.

The owners of a limited liability company are called "members." The owners of a partnership are called "partners." Contributions by a LLC are reported as contributions from each individual member of the LLC in proportion to each member's ownership interest. Contributions by a partnership are reported as contributions from each individual partner in proportion to each partner's ownership interest. The amounts contributed through the LLC or partnership count toward the contribution limits of each individual member or partner. [Rule 2.24](#), [2.25](#), and [2.106](#).

Example 1: XYZ, LLC is owned equally by two members—Jane Doe and John Smith. The LLC made a \$1,000 contribution to Bob, a candidate for municipal office. The campaign committee may accept the check from the LLC, but the campaign committee would report the contribution as \$500 from Jane Doe and \$500 from John Smith.

Example 2: Jane Doe and John Smith, Inc. are equal members of XYZ, LLC. The LLC cannot make a contribution to a campaign committee because the contribution includes prohibited corporate funds.

c. Indian Tribes—Each Non-Incorporated Indian Tribe is Limited to \$2,700 per Election That the Candidate's Name Appears on the Ballot

Indian tribes follow the individual contribution limit and may contribute up to \$2,700 per election that the candidate's name appears on the ballot. The contribution limit applies to the tribe as a whole per candidate and is reported as coming from the tribe under the contributor type "Indian Tribe."

If the tribe is incorporated, the candidate committee is prohibited from accepting the contribution due to the corporate contribution restrictions.

If the contribution received from a tribe is actually a contribution from the tribe's political action committee ("PAC"), then the contribution limits for a PAC applies and the contribution must be reported as coming from the PAC. See the guide for Limited Political Action Committees for more information on contributions from PACs. [Rules 2.23, 2.33, and 2.37](#).

A Candidate to His or Her Own Campaign Committee—Unlimited Contributions

A candidate may contribute an unlimited amount to his or her campaign committee from his or her personal funds or from joint funds of the candidate and the candidate's spouse. The individual contribution limit does not apply to the candidate providing contributions to his or her campaign. All monetary contributions provided by the candidate are required to be deposited into the depository prior to expenditure from the campaign committee. All contributions from the candidate to his or her committee must be reported to the Ethics Commission.

Candidates can be reimbursed personal funds he or she uses for the campaign with campaign funds. See [section XV](#) on reimbursements.

However, a candidate's spouse who makes a contribution solely from his or her funds, not from joint funds between the candidate and spouse, is subject to the individual contribution limits. [Rules 2.38](#) and [2.95](#).

Special Limit on Nontaxable Gifts

A gift or gifts to a candidate or a candidate's spouse that are nontaxable under the United States Internal Revenue Code are considered contributions to the candidate's campaign committee to the extent that the gift or gifts exceed gifts from the same donor in 3 of the preceding 5 calendar years, assuming the candidate makes a similar contribution to his or her campaign. [Rule 2.38](#).

Cash Contributions—Limited to \$50 in the Aggregate per Contributor

The maximum amount of a cash contributions is \$50 in the aggregate per contributor. This is an aggregate amount, i.e., all cash contributions from a single contributor added together may not exceed \$50. The \$50 cash contribution does count toward that individual's \$2,700 contribution limit.

If an individual meets the \$50 cash contribution limit for a candidate, that individual can still contribute up to the individual contribution limit to that candidate, but it must be made through another method of payment other than cash, such as a check, credit card, or in-kind contribution.

If a candidate or campaign committee receives a cash contribution of over \$50, the candidate must (1) forfeit that contribution to the State Treasurer for the General Revenue Fund of Oklahoma if the recipient cannot identify the donor or (2) reject the contribution from a known contributor.

The candidate must retain the name, address, occupation and employer of each contributor. Candidates must make "best efforts" to identify all contributors. If a contributor can be identified by using best efforts, the candidate must make such efforts to identify the contributor and report the contribution in accordance with these Rules, which includes the contributor's name, address, occupation and employer. [Rule 2.19](#) and [2.106](#).

Example: Paula gave a \$50 cash contribution to Gary, a candidate for municipal office, prior to his primary election. Paula can no longer give contributions in the form of cash to Gary's campaign. However, she may still give up to \$2,650 in contributions via check, credit card, or goods or services (in-kind) to Gary's campaign prior to the primary election.

Anonymous Contributions—Limited to \$50 in the Aggregate per Contributor

The maximum amount of anonymous contributions is \$50 in the aggregate per contributor. Anonymous contributions are not contributions where an individual desires to remain anonymous or otherwise not identified. A contribution from a known contributor must be reported as coming from that contributor.

Candidates must make best efforts to identify all contributors. If a contributor can be identified by using best efforts, the candidate must make such efforts to identify the contributor and report the contribution in accordance with these Rules.

If an anonymous contribution in excess of \$50 is received, the amount of the contribution in excess of \$50 must be deposited with the State Treasurer to the general revenue fund of Oklahoma. [Rule 2.20](#).

Example: Gary, a candidate for municipal office, is talking with a group of supporters when someone walks by and hands him a \$100 bill. Gary may keep \$50 as an anonymous contribution but must pay \$50 to the General Revenue Fund of Oklahoma.

Contributions from Another Municipal Campaign Committee—Limited to \$2,700 Total per Campaign

A municipal campaign committee formed after January 1, 2015 may receive a contribution from another municipal campaign committee formed after January 1, 2015. The maximum amount of such a contribution is \$2,700 in the aggregate regardless of the number of elections in which the candidate's name appears on the ballot.

Candidates may only contribute to other campaign committees that are at the same level of elective office. For example, a municipal candidate can only give contributions to another municipal candidate. A municipal candidate cannot give a contribution to a state, municipal, or school board candidate. [Rule 2.37\(F\)](#).

Contributions from Limited Committees or Municipal Political Committees to Candidates—Limited to \$5,000 per Election That the Candidate's Name Appears on the Ballot

A limited committee and municipal political committees may contribute to a campaign committee, subject to the contribution limits. A limited committee is a political action committee ("PAC") organized to make contributions to candidates and is subject to contribution limits. A municipal political committee is a committee composed of one or more persons whose purpose includes the election or defeat of one or more candidates for municipal office but which is not required to register with the Ethics Commission or the Federal Election Commission. [Rules 2.2\(13\)](#), [2.33](#), and [2.106](#); [11 O.S. § 56-102](#).

A campaign committee is required to report the PAC's identification number in its Report of Contributions and Expenditures. This may be the number issued by the Ethics Commission, the number issued by the Federal Election Commission (FEC), or the number issued by another state.

Generally, a limited political action committee ("limited committee") and a municipal political committee may make a maximum contribution of up to \$5,000 per election that a candidate's name appears on the ballot. [Rules 2.2\(13\)](#), [2.33](#), [2.93](#) and [2.106](#).

i. Contributions to Unopposed Candidates

Each limited committee and municipal political committee may contribute up to \$5,000 total to a candidate who is unopposed. [Rule 2.33\(H\)](#).

ii. Contributions to Candidates in the Primary Election

Each limited committee and municipal political committee may contribute no more than \$5,000 to a campaign committee prior to a primary election. [Rule 2.33\(D\)](#).

iii. Contributions to Candidates in the Runoff-Primary Election

Regardless of whether a limited committee or municipal political committee has made a prior contribution, the committee may contribute up to \$5,000 to a campaign committee after the primary election but before the runoff primary election, only if the candidate's name appears on the ballot at the runoff primary election. [Rule 2.33\(E\)](#).

iv. Contributions to Candidates in the General Election

Each limited committee and municipal political committee may contribute up to \$5,000 to a campaign committee prior to the general election if the candidate receives the party nomination in a contested primary or runoff primary election, regardless of whether the PAC or municipal political committee has made a prior contribution, but only if the candidate's name appears on the ballot at the general election. [Rule 2.33\(F\)](#).

v. Contributions After the General Election

As of May 3, 2018, a PAC may make a contribution or contributions in an amount that, when aggregated to prior contributions to a candidate's campaign, does not exceed \$5,000. Such contributions should only be solicited to pay off debt, operating expenses, and/or, if the candidate is a current officeholder, to use for officeholder expenses. Committees are prohibited from receiving contributions after the general election to raise fund funds for a future campaign. [Rule 2.33\(G\)](#).

Example 1: Jaqueline is running for municipal office, and her name appears on the ballot in the primary, runoff, and general elections. PAC X, a limited committee, gives her \$1,000 before the primary, \$2,000 before the runoff, and \$1,000 before the general election. After the general election, PAC X may contribute up to \$1,000 to Jaqueline's campaign. (\$5,000 (contributions limit) – [\$1,000 (pre-primary contributions) + \$2,000 (pre-runoff contributions) + \$1,000 (pre-general contribution)] = \$1,000 available for contributions by PAC X after the general election.)

Example 2: Melvin is running for municipal office, and his name is on the ballot in the primary, runoff and general elections. PAC X, a limited committee, gives him \$2,000 before the primary, \$1,000 before the runoff, and \$2,000 before the general election. PAC X is prohibited from providing a contribution to Melvin's campaign after the general election because PAC X has given Melvin \$5,000 total. (\$5,000 (contributions limit) – [\$2,000 (pre-primary contributions) + \$1,000 (pre-runoff contributions) + \$2,000 (pre-general contribution)] = \$0 available for contributions by PAC X after the general election.)

vi. *Examples of Maximum Contribution Limits from a Limited Committee or Municipal Political Committee Based on The Number of Elections for Which the Candidate's Name Appears on the Ballot*

The following indicates the maximum amount of contributions from a limited committee or municipal political committee to a campaign committee depending upon the number of elections that a candidate's name appears on the ballot:

- **Candidates in one election:**
 - Up to \$5,000 total if the candidate is unopposed
 - Up to \$5,000 total if the candidate's name only appears on the primary election ballot
 - Up to \$5,000 total if the candidate's name only appears on the general election ballot
- **Candidates in two elections:**
 - Up to \$10,000 if the candidate's name appears on both the primary election and runoff primary election ballots (i.e., up to \$5,000 prior to each election)
 - Up to \$10,000 if the candidate's name appears on both the primary election and general election ballots (i.e., up to \$5,000 prior to each election)
- **Candidates in three elections:**
 - Up to \$15,000 total if the candidate's name appears on the primary election, runoff primary election and general election ballots (i.e., up to \$5,000 prior to each election)
- **After the general election:**
 - After the general election, a political action committee that has not previously contributed or that has contributed less than \$5,000 in the aggregate may make one or more additional contributions to a campaign committee that does not exceed \$5,000 when aggregated with prior contributions. (This Ethics Rule became eff. May 3, 2018.)

The maximum levels are specific to the next election. Contribution limits not met in one election cannot be made up in the previous election. [Rule 2.33.](#)

Example: Jane Smith is a candidate for municipal office. Her name appears on the ballot for both the primary and runoff primary elections. ABC municipal political committee gave a contribution of \$1,000 to Jane Smith prior to the primary election. ABC municipal political committee may make an additional contribution of \$5,000 prior to the runoff primary election. ABC municipal political committee, however, could not give a contribution prior to the runoff primary election of \$5,000 and an additional \$4,000 to make up for the difference not contributed prior to the primary. Also, ABC municipal political committee cannot contribute to Jane prior to the general election because Jane's name is not on the ballot for the general election.

a. *1/25 Limited Committees*

A limited committee or municipal political committee that has been registered with the Ethics Commission for less than a year prior to a primary election or that has fewer than 25 contributors is subject to smaller contribution limits than traditional limited committees. These PACs or municipal political committees are unofficially called 1/25 limited committees. A campaign committee may receive contributions from a 1/25 limited committee or a municipal political committee, subject to the specified contribution limits.

Such limited committees or municipal political committees may make contributions to campaign committees subject to maximum limits that are exactly half the amount of maximum contributions by other limited committees or municipal political committees, i.e., \$2,500 rather than \$5,000.

A campaign committee is required to report the PAC's Ethics identification number, if applicable, in its Report of Contributions and Expenditures.

i. Examples of Maximum Contribution Limits From a 1/25 Limited Committee or 1/25 Municipal Political Committee Based on The Number of Elections For Which the Candidate's Name Appears on the Ballot

The following indicates the maximum amount of contributions from a 1/25 limited committee or municipal political committee to a campaign committee depending upon the number of elections that a candidate's name appears on the ballot:

- **Candidates in one election:**
 - Up to \$2,500 total if the candidate is unopposed
 - Up to \$2,500 total if the candidate's name only appears on the primary election ballot
 - Up to \$2,500 total if the candidate's name only appears on the general election ballot
- **Candidates in two elections:**
 - Up to \$5,000 total if the candidate's name appears on both the primary election and runoff primary election ballots (i.e., up to \$2,500 prior to each election)
 - Up to \$5,000 total if the candidate's name appears on both the primary election and general election ballots (i.e., up to \$2,500 prior to each election)
- **Candidates in three elections:**
 - Up to \$7,500 total if the candidate's name appears on the primary election, runoff primary election and general election ballots (i.e., up to \$2,500 prior to each election)
- **After the general election:**
 - As of May 3, 2018, a PAC may make a contribution or contributions in an amount that, when aggregated to prior contributions to a candidate's campaign, does not exceed \$5,000. Such contributions should only be solicited to pay off debt, operating expenses, and/or, if the candidate is a current officeholder, to use for officeholder expenses. Committees are prohibited from receiving contributions after the general election to raise fund funds for a future campaign. [Rules 2.34\(D\)-\(G\)](#) and [2.106](#).
- **Example 1:** Jaqueline is running for a municipal office, and her name appears on the ballot in the primary, runoff, and general elections. PAC X, a 1/25 committee, gives her \$1,000 before the primary, \$500 before the runoff, and \$500 before the general election. After the general election, PAC X may contribute up to \$500 to Jaqueline's campaign. (\$2,500 (contributions limit) – [\$1,000 (pre-primary contributions) + \$5000 (pre-runoff contributions) + \$500 (pre-general contribution)] = \$500 available for contributions by PAC X after the general election.)
- **Example 2:** Melvin is running for municipal office, and his name is on the ballot in the primary, runoff and general elections. PAC X, a 1/25 committee, gives him \$2,000 before the primary, \$250 before the runoff, and \$250 before the general election. PAC X is prohibited from providing a contribution to Melvin's campaign after the general election

because PAC X has given Melvin \$2,500 total. (\$2,500 (contributions limit) – [\$2,000 (pre-primary contributions) + \$250 (pre-runoff contributions) + \$250 (pre-general contribution)] = \$0 available for contributions by PAC X after the general election.)

b. Contributions from Out-of-State Political Action Committees

A campaign committee may accept a contribution from a political action committee (“PAC”) registered in another state, provided the PAC would be eligible to make contributions to the candidate if it was registered in Oklahoma. For instance, an out-of-state PAC that receives corporate or labor union contributions would not be able to contribute to an Oklahoma campaign committee.

The contribution limits for limited and 1/25 committees apply to the contributions from out-of-state committees. The out-of-state PAC is required to file written proof of its registration in the other state with the Oklahoma Ethics Commission before making contributions to an Oklahoma candidate for municipal office. [Rule 2.92](#), [2.96](#) and [2.99](#).

c. Federal Political Action Committee

A campaign committee may accept a contribution from a political action committee (“PAC”) registered with the Federal Election Commission (“FEC”), subject to limits of the Ethics Rules. The contribution limits for limited and 1/25 committees apply to the contributions from federal PACs. However, a campaign committee may not accept a contribution from the authorized committee of a candidate for federal office.

The FEC registration number for the Federal PAC should be reported with the Ethics Commission. This can be found on the FEC website at www.fec.gov. [Rules 2.30](#), [2.96](#) and [2.98](#).

Contributions from Political Parties to Candidates—Limited to \$10,000

A campaign committee may receive contributions from political party committees, subject to the contribution limits.

The maximum amount that a political party committee may contribute to a municipal candidate is \$10,000 prior to any general election for that office.

The maximum amount includes the aggregate of all contributions made to the candidate by a political party, including the state committee, Congressional District committees, municipal committees and precinct committees, or any other committee or entity officially recognized in the party’s bylaws or similar governing document. [Rule 2.32](#).

Example: X State Political Party Committee wants to support its candidates in the General Election. Municipal Y Political Party Committee contributes \$10,000 to Joe Smith, a municipal candidate. X State Political Party Committee and Municipal Y Political Party Committee belong to the same party and are both recognized under that party’s bylaws. X State Political Party Committee is unable to make a contribution to Joe Smith because the Municipal Y Committee maxed out on contributions to that candidate.

X. Prohibited Contributions & Contributors

A campaign committee is prohibited from accepting contributions from the following entities:

Corporations & Labor Unions	Unlimited Political Action Committees	Foreign Nationals
Federal Campaign Committees	LLCs or Partnerships with Incorporated Member(s) or Partner(s)	
Pre-2015 Municipal Campaign Committees	State, County, or School Board Candidate/Campaign Committees	

Corporate and Labor Union Contributions Prohibited

Corporations and labor unions may not contribute to campaign committees, and campaign committees may not accept contributions from corporations or labor unions. This prohibition includes all types of corporations, such as for profit corporations, nonprofit corporations and professional corporations. If the entity is incorporated, the prohibition applies. [Okla. Const. art. IX, § 40](#); [21 O.S. § 187.2](#); and [Rule 2.23](#).

a. Communications by a Corporation or Labor Union Not Considered Contributions

Communications by a corporation intended to be received only by its stockholders, directors, officers or employees and their spouses, or communications by a labor union intended to be received only by its members and their spouses are not considered contributions. For example, a corporation or labor union may wish to make known to its employees or members, respectively, an endorsement of a particular candidate. In such a case, the endorsement would not be considered a contribution to the candidate's campaign. [Rule 2.29](#).

Contributions from Foreign Nationals Are Prohibited

Federal law prohibits foreign nationals from making contributions to candidates at the federal, state and local level. Visit the FEC website at www.fec.gov for more information.

Unlimited Committees Prohibited from Contributing to Candidates

Campaign committees are prohibited from receiving contributions from any type of unlimited committee. An "unlimited committee," often referred to as a Super PAC, is a PAC organized for the purpose of making independent expenditures or electioneering communications, not for the purposes of making contributions to campaign committees. An unlimited committee may also be organized for the purpose of being an independent judicial retention committee or a PAC organized exclusively for the purpose of advocating the approval or defeat of a state question. Unlimited committees may receive contributions in unlimited amounts and may accept contributions from corporations and labor unions. [Rules 2.2\(20\)](#), [2.35](#), [2.37](#) and [2.106](#).

Federal Campaign Committee Contributions Prohibited

A campaign committee cannot accept a contribution from an authorized committee of a candidate for federal office. [Rule 2.30](#).

Section Summary

- The following may give contributions to a campaign committee (municipal office):
 - Individuals (18 years or older) → Reported as individuals, not couples.
 - Limited Liability Companies (LLCs) → Reported on an individual basis based on each member's percentage of ownership.
 - Partnerships → Treated the same as LLCs.
 - Limited Committees → Reported with Ethics Number, out-of-state registration number or FEC number.
 - Municipal Political Committees
 - 1/25 Limited Committees → Limited committees that are reported with Ethics Number, out-of-state registration number or FEC number.
 - Another Municipal Campaign Committee
- The following are **prohibited** from making contributions to campaign committees:
 - Corporations (all types, including for profit, nonprofit, and professional)
 - Labor Unions
 - Unlimited Committees (Unlimited Committees)
 - Foreign nationals
 - Federal level campaign committees
 - LLCs or Partnerships with incorporated members or partners
 - Pre-2015 Campaign Committees
 - State, County, or School Board Candidate/Campaign Committee
- See [Appendix I](#) for the Contribution Limits Chart.

XI. Special Fund-Raising Events

Joint Candidate Fund-Raising Events

Two or more candidates for municipal office, except judicial office, may participate in a joint fund-raising event. Each participating candidate must be given approximately equal status in any formal solicitation of contributions, and each participating candidate must pay an equal share of the costs.

In addition, contributions to campaign committees may not be commingled. Each contribution must be made to the individual campaign committee that the contributor supports. [Rule 2.59](#).

Residence Used for Fund-Raiser

An individual who hosts a fund-raising event for a candidate in his or her residence and uses personal funds in doing so may spend up to \$1,000 before the expenditure is considered an in-kind contribution to the candidate's campaign. In other words, an individual could host such a fund-raising event in his or her home and spend \$1,000 on refreshments without making a reportable contribution to the candidate. Any personal funds expended above the \$1,000 limit are considered in-kind contributions and are subject to regular limits and reporting requirements.

If a husband and wife each spend personal funds (even if those are combined personal funds of the two), then each individual has a \$1,000 exemption. This rule states that "an individual" may spend personal funds, and the Ethics Rules apply contribution limits to individuals rather than to families. Thus, an exemption under this Rule for an individual would be granted for each individual

that participates in the fund-raiser. The Rule requires the fund-raiser to take place in the residence of the host, and the funds expended must be personal funds. If those conditions are met, then a husband and wife could each spend up to \$1,000 on a fund-raiser in their residence. This exemption will apply for one fund-raiser for the husband and one fund-raiser for the wife. That exemption can be applied to the same fund-raiser or two separate fund-raisers; however, if both the husband and wife are identified as hosts of the fund-raiser and joint funds are used to pay for the fund-raiser, then they have each used their single event exemption.

This \$1,000 exclusion applies no more than once per individual per campaign for a campaign committee, regardless of the number of elections a candidate's name appears on the ballot. [Rule 2.60.](#)

Example 1: Betty hosted a fund-raiser in her residence for Tiffany's campaign for municipal office. Betty spent \$1,500 of her personal funds on decorations, food and refreshments. The first \$1,000 is excluded and not reported as a contribution to Tiffany's campaign committee. The \$500 in excess of \$1,000 is considered an in-kind contribution to Tiffany's campaign committee and must be reported as such.

Non-Residential Buildings Used for Fund-Raiser

An individual who hosts a fund-raising event for a candidate in an office or other nonresidential building and uses personal funds in doing so may spend up to \$1,000 before the expenditure is considered an in-kind contribution. The exclusion may be used one time for a candidate's campaign and may not exceed \$1,000. Any personal funds expended above the \$1,000 limit are considered in-kind contributions subject to regular limits or reporting requirements.

To qualify for the exclusion, the office or other building must be owned by or exclusively leased or rented by the individual who is receiving the exclusion. Otherwise, use of the space and any other costs associated with the fund-raising event are considered an in-kind contribution by the owner. However, if the campaign committee pays for the costs associated with the fund-raising event, including the fair market value for the use of the space, the payment is considered an expenditure by the campaign committee. This provision does not permit a corporation or labor union to make an in-kind contribution that is otherwise prohibited. [Rule 2.61.](#)

Example 1: John Smith wants to host a fund-raiser for Jane, a candidate for municipal office, at his commercial office space that he owns personally. In this case, John Smith could host the fund-raiser at no cost to Jane's campaign committee at his commercial office space. Also, John Smith could spend up to \$1,000 of his personal funds for this fund-raising event without it being considered a contribution, but any amount over the \$1,000 would be considered an in-kind contribution to Jane's campaign committee.

Example 2: Same facts as Example 1 except that the commercial office space is actually owned by John Smith, Inc. Jane's campaign committee would be required to pay John Smith, Inc. fair market value to rent the space for a fund-raiser in order to avoid receiving a prohibited corporate in-kind contribution. John, in this instance, would not be able to use the \$1,000 exemption because the office space is not owned by him individually; instead, it is owned by John Smith, Inc.

Golf Fund-Raising Events

A campaign committee may use golf events for fund-raising purposes. Any goods or services donated to a golf fund-raising event are considered in-kind contributions to the committee based on the fair market value of the goods or services. Any fee paid to participate in the event is a monetary contribution. No goods or services may be donated, nor a fee paid, by a corporation or labor union. Any discount on use of the course will be a contribution to the committee unless the same discount is available to all others who hold golf fund-raising events. [Rule 2.62.](#)

Example 1: John's municipal campaign committee hosts a golf tournament to fund-raise for his campaign and offers the opportunity to "sponsor" a hole. Sponsorship includes posting a sign at the sponsored hole. XYZ Corporation sends a \$1,000 check to sponsor the 18th hole. John's campaign committee must return the check because it is a prohibited contribution from a corporation.

Example 2: John's municipal campaign committee is hosting a golf tournament to fund-raise for his campaign. Individual green fees are \$100 each or \$350 for a foursome. XYZ, Inc. sends in a check for \$350 so four of its employees may participate in the tournament. John's campaign committee must return the check because it is a prohibited corporate contribution.

Fund-Raising Auctions & Sales

A candidate may use auctions for fund-raising purposes. Any goods or services donated to such an event are in-kind contributions to the campaign committee based on their fair market value. Any money paid for goods or services at such an event are monetary contributions. No goods or services may be donated nor purchased by a corporation or labor union. [Rule 2.63.](#)

When a campaign committee sells goods or services, every sale is a contribution to the committee. The committee must receive the same contributor information, as with any other contribution received, in order to ensure proper reporting. All purchases count toward contribution limits. Also, when a campaign committee sells goods or services, such activities are subject to applicable licenses and taxes provided by law. [Rule 2.64.](#)

Example 1: Sarah's municipal campaign committee is hosting an auction to raise funds and is accepting items from the surrounding community to auction. Sarah's campaign committee receives a gift certificate for the auction from Sleep Well Bed and Breakfast, Inc. Sarah's campaign committee must return the gift certificate because it is a prohibited corporate contribution.

Example 2: Sarah's municipal campaign committee is hosting an auction to raise funds and is accepting items from the surrounding community to action. Sarah received a painting from Randy, which is valued at \$200, to be auctioned off at Sara's fund-raiser event. Melanie won the bid for the painting and paid \$300. Sarah will report this in the Report of Contributions and Expenditures as (1) a \$200 in-kind contribution from Randy and (2) a \$300 monetary contribution from Melanie.

XII. Loans

Permissible Loans

Candidates may receive loans from three sources:

1. A Commercial Financial Institution
2. A Non-commercial Financial Institution
3. The Candidate

a. Loans by Commercial Financial Institutions

A campaign committee may receive a loan from a commercial financial institution. A loan from this entity is not considered a contribution if (1) the entity normally engages in the business of making loans, (2) the loan is made in the regular course of business, and (3) the loan is made on the same terms as are ordinarily made available to the public. [Rule 2.65.](#)

b. Loans by Non-Commercial Financial Institutions Are Considered Contributions

A loan by a person or entity, other than a commercial financial institution, to a campaign committee is a contribution from the lender, guarantor or endorser in the amount of the balance of the loan that has not been repaid, and the individual contribution limits apply.

The portion of the loan that is paid off will no longer be considered a contribution to the campaign. Any remaining unpaid balance of the loan, however, will remain a contribution. [Rule 2.67.](#)

Example 1: Mike is a candidate for municipal office. Aaron wants to support Mike's campaign by providing Mike's committee with a loan. Because Mike's name is on the ballot in the primary and the general elections, Aaron can provide a loan of up to \$2,700 before the primary and another loan of up to \$2,700 before the general election. However, if Aaron provides the maximum contribution limits for both elections by providing these loans, Aaron will not be able to provide any other type of contribution to Mike's campaign, such as a monetary contribution or an in-kind contribution.

c. Candidates May Make Unlimited Loans to Their Own Campaign Committees

Because a candidate may make an unlimited amount of contributions to his or her own campaign, a candidate may also make an unlimited amount of loans to his or her campaign committee. The loan must come from his or her personal funds or from joint funds of the candidate and the candidate's spouse. However, in order for a candidate to be repaid the loan, the candidate must follow the three-step loan process. [Rule 2.67.](#)

i. Required Three-Step Process: (1) Funds Deposited in Campaign Depository; (2) Documentation of Loan Terms Made Contemporaneously; and (3) Report Loan from Candidate to Committee in Report of Contributions and Expenditures

When a candidate makes a loan to his or her committee, the terms of the loan must be in writing in a document executed contemporaneously (i.e., at the same time) with the transfer of funds into the campaign committee's depository. The document must be signed and dated by all parties involved. The loan from the candidate must also be indicated on the candidate's Report of Contributions and Expenditures. Such a loan may be repaid from contributions received by the campaign committee, but the campaign committee shall not be permitted to pay any interest on the loan.

A transaction is not considered a loan and the campaign committee cannot repay the candidate if the candidate transfers his or her personal funds or joint funds of the candidate and the candidate's spouse to the campaign committee without a contemporaneously-executed written loan document.

Similarly, a candidate who makes expenditures from personal funds or joint funds of the candidate and the candidate's spouse that are not first transferred to the campaign committee's account shall not have made a loan to the committee. [Rule 2.67](#).

Example 1: Tom is a candidate for municipal office. He is the only officer in his committee. Tom wants to loan his campaign \$200. Tom makes a document that states the terms of the agreement. He signs and dates the document as the candidate (lender) and as the committee Treasurer (payor). He then puts \$200 of his personal funds into the campaign committee depository (i.e., committee account). He also files a Report of Contributions and Expenditures and indicates on the report that he, as the candidate, made a personal loan to his campaign committee. Tom has appropriately performed the three-step loan process and will be able to pay back the loan with committee funds according to the terms of the loan.

Example 2: Same example, except Tom is the candidate and Chair and Jane is the Treasurer. The difference in this example from the previous one is that the document Tom created with the loan terms needs to be dated and signed by Tom, the candidate, and Jane, the Treasurer.

Example 3: Tom is a candidate for municipal office. He is the only officer in the committee. He purchases \$200 worth of materials with his personal funds for campaign signs. He later makes, signs, and dates a document with the terms of the \$200 loan to his campaign committee. He also files a Report of Contributions and Expenditures and indicates on the report that he, as the candidate, made a personal loan to his campaign committee. Tom has not made a loan to the campaign committee because he failed to first transfer his personal funds into the campaign committee's account. He may, however, reimburse himself with committee funds within 90 days of the original expenditure of \$200. See [section XV](#) on candidate reimbursements.

ii. What Can a Candidate Do if He or She Did Not Follow the Loan Process and Spent Personal Funds

A candidate who does not follow the three-step loan process can only be reimbursed with campaign funds for expenses the candidate made with personal funds through the reimbursement Rule. See [section XV](#) on reimbursements.

Prohibited Loans

A PAC may not make a loan to or receive a loan from a campaign committee. Also, a campaign committee may not make a loan to another campaign committee. [Rule 2.66](#).

Section Summary

- A loan from a non-commercial financial institution, such as a third-party individual, is considered a contribution and is subject to contribution limits.
- A campaign committee may receive an unlimited amount of loans from the candidate himself/herself. In order to properly establish a loan, the candidate making a loan to his or her own campaign committee using personal funds must do the following: (1) the funds must be deposited in the campaign depository; (2) a written loan document must be created and signed by the candidate (lender) and committee (payor) contemporaneously; and (3) the loan must be reported in a Report of Contributions and Expenditures.
- If a candidate desires to be reimbursed personal funds he/she spends on his/her campaign and does not follow the loan process, the candidate may be reimbursed within 90 days of the original expense with campaign committee funds (see [section XV](#) on reimbursements).

XIII. Understanding Expenditures**What an Expenditure Is**

An expenditure is a purchase, payment, distribution, loan, advance, compensation, reimbursement, fee, deposit or gift made by the campaign committee. [Rule 2.2\(8\)](#).

Personal Use of Campaign Funds Is Prohibited

Contributions accepted by a campaign committee may not be converted by any person to personal use. "Personal use" includes any use of funds to fulfill a commitment, obligation or expense of any person that would exist irrespective of a candidate's campaign or responsibilities as a holder of a municipal elective office.

Personal use by a candidate includes, but is not limited to, food purchased for daily consumption in the candidate's home or supplies needed to maintain the household; clothing (excluding campaign clothing of low monetary value such as T-shirts or caps); dry cleaning; shoes; mortgage, rent or utility payments for the candidate's personal residence, even if part of the residence is being used for the campaign; use of a motor vehicle for non-campaign purposes or non-officeholder expenses; interest on a loan made by the candidate or the candidate's spouse to the campaign; costs of a vacation or other trip not related to the campaign or officeholder expenses; admission to athletic events, concerts, theater or other forms of entertainment (except for events that are part of the campaign); dues in country clubs, health clubs, recreational facilities or other nonpolitical organizations and earnings from investments of contributions other than as permitted by the Ethics Rules. These examples are intended to be illustrative, not exhaustive.

Again, if the expense would exist regardless of whether the candidate is running for office, then the expense is a personal expense, which cannot be paid with campaign funds. [Rule 2.39](#).

General Rules for Making Expenditures

Contributions to a campaign committee may be used to make expenditures for the following occurrences:

1. Ordinary and necessary campaign expenses;
2. Operating expenses of the committee;
3. Contributions to another municipal campaign committee;
4. Modest campaign-related items in recognition of volunteers and contributors;
5. Officeholder expenses (only for candidates who are elected to office);
6. Reimbursements (see [section XV](#)); or
7. Other purposes not otherwise prohibited by law or the Ethics Rules. [Rule 2.2\(8\)](#) and [2.43](#).

a. Ordinary and Necessary Campaign Expenses (Expenditures Due to the Campaign)

“Ordinary and necessary campaign expenses” are expenses that would not exist ***but for the candidate’s campaign***. Examples include, but are not limited to, candidate filing fees, staff salaries, campaign consulting fees, rent (other than for the candidate’s residence or part of a residence), travel, advertising, telephones, office supplies and equipment, fundraising, individual memberships in political organizations, individual memberships in civic or charitable organizations, legal fees for the campaign, payment for campaign accounting or bookkeeping services or campaign finance reporting services and repayment of the principal and interest on a loan as permitted under the Ethics Rules. The examples are intended to be illustrative, not exhaustive. [Rule 2.43](#).

If the candidate would have the expense regardless of whether they were running for office, it is not a campaign expenditure but a personal expense, which is prohibited. See the prior subsection titled “Personal Use of Campaign Funds Is Prohibited.” [Rule 2.39](#).

Expenditures for campaign advertising may require a disclosure. For more information on campaign advertisement disclosures, see [section XIV](#).

b. Expenditures Made to Family Members as Staff Members of Campaign

Expenditures made by a campaign committee to a family member for services provided to the campaign may be no more than customary compensation for such services. [Rule 2.43](#).

c. Contributions to Another Municipal Campaign Committee

A campaign committee may make an expenditure of up to \$2,700 total for a contribution to another campaign committee. The maximum amount of such a contribution is \$2,700 per campaign. In other words, a campaign committee may contribute no more than \$2,700 altogether to another candidate’s campaign, regardless of the number of elections in which the recipient candidate’s name appears on the ballot and regardless of whether the candidate is unopposed.

The campaign committee that receives the contribution must be at the same level of elective office. For instance, a municipal campaign committee can provide a contribution to another municipal campaign committee, not a state, county, or school board campaign committee. [Rule 2.37\(F\)](#).

d. Modest Items Related to the Campaign May Be Given in Recognition of Contributions and Volunteers

A campaign committee may provide campaign-related goods, such as coffee mugs, t-shirts, caps and similar items of modest value, free of charge to volunteers or contributors to a campaign committee. These items must be (1) campaign related (e.g., item with a campaign logo, campaign committee name, etc.), (2) of modest value, and (3) provided to a volunteer or contributor. Expenditures for such items are considered as campaign expenditures by the campaign committee. [Rule 2.64.](#)

Goods which do not meet the modest items rule should not be provided by campaign committees due to concerns regarding vote influence. Criminal laws, not Ethics Rules, are implicated when providing goods or anything of value intended to influence votes. These laws are enforced by the Attorney General's or the District Attorney's office.

e. Contributions May Be Used to Pay Certain Officeholder Expenses (Expenditures Once Elected)

Contributions to a campaign committee of a candidate who is elected to office for which the contributions were accepted may be used to make expenditures for officeholder expenses. Officeholder expenses are expenses incurred as a result of the candidate's ***election to municipal office***, not expenses due to the candidate's campaign. These expenses are reported to the Commission as "officeholder expenses."

Such expenses may be paid until expiration of the term, resignation or other vacation of the office. Contributions received for a campaign for one office cannot be used for officeholder expenses of a different office.

Again, officeholder expenses are ordinary and necessary expenses incurred in connection with a candidate's duties as the holder of a municipal elective office, provided that the expenses are not otherwise reimbursed or paid for by the municipal. Officeholder expenses are those that would not exist but for the fact that the candidate was elected to and holds municipal elective office. These expenses are unique to holding an office, not to the person holding the office. [Rules 2.44 and 2.2\(14\).](#)

i. Funds Transferred to New Committee and Officeholder Expenses

When an officeholder dissolves one campaign committee and contemporaneously files a Statement of Organization for a different campaign committee prior to the expiration of the term for which he or she was elected and transfers funds from the first committee to the second committee, the officeholder may pay officeholder expenses until the expiration of the term in an amount not to exceed the amount transferred.

Section Summary

- The following are the types of expenditures that a campaign committee may make:
 - Ordinary and Necessary Campaign Expenses
 - Operating Expenses
 - Contributions to Other Municipal Candidates
 - Officeholder Expenses (only for those holding office)
 - Modest Items Related to the Campaign to Recognize Contributors and Volunteers
 - Reimbursements (see [section XV](#))
 - Other Purposes Not Otherwise Prohibited by Law or the Ethics Rules
- Prohibited Expenditures
 - Personal expenses that would exist regardless of whether a candidate is running for office or holding office

XIV. Disclosure Requirements for Campaign Advertising**Electronic Communications Disclosure Requirements**

Whenever a campaign committee makes an expenditure for the purpose of communicating through any Internet advertising, or video, radio, television, cable or satellite broadcast, the communication shall state, either orally or in writing, the following language exactly: **“Authorized and paid for by [NAME OF COMMITTEE].”** The name of the committee **does include the election year**. If the disclosure is in writing, it must be of sufficient size and contrast to be clearly readable by the recipient of the communication. [Rule 2.55.](#)

Printed Communications Disclosure Requirements

Whenever a campaign committee makes an expenditure for the purpose of communicating through any Internet advertising, or direct mail, magazine advertisement, newspaper advertisement or other printed materials, the communication shall state the following language exactly as shown: **“Authorized and paid for by [NAME OF COMMITTEE].”** The name of the committee does include the election year; however, large campaign signs (larger than 18” X 24”), banners and other campaign materials capable of reuse are not required to include the election year in the disclosure. The disclosure must be of sufficient size and contrast to be clearly readable by the recipient of the communication. [Rule 2.56.](#)

Disclosure Not Required for Certain Items

No disclosure is required for items, such as non-commercial yard signs, bumper stickers, campaign buttons, t-shirts, aerial advertising or similar advertisements where inclusion of a disclaimer would be impractical. However, the disclosure is required for banners and large campaign signs (i.e., larger than 18” X 24”). [Rule 2.56.](#)

XV. Reimbursements

Reimbursements to Individuals Other than the Candidate and Consultant on Behalf of the Committee

Individuals, other than the candidate and political consultants, who make an expenditure for the campaign and wish to be reimbursed by the campaign committee may do so. However, the expenditure should be reported by indicating the ultimate vendor or recipient of the funds and providing a specific description of the reimbursement.

Example: Maria is a candidate for municipal office. Her campaign committee staff member, Clay, used \$200 of his personal funds to purchase materials for campaign signs at ABC Hardware, Inc. Maria's campaign committee can reimburse Clay the \$200. Maria's campaign committee must report this as a \$200 expenditure to ABC Hardware, Inc. for campaign signs. The committee must maintain Clay's receipt as a committee document. [Rule 2.2\(8\)](#) and [2.106\(B\)\(6\)](#).

Reimbursements to the Candidate Other Than Through Loans

There is only one way in which a candidate may be reimbursed under the Ethics Rules. If a candidate does not follow the specific requirements under the reimbursement Rules, then the candidate cannot be reimbursed.

It is important to note that a reimbursement to a candidate is different than a loan payment to a candidate who made an appropriate loan. See the [section XII](#) on loans. Reimbursements to a candidate must be made within 90 days. [Rule 2.43](#).

a. Candidate Reimbursements Must Be Made Within 90 Days

A candidate who makes an expenditure on behalf of his or her campaign committee with his or her personal funds can be reimbursed those funds with campaign committee funds within 90 days of the original expenditure. The committee must report the reimbursement in the Report of Contributions and Expenditures. The committee Treasurer must **maintain receipts and other documents** showing the purchase by the candidate—including the date, vendor, and description of items purchased on behalf of the committee—and the reimbursement to the candidate.

If the candidate is not reimbursed within those 90 days, the candidate cannot be reimbursed; instead, the funds will be considered a monetary contribution from the candidate to the campaign committee and an expenditure to the recipient of those funds.

Note that this is different than a loan payment to a candidate from the campaign committee. The only way a candidate can receive a loan repayment from committee funds is if the candidate appropriately followed the three-step process of making a loan (see [section XII](#) on loans). One of the requirements for the loan process includes the candidate putting his or her own funds in the campaign depository. Consequently, loan repayments are not applicable to situations where a candidate spends personal funds for the campaign without first putting those funds in the campaign depository; however, the reimbursement Rule (i.e., within 90 days reimbursement rule) is applicable to such situation. [Rule 2.43](#).

Example: Matt, a candidate for municipal office, spent \$500 of his personal funds for campaign signs without putting the funds in the campaign depository. The expenditure was made on January 1, 2018. If Matt wants to be reimbursed, he must do so no later than March 31, 2018. If Matt fails to seek reimbursement by March 31, 2018, he cannot be reimbursed by committee funds. Matt is also unable to claim a \$500 loan because he did not follow the three-step loan

process, which requires his personal funds to be deposited in the campaign depository before the funds are spent and the contemporaneous execution of a loan document.

b. Travel Reimbursement

As of May 26, 2017, all reimbursements to a candidate must be made within 90 days of the original expense. Consequently, all travel reimbursements made to the candidate from committee funds must be made within 90 days of the original expense; otherwise, the candidate cannot be reimbursed. [Rule 2.43](#).

Reporting Reimbursements

Candidate reimbursements require the campaign committee to report two entries in schedule E (General Expenditures):

1. **Entry 1** – List as an in-kind expenditure (category of expenditure) to the original vendor (entity receiving expenditure) with a specific description, indicating the product or services rendered in relation to the committee and the candidate's personal payment for the item. Do not count the in-kind expenditure toward your total expenditures in the reporting period total or aggregate total. Only monetary expenditures count toward the expenditure totals.
2. **Entry 2** – List as a candidate reimbursement (category of expenditure) with a specific description that ties it to the in-kind expenditure entry. The description needs to indicate at least the original expenditure and date of the original expenditure. [Rules 2.43](#) and [2.93](#).

NOTE: These two entries may not appear on the same report. Entry number 1 is recorded on the date the expenditure to the vendor is made, and entry number 2 is recorded on the date that the candidate was reimbursed with committee funds.

Calculation of Travel Expense

a. Calculating Expenses for Motor Vehicle Travel

Calculating travel expenses for use of a motor vehicle is determined by the factual circumstances in which the motor vehicle is used.

i. Use of Personal Vehicle for Campaign Purposes

If a motor vehicle is used for campaign purposes or officeholder expenses and for any other purpose—including personal use—the campaign committee may make expenditures only **for mileage reimbursement** for campaign or officeholder mileage at the rate authorized for the use of privately owned motor vehicles under the State Travel Reimbursement Act or less. In other words, a candidate, volunteer, campaign staff member, etc. can be reimbursed mileage from committee funds. **Campaign committee funds cannot be used to purchase fuel, maintenance, or similar services for the vehicle.** Campaign committee funds may only be used to reimburse mileage at the applicable mileage rate for mileage not otherwise reimbursed.

When there is a mixed use between personal use and campaign use, the mileage reimbursement includes all operating costs, including gasoline and repairs. Thus, campaign funds cannot be used for repairs to a motor vehicle (except as the repairs are included in the mileage reimbursement). Gasoline should never be purchased with a campaign debit card or any other campaign funds when there is mixed use of a vehicle. A mileage log or other documentation must be kept that shows the reimbursement is for campaign or office holder expenses.

The mileage rate for the mileage reimbursement is determined by the State Travel Reimbursement Act. This rate may not be the rate set by the Internal Revenue Service (IRS). Campaign committees should review the applicable rate regularly to ensure the appropriate reimbursement rate is used. **As of January 1, 2017, the mileage reimbursement rate is \$0.47/mile.**

All mileage reimbursements must be made within 90 days of the original expenditure (i.e., the travel). All committee expenditures for mileage reimbursement must be reported with a specific description, including but not limited to, the **number of miles and the mileage rate** used to determine the reimbursement value. [Rules 2.45\(B\), 2.43, 2.93](#) and [2.106](#).

ii. Rental of Motor Vehicle for Campaign Purposes

Expenditures for the rental of a motor vehicle or for fares of taxicabs, buses or similar modes of transportation may be made at the actual cost of the rental or fare, provided the rental or fare is at the rate normally charged for others. [Rule 2.45\(C\)](#).

iii. Purchase or Lease of a Motor Vehicle for Campaign Purposes

If campaign contributions are used for the purchase or lease of a motor vehicle, the motor vehicle must be purchased or leased from a dealer licensed by the Oklahoma Motor Vehicle Commission or the Oklahoma Used Motor Vehicle and Parts Commission on commercially reasonable terms. The vehicle cannot be purchased from the candidate or a family member of the candidate. When the committee is dissolving, the vehicle must be sold to a licensed dealer; the vehicle may not be purchased by the campaign committee, the candidate or family member of the candidate.

All expenses associated with the purchase or lease and operation of a motor vehicle may be paid with contributions to a campaign committee only if the motor vehicle is used exclusively for campaign purposes or for ordinary and necessary expenses incurred in connection with the candidate's duties as the holder of an elective municipal office. This provision is not effective if the motor vehicle is used for any other purpose, including but not limited to personal use. [Rule 2.45\(A\)](#).

b. Calculating Expenses for Air Travel

Calculating expenses for air travel is determined by the factual circumstances of the air travel.

Travel on an air carrier is calculated at the actual cost of the fare. If air travel is first class, business class or equivalent class, the expenditure is calculated at the cost of any lower fare available on the same flight.

Air travel on an aircraft operated by a commercial carrier is calculated based on the usual charter fare or rental charge.

Air travel on an aircraft operated by a private individual is calculated based on the usual charter fare or rental charge of a commercial carrier.

Air travel on an aircraft operated by the candidate or a family member of the candidate is calculated as a contribution by the candidate to the campaign, based on the usual charter fare or rental charge of a commercial carrier, unless the aircraft is rented, in which case the cost is calculated as the rental charge.

[Rule 2.45\(D\)-\(G\)](#).

Section Summary

- Candidates may be reimbursed with committee funds when he or she spends personal funds on his or her own campaign if the reimbursement takes place within 90 days of the original expenditure.
- The candidate reimbursement rule applies to all candidate reimbursements, including mileage and other travel reimbursements.
- Mileage reimbursements must be reported with a specific description of the mileage amount and rate used to calculate the mileage reimbursement value.
- No gas may be purchased using campaign committee funds, unless the committee purchases a vehicle to be used exclusively for the campaign use from a licensed Oklahoma dealer.

XVI. Reports of Contributions and Expenditures**Obligation to File Reports of Contributions & Expenditures**

Once a campaign committee has filed a Statement of Organization with the Municipal clerk's office, the committee is required to make periodic Reports of Contributions and Expenditures until the committee is dissolved in accordance with the Ethics Rules and a Final Report of Contributions and Expenditures is filed. Again, all municipal candidate reports are filed with the Municipal clerk's office, not with the Oklahoma Ethics Commission. [11 O.S. § 56-106](#); [Rule 2.100](#) and [2.101](#).

a. Special Requirements for Judicial Candidates

Candidates for elective judicial offices, including judicial offices subject to retention, are subject to the Ethics Rules as all other candidates for municipal office. However, under the [Code of Judicial Conduct, 5 O.S. Ch. 1, App. 4, Rule 4.4\(A\)](#), the judicial candidate is responsible for ensuring that his or her campaign committee complies with the Ethics Rules and with other provisions of the Code of Judicial Conduct. Judicial candidates are not required to file Reports of Contributions and Expenditures when they are prohibited from soliciting or accepting contributions (60 days after their last election). However, once judicial candidates can solicit and accept contributions for an election, they are required to file Reports of Contributions and Expenditures. [Rule 2.100](#).

Contents of Reports of Contributions and Expenditures

Reports of Contributions and Expenditures include information on all receipts, including monetary contributions, in-kind contributions, refunds or returns and other receipts and information on all expenditures.

Reports of Contributions and Expenditures require significant detail. For example, Reports of Contributions and Expenditures include, but are not limited to, the following:

- The **name, address, occupation and employer of any individual making a contribution or contributions regardless of the amount of the contribution**, the date and amount of any monetary or in-kind contributions made during the time period covered by the Report and the aggregate total of all contributions accepted from one person;

- The name and Ethics Commission identification number of a PAC if applicable, political party committee or campaign committee making a contribution or contributions, the date and amount of any monetary or in-kind contributions made during the time period covered by the report and the aggregate total of all contributions accepted from a PAC, municipal political committee, political party committee or campaign committee;
- The total of all contributions made during the time period covered by the report;
- Information on all loans made to the committee or repaid by the committee; and
- The name and address of any person or entity to whom an expenditure was made during the time period covered by the Report, a description of the goods or services purchased, and the aggregate total of all expenditures made to the person or entity. [Rules 2.17 and 2.106.](#)

The Executive Director is authorized to require additional information on the Reports of Contributions and Expenditures. [Rule 2.93.](#)

Maintain Records for Four Years

It is imperative that the Treasurer keep detailed and accurate records of all campaign committee transactions to ensure that the Treasurer has the information necessary to correctly account for all the receipts and expenditures of the committee.

Sometimes this responsibility goes beyond merely recording gross expenditures. For example, expenditures made by political consultants or other third parties on behalf of the candidate must be reported as if the expenditures had been made directly by the campaign committee. That makes it necessary to identify all of the expenditures made by such persons on behalf of the committee so the expenditures are properly reported.

Another example of necessary detail that goes beyond the surface of the transaction is the reporting of contributions from eligible limited liability companies (LLCs) and partnerships. Although the campaign committee may receive a contribution from a LLCs or a partnership, the contributions must be reported as if the contributions were made by the members or partners, respectively, as individuals. To accurately report the committee's activities, the Treasurer often will have to elicit details not apparent on the face of a contribution or expenditure and keep careful records in order to make the Report of Contributions and Expenditures. [Rules 2.73 and 2.106.](#)

Where to File Reports: Municipal Clerk's Office

All Reports of Contributions and Expenditures must be filed with the **Municipal Clerk's office**, not the Oklahoma Ethics Commission, unless otherwise ordered by the Commission or the Executive Director of the Commission. Access the Ethics forms on the Ethics Commission website at www.ethics.ok.gov under the "County, Municipal & School Board Reporting" tab. [11 O.S. 56-106.](#)

When Reports Are Due

All reports due to the Municipal Clerk must be submitted on the date specified (i.e., due date) by 4:30 p.m. in order to be considered timely filed. When the day that a document is required to be filed falls on a day other than a business day, that document is timely filed if filed on the next succeeding business day by the close of business of the municipal clerk's office.

Report due dates depend upon when a candidate registers or is required to register and whether the filing period occurs during an election year. [Rule 1.4.](#)

a. Filing Dates Available on the Ethics Website

A complete schedule of filing dates is provided on the Ethics Commission's website at www.ethics.ok.gov under the "County, Municipal & School Board Reporting" tab.

b. Periods Covered and Filing Periods

When reviewing the reporting schedules, there are dates indicating the "period covered" and "filing period." Period covered indicates the time period that the committee needs to account for funds coming into and leaving the committee in the Report of Contributions and Expenditures. Whereas, the filing period indicates the dates in which the report can be filed with the Municipal Clerk's office. A report cannot be filed before the filing period begins, and any reports filed after the filing period ends are considered late.

c. Filing the First Report

The first Report of Contributions and Expenditures by a campaign committee shall be filed at the same time as required for the quarterly or pre-election Report of Contributions and Expenditures following the filing of a Statement of Organization. The Report covers the period beginning on the date of the first contribution or expenditure and ending on the same ending date for the quarterly or pre-election period. [Rule 2.103.](#)

Regularly Scheduled Elections—Quarterly Reports Required in Non-Election Years (Does Not Include Special Elections)

Committees are formed for specific elections. For years, other than the year of election for which a committee is formed, Reports of Contributions and Expenditures by campaign committees are made on a quarterly basis.

For example, Candidate Smith forms a committee for 2018 elections called "Smith for Mayor 2018." Candidate Smith's committee will file quarterly reports in the years other than 2018, including 2020, which is an election year. These reporting dates apply to regularly scheduled elections, not special elections to fill a vacancy.

The **quarterly** reporting dates and time periods covered in a calendar year that is not an election year are as follows:

Filing Dates	Period Covered	Type of Report Due
April 1 – 30	Jan. 1 – March 31	1 st Quarter Report
July 1 – July 31	April 1 – June 30	2 nd Quarter Report
Oct. 1 – 31	July 1 – Sept. 30	3 rd Quarter Report
Jan. 1 – 31	Oct. 1 – Dec. 31	4 th Quarter Report

**Access the reporting calendars under the "County, Municipal & School Board Reporting" tab on the Ethics Commission website.*

Quarterly reports due in January, April, July or October cannot be filed early, unless the campaign committee is dissolving and filing a Final Report of Contributions and Expenditures. [Rule 2.100.](#)

a. Judicial Candidates

Judicial candidates, who are prohibited from soliciting or accepting contributions 60 days after their last election, are not required to file Reports of Contributions or Expenditures during this prohibition. Once the judicial candidate can solicit and accept contributions, then they are required to file Reports of Contributions or Expenditures. [Rule 2.100.](#)

Regularly Scheduled Elections—Quarterly and Pre-Election Reports Required in Election Years

In election years, Reports of Contributions and Expenditures by campaign committees are made either quarterly or prior to elections. The reporting requirements will vary depending upon the election calendar of the municipality.

Pre-election Reports are required **only if there is an election**. For example, some nonpartisan municipalities do not have primary elections, so there would be no pre-primary election report deadline. However, a candidate must file a Pre-Election Report if an election is scheduled regardless of whether that candidate's name appears on the ballot. Make sure you check with your municipality for election schedules.

Although these reporting requirements will vary, depending on the election calendar of the municipality, a typical election year reporting cycle would include the following:

Filing Date	Period Covered	Type of Report
April 1 – 30	Jan. 1 – March 31	1 st Quarter Contributions and Expenditures Report
8-14 days before the primary election	April 1 – 15 days before primary election	Pre-Election Report of Contributions and Expenditures
8-14 days before runoff primary election (if there is a runoff)	14 days before primary – 15 days before runoff primary election	Pre-Runoff Report of Contributions and Expenditures
8-14 days before general election (if there is a general)	14 days before primary election – 15 days before the general election	Pre-General Report of Contributions and Expenditures
Jan. 1 – 31	End of pre-general election reporting period – Dec. 31	Partial Quarter Report of Contributions and Expenditures (Post-Election Report)

**Access the reporting calendars under the "County, Municipal & School Reporting" tab on the Ethics website.*
[Rule 2.101.](#)

Example 1: Candidate X forms a 2020 committee in December of 2019. Candidate X will need to file a 4th Quarter Report in January of 2020 that covers Oct. 1 – Dec. 31, 2019. In April of 2020, Candidate X will file a 1st Quarter Contributions and Expenditures Report. The candidate will continue to follow the election year reporting schedule.

Example 2: Candidate Y forms a 2020 committee in January of 2020. Candidate Y will need to file a 1st Quarter Contributions and Expenditures Report in April of 2020. The candidate will continue to follow the election year reporting schedule.

Example 3: Candidate Z is running for Mayor. The municipality scheduled a primary and general election. Candidate Z's name was on the ballot in the primary election; Candidate Z lost in the primary election. Candidate Z's name is not on the ballot for the general election; however, Candidate Z was required to file a Pre-Election report before the primary and will be required to file a Pre-Election report before the general election. After the Pre-Election report for the general election is filed, then Candidate Z will file a Partial Quarter Report covering the period after the general election and then file quarterly until dissolved in accordance with the Ethics Rules (unless dissolved earlier).

Reports Due During the Two Weeks Before an Election: Continuing Report of Contributions

A campaign committee is required to file Continuing Reports of Contributions for certain contributions received after the close of the pre-election reporting period until the last two days before the election. Stated differently, during the **two-week period before an election**, a campaign committee is required to file a Continuing Report of Contributions if the committee receives a contribution that exceeds \$1,000 in the aggregate from one source. Note that the contribution must exceed \$1,000 in the aggregate. If a contribution or contributions in the aggregate only amounts to \$1,000, then a Continuing Report of Contributions will not be required. It is also important to remember that contributions include monetary contributions, in-kind contributions, loans to the campaign committee from a non-commercial financial institution, and loans from the candidate to his or her own campaign committee.

These Reports are due **within 24 hours after the contribution is accepted**. In this case, "accepted" means that the contribution has been placed in the campaign depository or that an in-kind contribution has been used in the campaign.

The Report must identify the name, address, occupation and employer of the contributor making a contribution, the amount of the contribution, and the date it was made.

If a candidate's name does not appear on the ballot at the next election, that candidate's committee is not required to file Continuing Reports of Contributions. [Rules 2.102.](#)

Example 1: Candidate John is in the runoff election, which occurs on August 28, 2018. Within the two-week time period before the election, on August 16th, John received and deposited a \$1,000.01 contribution from Connie Contributor. John must file a Continuing Report of Contributions by August 17, 2018 (within 24 hours).

Example 2: Candidate Jane is in the primary election, which occurs June 26, 2018. Candidate Jane loaned her campaign \$1,000.01 on June 22, 2018. Because Candidate Jane loaned her campaign committee in excess of \$1,000 within the two weeks before the primary election, a Continuing Report of Contributions must be filed by June 23, 2018 (within 24 hours).

Special Election Reporting Dates

Special elections occur to fill a vacancy in an elected office. During special elections, each campaign committee must file pre-election Reports of Contributions and Expenditures on the same dates and for the same periods with respect to the special primary election, runoff primary election and general election. These Pre-election Reports are required **only if there is an election**.

Candidates in special elections must continue to file quarterly reports after the general election until the campaign committee is dissolved in accordance with the Ethics Rules and a Final Report of Contributions and Expenditures is filed. [Rule 2.101.](#)

Again, all reporting schedules are available on the Ethics Commission website under the “County, Municipal & School Board Reporting” tab. Candidates for a special election have mandatory dissolution dates. Check with your local municipality for the election schedules.

Public Records

All Reports of Contributions and Expenditures that are filed with the Municipal Clerk’s office are public records. The public may request these records, and the Municipal Clerk must provide these records upon request. The Municipal Clerk’s office must maintain Statements of Organization for four years after the date the Statement of Organization is filed or prepared, if not posted on the municipal’s website.

The Municipal Clerk’s office may post submitted Reports of Contributions and Expenditures on its website, if it has that capability. [11 O.S. § 56-107.](#)

XVII. Enforcement, Late Reports and Compliance Orders

Although reports are filed with the Municipal Clerk’s office, the Oklahoma Ethics Commission’s duties entail the compliance, enforcement, and education of the Ethics Rules. The Municipal Clerk’s duties are to accept reports, maintain those filing for a period of four years, and to make those filed reports available upon request. The Municipal Clerk will not enforce, teach, nor assist with compliance with the Ethics Rules.

The Executive Director is authorized to issue compliance orders to obtain compliance with these Rules, including late filing fees. A committee that files reports significantly late or has significant compliance issues may be addressed through the Commission’s complaints process, rather than through compliance orders.

A committee that is affected by a compliance order may request a hearing to be conducted by an administrative law judge. The information on how to request a hearing will be provided with the issued compliance order. [Rules 6.19, 2.100, 2.101](#) and [6.3; 11 O.S. § 56-110.](#)

XVIII. Personal Financial Disclosure Statement (PFD)

Effective July 1, 2016, the only individuals who are required to file a personal financial disclosure statement (“PFD”) are municipal officers who are ***elected or subject to retention to judicial office***. Candidates are no longer required to file financial disclosure statements. Those required to file these reports at the municipal level will find the Personal Financial Disclosure Statement form on the Ethics Commission website at www.ethics.ok.gov under the “County, Municipal & School Board Reporting” tab. All Personal Financial Disclosure Statements are filed with the Municipal Clerk’s office. [Rule 3.16; 11 O.S. 56-108.](#)

Public Records

A Personal Financial Disclosure Statement (“PFD”) that is filed with the Municipal Clerk’s office is a public record. The public may request these records, and the Municipal Clerk’s Office must provide these records upon request. The Municipal Clerk should not post submitted PFDs on its website. [11 O.S. § 56-109.](#)

XIX. Understanding Independent Expenditures, Electioneering Communications, and the Risks of Coordination

Third parties, not campaign committees, engage in independent expenditures (“IE”) or electioneering communications (“EC”). Generally, an IE or EC is a communication that advocates the election or defeat of a candidate or references a clearly identified candidate, respectively, and meets certain distribution criteria. If a candidate, campaign committee, agent, etc., coordinates with a third party who is engaged in an IE or EC, the expenditure becomes a contribution to the campaign committee. This will often cause excessive contribution limits or prohibited corporate contributions.

An IE made by a third-party cannot be made in coordination with, cooperation with, consultation with, or concert with, or at the request or suggestion of, a candidate, a campaign committee or their agents. [Rules 2.2\(7\)](#) and [2.2\(10\)](#).

What Constitutes Coordination, Cooperation, Consultation or “Acting In Concert”

Coordination, cooperation, consultation and “acting in concert” are not defined in the Ethics Rules. Common definitions of “coordination,” “cooperation,” “consultation” and “concert” offer these descriptions, among many others: “to associate with others for mutual benefit,” “to act together,” “to confer,” “formed by mutual communication of opinion and views.” Whether or not there is coordination, cooperation or consultation in any given situation depends upon the facts.

a. Consequences of Coordination

If an alleged IE or EC is in fact made in coordination with, cooperation with, consultation with, or concert with, or at their request or suggestion of, a candidate, a campaign committee or their agents or a political party committee or its agents, then the “expenditure” becomes a contribution to the campaign committee and is reported as an expenditure by the campaign committee. If the amount of the expenditure exceeds the limits for contributions, then it is a violation of the Ethics Rules by both the contributor and the recipient. Such an expenditure may also violate criminal laws enforced by the Attorney General’s or District Attorney’s office. [Rule 2.2\(6\)](#), [2.2\(10\)](#), [2.38](#), [2.107\(H\)](#), and [2.108\(H\)](#).

XX. Dissolving the Campaign Committee

Campaign Committee May Dissolve at Any Time Prior to the Mandatory Dissolution Dates

A campaign committee may dissolve at any time prior to mandatory dissolution dates by dissolving in accordance with the Ethics Rules and filing a Final Report of Contributions and Expenditures that shows no funds remaining in the campaign committee. [Rule 2.116](#).

A campaign committee remains obligated to file all required reports until the committee is dissolved in accordance with the Ethics Rules. A campaign committee that does not dissolve and stops filing required reports is subject to compliance orders and other enforcement actions. [Rule 6.19](#).

Mandatory Dissolution of Campaign Committee

When a candidate is required to dissolve depends upon whether the candidate is elected to office.

a. Mandatory Dissolution Dates for Candidates Elected to Office

A candidate who is elected to office must dissolve his or her campaign committee no later than two years after the general election (if no general election, it is the election that decides the office) for an office with a two-year term, no later than four years after the general election for an office with a four-year term and no later than six years after the general election for a six-year term. [Rule 2.116](#).

b. Mandatory Dissolution Dates for Candidates Who Are Not Elected to Office

A candidate who is not elected to office must dissolve his or her campaign committee no later than two years after the general election (if no general election, it is the election that decides the office). [Rule 2.116](#) and [2.48](#).

Maintain Records for a Period of Four Years

Every committee, even dissolved committees, are required to maintain committee records for a period of four years, and those records must be made available upon request by the Commission. If the committee uses online banking, the committees must print or save all statements. [Rule 2.73](#).

How to Dissolve a Committee

Dissolving a campaign committee means that the campaign committee is terminating, disposing of all of its assets and funds, and resolving any debt. A candidate can transfer debt, assets and funds from one municipal campaign to another municipal campaign for future election. A campaign committee can completely dissolve and dispose of its funds. However, if a campaign committee dissolves, the funds cannot be retained by the candidate, committee officers, or any other entity other than those permitted to receive surplus funds. This would be considered converting committee funds for personal use, which is prohibited.

In order to dissolve a committee, a campaign committee must perform the following tasks:

- Dispose of Any Assets
- Resolve of Any Debt
- Dispose of Surplus Funds
- File a Final Contributions and Expenditures Report

There are checklists for how to dissolve or transfer to a new campaign committee. The checklists are available on the Ethics Commission website under the "County, Municipal & School Board Reporting" tab. For other assistance dissolving or transitioning a campaign committee, contact the Ethics Commission staff. [Rules 2.116](#), [2.117](#), [2.118](#), and [2.39](#).

a. Disposition of Assets

The campaign committee must dispose of assets acquired by the campaign committee through purchase or in-kind contributions, including but not limited to, furniture, computer equipment and similar items. If the candidate retains those assets, this would amount to converting contributions to personal use in violation of the Ethics Rules. A candidate or a candidate's family member may purchase such assets, other than a vehicle, from the campaign committee but only if the candidate or candidate's family member pays fair market value for such purchases. It is also best practice to sell committee assets to any other individual for fair market value.

Funds received from selling committee assets can be used to resolve any debt. Any sale of assets is reported as an "other fund" and disposed of with all other remaining funds.

All records for how fair market value was determined must be kept with the other campaign committee records for a period of four years. [Rule 2.118](#) and [2.73](#).

b. Resolution of Debt

If a campaign committee has debt at the time of its dissolution, that debt must be resolved in a commercially reasonable manner before the campaign committee may dissolve. Debt may not be resolved for the purpose of evading prohibitions or limitations of the Rules. If a debt is not resolved in a commercially reasonable manner, the amount of the debt that is forgiven shall be considered a contribution to the candidate and could exceed contribution limits or be a prohibited corporate contribution. Campaign committees can raise funds after an election to assist with paying off debt. [Rule 2.117](#).

c. Surplus Funds

The candidate, at his or her discretion, may determine that certain funds are not required for campaign expenses or officeholder expenses. These funds are “surplus funds.” Surplus funds of a campaign committee may be designated **only following the determination of the election for which the campaign committee was formed**. [Rule 2.48](#).

i. Uses of Surplus Funds

Surplus funds may be expended as follows:

1. Retained in any amount for use in a future campaign for the next succeeding term of the same office;
2. Retained for a future campaign for a different municipal elective office, excluding a judicial office;
3. Donated to a charitable organization as described in Section 501(c)(3) of Title 26 of the United States Code;
4. Returned to any contributor, as long as the amount returned does not exceed the contributor's aggregate contribution during the immediately preceding primary election, runoff primary election and general election;
5. Contributed to a political party's committees in any amount not to exceed \$25,000 in the aggregate;
6. Used to purchase item(s) for donation to a 501(c)(3) charitable organization, provided that (1) the donated item(s) are sold by the charitable organization for fundraising purposes, (2) the purchase of the item(s) for donation is reported in a Report of Contributions and Expenditures with a description of the cost of the item(s) purchased, (3) the donation of the item(s) is reported in a Report of Contributions and Expenditures as an in-kind expenditure to the charitable organization with a description of the value of the item donated, and (4) the donated item(s) cannot be purchased by a candidate or officer, board member, or employee of the charitable organization; or

7. Donated in accordance with the provisions of [Title 60 O.S. § 381](#), et seq., to the State of Oklahoma, or to any municipal, city, town or school district within the State of Oklahoma.

Surplus funds may not be used for any other purpose. [Rule 2.48.](#)

ii. Disposition of Surplus Funds

Surplus funds remaining in the campaign committee's possession within 90 days after the mandatory dissolution date will forfeit those funds to the general revenue fund of the State of Oklahoma. [Rule 2.48.](#)

d. Final Report of Contributions and Expenditures

A Final Report may be filed at any time with the Municipal Clerk's office until the applicable mandatory dissolution date. The Final Report will cover the period that begins after the last day of the immediately preceding reporting period and ending on the day before the Final Report is filed. [Rule 2.118.](#)

Section Summary

- A municipal campaign committee must perform the following acts in order to dissolve:
 - Dispose of any assets
 - Resolve any debt
 - Use Surplus Funds
 - File a Final Report of Contributions and Expenditures
- Review the resources on the Ethics Commission website, www.ethics.ok.gov, under the "County, Municipal & School Board Reporting" tab.

APPENDIX I: CONTRIBUTION LIMIT CHART**2018 - 2019 Contributions Table (Revised July 01, 2018)**

	To Candidate Committee	To Political Party	To Limited or Unlimited Committee
Individuals may give Cash & Anonymous Contributions are allowed up to \$50, and it count toward the individual's contribution limits.	Unopposed Candidates: \$2,700 per campaign Opposed Candidates (may not give once for multiple elections): \$2,700 prior to primary election \$2,700 after primary and prior to runoff primary elections \$2,700 prior to general election Maximum contributions by elections in which candidate participates: <ul style="list-style-type: none"> • 1 election: \$2,700 (Primary or General election only); \$2,700 • 2 elections: \$5,400 (Primary & Run-off primary elections; or Primary & General Elections) • 3 elections: \$8,100 (Primary, Run-off Primary and General elections) Post General Election: Allowed so long as aggregated contributions do not exceed \$2,700.	\$10,000 per calendar year	Limited Committee: \$5,000 per year Unlimited Committee: No limits Cash & anonymous limits of \$50 apply. <ul style="list-style-type: none"> • Only \$50 of a contributor's contribution may be given in cash. • Only \$50 may be accepted from an anonymous contributor.
Party Committee may give	\$25,000 for statewide office (prior to general election) \$10,000 to other state elective office (prior to general election) <i>Limits are per party, not per committee.</i>	Unlimited Transfers between Political Party Committees	Nothing
Limited Committee may give Limited Committees registered for less than 1 year before a primary OR has fewer than 25 contributors	Unopposed Candidates: \$5,000 per Campaign Opposed Candidates (may not give once for multiple elections): \$5,000 prior to primary \$5,000 after primary and prior to runoff primary \$5,000 prior to general election Maximum contributions by elections candidate participates in: <ul style="list-style-type: none"> • 1 election: \$5,000 (Primary or General election only) • 2 elections: \$10,000 (Primary & Run-off primary elections; or Primary & General Elections) • 3 elections: \$15,000 (Primary, Run-off Primary and General elections) Post General Election: Allowed so long as aggregated contributions do not exceed \$5,000.	\$10,000 per calendar year	Limited Committee: \$5,000 per year Unlimited Committee: \$0/Nothing
1/25 Limited Committee may give Limited Committees registered for less than 1 year before a primary OR has fewer than 25 contributors	Unopposed Candidates: \$2,500 per Campaign Opposed Candidates (may not give once for multiple elections): \$2,500 prior to primary \$2,500 prior to runoff primary \$2,500 prior to general election Maximum contributions by elections candidate participates in: <ul style="list-style-type: none"> • 1 election: \$2,500 (Primary or General election only) • 2 elections: \$5,000 (Primary & Run-off primary elections; or Primary & General Elections) • 3 elections: \$7,500 (Primary, Run-off Primary, and General elections) Post General Election: Allowed so long as aggregated contributions do not exceed \$2,500.	\$5,000 per calendar year	Nothing
Unlimited Committee may give	Nothing	Nothing	Limited Committee: \$0/Nothing Unlimited Committee: No limit for committees of same type & purpose
Candidate Committee may give	\$2,700 to another <u>state</u> candidate committee per campaign. Nothing to federal, county, municipal or school district candidate committees.	\$25,000 <i>only after</i> the election has been decided.	\$0/Nothing

APPENDIX II: PUBLIC FUNDS, PROPERTY OR TIME

Several Rules prohibit certain campaign activities in state or other public facilities. In addition, other Rules prohibit the use of state or other public resources for campaign activities.

General Prohibitions of Public Funds, Property and Time

No state officer or employee may use or authorize the use of public funds, property, or time to solicit, receive or accept funds for a political committee. If unsolicited funds are tendered to a candidate in violation of this Rule, the funds may not be accepted by the intended recipient and must be returned to the sender as soon as possible. [Rule 2.3.](#)

a. Use of Public Property for Political Purposes

Public meeting rooms, auditoriums, parks, colleges and other educational campuses and similar spaces may be used for political purposes, provided that certain conditions are met. If a fee is customarily charged with use of the facility, that fee must be charged for use of the facility for political activities. If the facility is made available to one candidate, it must be made available to all candidates for the same state office in the order in which requests are received. These requirements do not mandate that any public facility be used for political purposes, nor do these requirements limit the use of traditional public forums for political purposes. [Rule 2.8.](#)

b. Use of Public Facilities for Voter Registration Activities

Voter registration activities may be conducted in public facilities, provided those activities are not used in any way to encourage registration in a particular political party or support of or opposition to a candidate or candidates. [Rule 2.10.](#)

c. Solicitation on State of Oklahoma Property That Is Used to Conduct Official State Business

No person may solicit or accept a contribution for a political committee, including a campaign committee, in any area of the state capitol building under any circumstances. Further, no person shall solicit or accept a contribution for a political committee, including a campaign committee, in any office or other space owned, leased, or occupied by the State of Oklahoma that ordinarily is used for the conduct of official state business. This does not include college campuses and public meeting rooms, auditoriums, or similar meeting areas that are available for use by the public at large. [Rule 2.6.](#)

d. State-Owned Property and Services Prohibited from Being Used For Campaign Purposes

State officers and employees are prohibited from using a state-owned telephone, state electronic mail or other state equipment, property or services to advocate the election or defeat of a clearly identified candidate for any elective office. [Rule 2.14.](#) State officers and employees may not use a state-owned telephone, state electronic mail or other state property or services to make a contribution to a political committee, including a candidate or campaign committee. [Rule 2.15.](#)

No Internet social media account maintained in the name of a state officer as a state officer or state agency may be used for political purposes. The prohibition includes the solicitation, receiving or acceptance of contributions. It also prohibits advocating the election or defeat of a clearly identified candidate for any elective office or a vote for or against a state question or other question to be voted upon at an election. Such official accounts may not be converted for use by a campaign committee. [Rule 2.16.](#)



Municipal Campaign Finance and Financial Disclosure Act

Compiled by the Oklahoma Ethics Commission
July 1, 2015

Version 2015.2

TABLE OF CONTENTS

TITLE 74, Chapter 62. – Ethics Commission Act

§ 4258.1	Creation of Political Subdivisions Enforcement Fund	- 1 -
§ 4261.	Design and Internet Placement of Compliance Forms	- 1 -
§ 4262.	Establishment of Political Subdivisions Enforcement Division	- 1 -
	Local Government Campaign Finance and Financial Disclosure Act	- 2 -
	Section 1, SB 1745 (2014)[not codified]	- 2 -
	Municipal Campaign Finance and Financial Disclosure Act	
§ 56-101.	Provisions – Title.....	- 2 -
§ 56-102.	Definitions.....	- 2 -
§ 56-103.	Municipalities Subject to Act.....	- 3 -
§ 56-104.	Duty of Campaign Committee to File a Statement of Organization	- 3 -
§ 56-105.	Duty of Municipal Political Committee to File a Statement of Organization	- 4 -
§ 56-106.	Duty of Campaign Committee and Municipal Political Committee to File a Report of Contributions and Expenditures.....	- 4 -
§ 56-107.	Statements of Organization and Reports of Contributions and Expenditures - Public Records - Internet Copies.....	- 4 -
§56-108.	Duty of Candidates and Elected Officers to File a Statement of Financial Interests....	- 4 -
§ 56-109.	Statements of Financial Interests - Public Records - Internet Copies.....	- 5 -
§ 56-110.	Enforcement of Act by Ethics Commission - Complaints - Investigations – Penalties.	- 5 -

TITLE 74, Chapter 62. – Ethics Commission Act
Miscellaneous Provisions

§ 4258.1 Creation of Political Subdivisions Enforcement Fund

There is hereby created in the State Treasury a revolving fund for the Ethics Commission to be designated the "Political Subdivisions Enforcement Fund". The fund shall be a continuing fund, not subject to fiscal year limitations, and shall consist of all funds appropriated by the Legislature to the fund and all late filing fees, fines from settlement agreements and fines assessed by the District Court for violations of the County Campaign Finance and Financial Disclosure Act, the Municipal Campaign Finance and Financial Disclosure Act, and the Technology Center District and Independent School District Campaign Finance and Financial Disclosure Act. All monies accruing to the credit of the fund are hereby appropriated and may be budgeted and expended by the Commission for any expenses incurred by the Political Subdivisions Enforcement Division. Expenditures from the fund shall be made upon warrants issued by the State Treasurer against claims filed as prescribed by law with the Director of the Office of Management and Enterprise Services for approval and payment. No later than January 31, 2016, and every year thereafter, the State Treasurer shall transfer to the General Revenue Fund of the state any monies in the fund in excess of One Hundred Fifty Thousand Dollars (\$150,000.00). If at any time the amount of money in the fund is less than One Hundred Thousand Dollars (\$100,000.00), the Executive Director of the Commission may order that there be no further enforcement by the Political Subdivisions Enforcement Division until the amount of money in the fund is more than One Hundred Thousand Dollars (\$100,000.00).

Enacted in 2014 by Senate Bill 1745 (effective January 1, 2015).

§ 4261. Design and Internet Placement of Compliance Forms

The Ethics Commission shall design all forms required for compliance with the County Campaign Finance and Financial Disclosure Act, the Municipal Campaign Finance and Financial Disclosure Act and the Technology Center District and Independent School District Campaign Finance and Financial Disclosure Act. Such forms shall be made available in electronic form on the Commission's Internet website.

Enacted in 2014 by Senate Bill 1745 (effective January 1, 2015).

§ 4262. Establishment of Political Subdivisions Enforcement Division

For purposes of enforcing the County Campaign Finance and Financial Disclosure Act, the Municipal Campaign Finance and Financial Disclosure Act, and the Technology Center District and Independent School District Campaign Finance and Financial Disclosure Act, the Ethics Commission shall establish a special division to be known as the "Political Subdivisions Enforcement Division". The Executive Director of the Ethics Commission may employ staff for the Political Subdivisions Enforcement Division, or may contract for services to be performed by the Division, or both.

Enacted in 2014 by Senate Bill 1745 (effective January 1, 2015).

Local Government Campaign Finance and Financial Disclosure Act

Section 1, SB 1745 (2014)[not codified]

- A. This act shall be known as the "Local Government Campaign Finance and Financial Disclosure Act". The Local Government Campaign Finance and Financial Disclosure Act shall be codified in those titles of the Oklahoma Statutes relating to the various levels of local government to which the act applies.
- B. The Legislature hereby finds that:
 - 1. The Oklahoma Constitution requires that all elections shall be free and equal and prohibits local and special laws for the conduct of elections;
 - 2. The conduct of campaigns for county, municipal, technology center district and independent school district elections is inextricably intertwined with the elections themselves and that the conduct of such campaigns is a matter of statewide concern;
 - 3. For the citizens of this state to be adequately informed regarding possible conflicts of interest, financial disclosure by candidates, elected officials and other officials of certain county and municipal governments is necessary;
 - 4. Information regarding campaigns for county, municipal, technology center district and independent school district elections and financial disclosure documents should be made available locally to be more accessible to the electorate; and
 - 5. Enforcement of laws governing campaigns for elective office for counties, municipalities, technology center districts should be uniform and statewide.

Enacted in 2014 by Senate Bill 1745 (effective January 1, 2015).

Municipal Campaign Finance and Financial Disclosure Act

§ 56-101. Provisions – Title

Sections 11 through 20 of this act shall be the provisions of the Local Government Campaign Finance and Financial Disclosure Act applicable to municipalities and shall be known as the "Municipal Campaign Finance and Financial Disclosure Act".

Enacted in 2014 by Senate Bill 1745 (effective January 1, 2015).

§ 56-102. Definitions

- A. Definitions of terms used in the Municipal Campaign Finance and Financial Disclosure Act shall be the same as those terms are defined in Rules of the Ethics Commission promulgated pursuant to Section 3 of Article XXIX of the Oklahoma Constitution, unless otherwise provided herein.
- B. As used in the Municipal Campaign Finance and Financial Disclosure Act:

1. **"Campaign committee"** means a committee which may be composed of one or more persons the purpose of which is to support the election of a specific candidate to municipal office, whose name as it will appear on the ballot shall appear in the name of the committee;
2. **"Municipal office"** means any elective municipal office for which Declarations of Candidacy are filed with the secretary of the county election board as required by Sections 16-109 and 16-110 of Title 11 of the Oklahoma Statutes; and
3. **"Municipal political committee"** means any committee composed of one or more persons whose purpose includes the election or defeat of one or more candidates for municipal office but which is not required to register with the Ethics Commission or the Federal Election Commission.

Enacted in 2014 by Senate Bill 1745 (effective January 1, 2015).

§ 56-103. Municipalities Subject to Act

- A. The Municipal Campaign Finance and Financial Disclosure Act shall apply only to municipalities with a population of more than ten thousand (10,000) according to the most recent Federal Decennial Census and a general fund expenditure budget in excess of Ten Million Dollars (\$10,000,000.00) in the fiscal year in which the municipal elections are held.
- B. A municipality described in subsection A of this section may enact a comprehensive code of campaign finance and personal financial ordinances, including provisions for enforcement thereof, in which case the Municipal Campaign Finance and Financial Disclosure Act shall not apply to the municipality. Any municipality enacting such a code shall file a notice of its action with the Ethics Commission, which shall have no enforcement responsibilities under the code.

Enacted in 2014 by Senate Bill 1745 (effective January 1, 2015). Amended in 2015 by Senate Bill 438 (effective August 21, 2015) [Section B was added by SB 438 and will be effective August 21, 2015].

§ 56-104. Duty of Campaign Committee to File a Statement of Organization

Each campaign committee shall file a statement of organization with the municipal clerk subject to the same requirements as set forth for candidate committees for state office required to file statements of organization with the Ethics Commission under Rules of the Ethics Commission promulgated pursuant to Section 3 of Article XXIX of the Oklahoma Constitution, including but not limited to time for filing and contents.

Enacted in 2014 by Senate Bill 1745 (effective January 1, 2015).

§ 56-105. Duty of Municipal Political Committee to File a Statement of Organization

Every municipal political committee shall file a statement of organization with the municipal clerk subject to the same requirements as set forth for political committees required to file statements of organization with the Ethics Commission under Rules of the Ethics Commission promulgated pursuant to Section 3 of Article XXIX of the Oklahoma Constitution, including but not limited to time for filing and contents.

Enacted in 2014 by Senate Bill 1745 (effective January 1, 2015).

§ 56-106. Duty of Campaign Committee and Municipal Political Committee to File a Report of Contributions and Expenditures

Every campaign committee and every municipal political committee shall file a report of contributions and expenditures with the municipal clerk subject to the same requirements as set forth for candidate committees and political action committees, respectively, required to file reports of contributions and expenditures with the Ethics Commission under Rules of the Ethics Commission promulgated pursuant to Section 3 of Article XXIX of the Oklahoma Constitution, including but not limited to time for filing and contents.

Enacted in 2014 by Senate Bill 1745 (effective January 1, 2015).

§ 56-107. Statements of Organization and Reports of Contributions and Expenditures - Public Records - Internet Copies

Statements of organization and reports of contributions and expenditures required to be filed with the municipal clerk under the Municipal Campaign Finance and Financial Disclosure Act shall be public records. The municipal clerk shall maintain statements of organization and reports of contributions and expenditures for four (4) years after the date on which they are filed, if not posted on the municipality's website as provided herein, at which time the documents may be destroyed or retained subject to the discretion of the municipal clerk. If the municipality in which the statements of organization and reports of contributions and expenditures are filed maintains an Internet website, the municipal clerk may post on the website copies of statements of organization and reports of contributions and expenditures.

Enacted in 2014 by Senate Bill 1745 (effective January 1, 2015).

§56-108. Duty of Candidates and Elected Officers to File a Statement of Financial Interests

All candidates for municipal office and all elected municipal officers shall be required to file a statement of financial interests with the municipal clerk subject to the same requirements as set forth for candidates for state office required to file statements of financial interests with the Ethics Commission under Rules of the Ethics Commission promulgated pursuant to Section 3 of Article XXIX of the Oklahoma Constitution, including but not limited to time for filing and contents.

Enacted in 2014 by Senate Bill 1745 (effective January 1, 2015).

§ 56-109. Statements of Financial Interests - Public Records - Internet Copies

Statements of financial interests required to be filed with the municipal clerk under the Municipal Campaign Finance and Financial Disclosure Act shall be public records. The municipal clerk shall maintain statements of financial interests for four (4) years after the date on which they are filed, if not posted on the municipality's website as provided herein, at which time the documents may be destroyed or retained subject to the discretion of the municipal clerk. If the municipality in which the statements of financial interests are filed maintains an Internet website, the municipal clerk may post on the website copies of statements of financial interests.

Enacted in 2014 by Senate Bill 1745 (effective January 1, 2015).

§ 56-110. Enforcement of Act by Ethics Commission - Complaints - Investigations – Penalties

The Municipal Campaign Finance and Financial Disclosure Act shall be enforced by the Ethics Commission in the same manner as Rules of the Ethics Commission promulgated pursuant to Section 3 of Article XXIX of the Oklahoma Constitution are enforced, including but not limited to acceptance of complaints, civil prosecutions, settlement agreements and any other compliance practices or requirements. Complaints may be received by the Ethics Commission alleging filing of statements or reports required to be filed under the Municipal Campaign Finance and Financial Disclosure Act later than the prescribed time for filing. Such complaints shall be in the same form as other complaints. Upon receipt of such complaints of late filing, the Ethics Commission shall investigate whether the allegation or allegations are true and, if so, shall assess a late filing penalty of One Hundred Dollars (\$100.00) per day, not to exceed a maximum of One Thousand Dollars (\$1,000.00) for the filing of any statement or report. If the Ethics Commission determines the allegation or allegations are not true, it shall take no further action. Persons assessed a late filing fee may protest the assessment subject to provisions of the Administrative Procedures Act.

Enacted in 2014 by Senate Bill 1745 (effective January 1, 2015).

This Page Intentionally Left Blank



OKLAHOMA ETHICS COMMISSION

2018 MUNICIPAL CANDIDATE COMMITTEE CHECKLIST

Read through this entire checklist before completing any items listed in the checklist

ITEM	DESCRIPTION
<input type="checkbox"/> Do the Ethics Rules apply to the municipal race?	<p>1. Did the Municipality have a population over 10,000 in the 2010 census? YES: Continue to Question 2. NO: see “no” below.</p> <p>2. Does the Municipality have a General Revenue Fund Expenditure Budget over \$10,000,000 during the election year? YES: The Ethics Rules will apply to your race. Continue with the Checklist. NO: The Ethics Rules will not apply to the municipal race. Do not use this checklist. Check the local ordinances for any requirements.</p>
<input type="checkbox"/> Read the Guide for Municipal Candidates	<ul style="list-style-type: none"> Summarizes the Ethics Rules that apply to Municipal Candidates including contribution limits and prohibited activity. Go to www.ethics.ok.gov and look at the Municipal Page under the “County, Municipal & School” tab or click here.
<input type="checkbox"/> Prior Committee information	<p>Does this candidate have an active municipal campaign committee for a prior election in the same municipality?</p> <p>YES: The Committee may transfer funds and or debt to a new committee for municipal office but must first sell any committee assets to pay off debt as possible. NO: Continue to the next step.</p>
<input type="checkbox"/> Name the Candidate Committee	<p>Candidate committee name must include the following:</p> <p>(1) the year of the election; and (2) the full name, or, first, middle, or last name of candidate. E.g., “Doe for Mayor 2019” or “John Doe for Mayor 2019”</p>
<input type="checkbox"/> Identify Officers for the Committee	<ul style="list-style-type: none"> Required to have at least a Chair and a Treasurer (Same person may be both) Candidate may be the Chair, Treasurer or both Maintain up-to-date contact information for <u>each</u> officer
<input type="checkbox"/> Open a Candidate Committee Depository. <ul style="list-style-type: none"> Bank; Credit union; or Other financial institution 	<ul style="list-style-type: none"> Employer ID Number (EIN) may be required. See www.irs.gov for details. Depository account name must have full name of committee <ul style="list-style-type: none"> Includes year of the election and name of the candidate Depository must regularly do business in Oklahoma <p>NOTE: All campaign funds expended and received are required to run through the committee’s depository account. This includes personal funds spent for a campaign. Personal funds spent for campaign purposes are contributions to the committee.</p>

<input type="checkbox"/> Is the candidate required to register and file reports with the Municipal Clerk?	<ol style="list-style-type: none"> Has the candidate raised over \$1,000 for the campaign? Has the candidate spent over \$1,000 for the campaign? <p>***Note: \$1,000 includes candidate's personal funds spent on their own campaign.</p> <p>YES: A "yes" to either of these questions, requires a Statement of Organization to be filed with the Municipal Clerk within 10 days of spending or receiving in excess of \$1,000. Periodic reports detailing contributions and expenditures are now required.</p> <p>NO: As long as the answers are "no" to both questions throughout a campaign, a candidate can, but is not required to, register and file reports.</p>
<input type="checkbox"/> Required forms for Municipal Races.	<ul style="list-style-type: none"> Municipal Candidate Committee Forms are available at www.ethics.ok.gov. Look on the Municipal Reporting page under the "County, Municipal & School Reporting" tab; or click here.
<input type="checkbox"/> File <i>Contributions and Expenditures Reports</i> as required by the Ethics Rules see Report Due Dates below.	<ul style="list-style-type: none"> Maintain all records for 4 years. File reports until all committee assets are disposed of for fair market value and the committee is dissolved with a zero balance. The Commission may issue compliance fees or pursue action in District Court for violations of the Municipal Campaign Reporting and Financial Disclosure Act.

REPORTING DATES: File reports quarterly, **unless** an election is held before the next quarterly report is due. If an election is held use the Pre and Post-Election Reporting information below.

QUARTERLY REPORTING

Filing Dates	Period Covered	Type of Report Due
April 1 - April 30	January 1 - March 31	1 ST Quarter Contributions and Expenditures Report
July 1 - July 31	April 1 - June 30	2 nd Quarter Contributions and Expenditures Report
October 1 - October 31	July - September 30	3 rd Quarter Contributions and Expenditures Report
January 1 - January 31	October 1 - December 31	4 th Quarter Contributions and Expenditures Report

PRE-ELECTION REPORTING: Begin with the date following the last date covered by a Contributions and Expenditures Report and end 15 days before the election.

Filing Dates	Period Covered	Type of Report Due
8-14 days before the election	Begin with the day following the last date covered by a Contributions and Expenditures Report and end 15 days before the election	Contributions and Expenditures Report
Final two weeks prior to an election, reports are required within 24 hours for a contribution or contributions over \$1,000 from a single source.		Continuing Report of Contributions

SAMPLE PRE-ELECTION REPORTING CALENDAR: Election held Tuesday, June 28, 2016

File Reports Between:	Period Covered	Type of Report Due
Monday, June 20 – Tuesday, June 14	April 1 – June 13, 2016	Contributions and Expenditures Report
Within 24 hours of accepting in excess of \$1000 in the aggregate from a contributor	June 14 – June 26, 2016	Continuing Report of Contributions

POST-ELECTION REPORTING: Begin with the date following the last date covered by a Contributions and Expenditures Report and end on the last date of the period covered by the next quarterly report.